

# An instability of wave turbulence causing the formation of pulses

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- The Majda-McLaughlin-Tabak model
- Instability of wave turbulence
- Energy transfer by radiating pulses

## Majda-McLaughlin-Tabak (MMT) model for weakly nonlinear waves

$$(i \frac{\partial}{\partial t} - \mathcal{L})\psi(x, t) = \lambda \psi(x, t) |\psi(x, t)|^2$$

- complex wave amplitude  $\psi(x, t)$
- linear operator  $\mathcal{L} \exp(ikx) = \omega_k \exp(ikx)$ ,
- dispersion  $\omega_k = \sqrt{|k|}$ .

(Fourier modes  $a_k = \int_{-L/2}^{L/2} \psi(x, t) \exp(-ikx) dx / \sqrt{2\pi}$ )

Large system size  $L$  with periodic boundary conditions)

A.J. Majda, D.W. McLaughlin, E.G. Tabak, J. Nonlinear Sci. 6, 9 (1997),

## Conserved quantities of the MMT equation

- Hamiltonian or 'energy'

$$\begin{aligned} E &= \sum_k \omega_k |a_k|^2 + (\lambda/2) \int_{-L/2}^{L/2} |\psi|^4 dx \\ &= E_2 + E_4 \end{aligned}$$

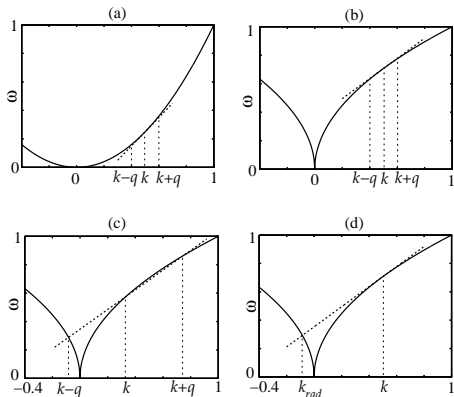
- waveaction

$$N = \sum_k |a_k|^2$$

- momentum

$$P = \sum_k k |a_k|^2$$

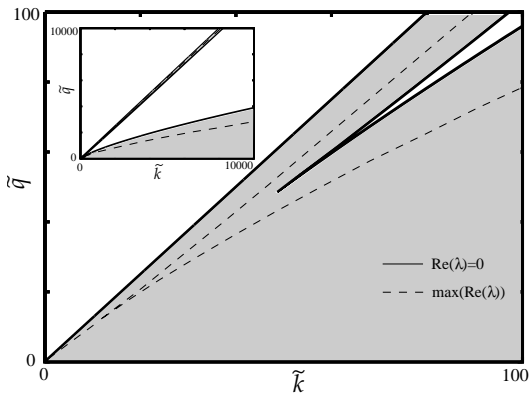
## Possible resonance of the modes at $k$ and $k \pm q$



- (a)  $\omega = k^2$  (nonlinear Schrödinger equation)
- (b)  $\omega = \sqrt{|k|}$  for  $k \gg q$  (MMT)
- (c)  $\omega = \sqrt{|k|}$  for  $k \sim q$  (MMT)
- (d) radiating quasisolitons for MMT

## Instability by short modulations for $\lambda = 1$

- Monochromatic wave  $\psi = (A + \delta a) \exp(ikx)$
- Modulation  $\delta a = \delta a_+ \exp(iqx) + \delta a_- \exp(-iqx)$

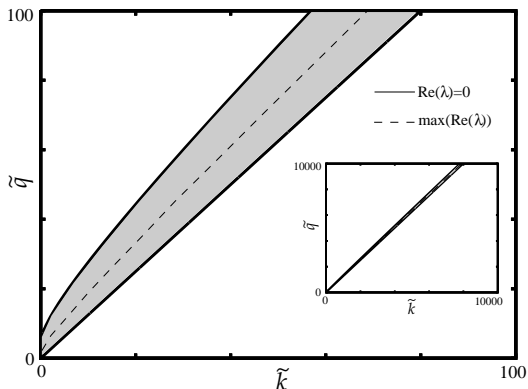


- carrier wave  
 $\tilde{k} = k/A^4$ ,
- modulation  
 $\tilde{q} = q/A^4$

- Shaded areas: Instabilities at  $q \sim \sqrt{k}$  and at  $q \approx 5k/4$
- No collapses,  $E_2 > 0$ ,  $E_4 > 0$

## Instability by short modulations for $\lambda = -1$

- Monochromatic wave  $\psi = (A + \delta a) \exp(ikx)$
- Modulation  $\delta a = \delta a_+ \exp(iqx) + \delta a_- \exp(-iqx)$

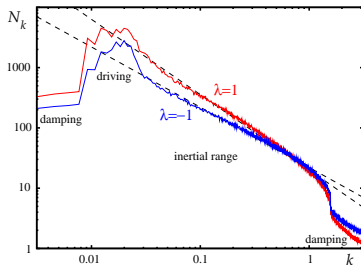


- carrier wave  
 $\tilde{k} = k/A^4$ ,
- modulation  
 $\tilde{q} = q/A^4$

- Shaded area: Instability at  $q \approx 5k/4$
- Collapses are observed,  $E_2 > 0$ ,  $E_4 < 0$

## Damped and driven MMT equation

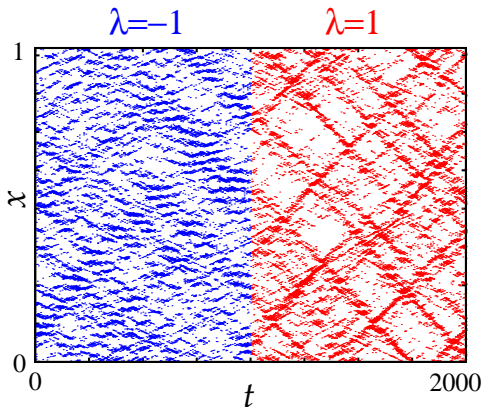
- Driving force at moderate wavenumbers
- Damping at high and at low wavenumbers
- $N_k = \langle |a_k|^2 \rangle$



- $\lambda = -1$ : Kolmogorov-Zakharov spectrum  $N_k \sim k^{-1}$   
→ wave turbulence
- $\lambda = 1$ : Steeper spectrum  $N_k \sim k^{-1.25}$   
→ unknown mechanism of turbulence

Contour plot of regions with high amplitudes:

Switching the sign  $\lambda = -1$  from to  $\lambda = 1$



Wave turbulence - Coherent structures



## Envelope equation for wave turbulence

- Ensemble average  $\langle u(\mathbf{x}, t)u^*(\mathbf{x} + \mathbf{r}, t) \rangle$  depends on  $\mathbf{x}$
- Slow spatial variations of the waveaction

$$N(\mathbf{k}, \mathbf{x}, t) = \int \langle u(\mathbf{x}, t)u^*(\mathbf{x} + \mathbf{r}, t) \rangle \exp(i\mathbf{k} \cdot \mathbf{r}) d\mathbf{r}$$

- Kinetic equation is extended by a Vlasov term

$$\frac{\partial N}{\partial t} + \frac{\partial \tilde{\omega}}{\partial \mathbf{k}} \frac{\partial N}{\partial \mathbf{x}} - \frac{\partial \tilde{\omega}}{\partial \mathbf{x}} \frac{\partial N}{\partial \mathbf{k}} = T_4[N]$$

- Nonlinear by the renormalized frequency

$$\tilde{\omega}(k, \mathbf{x}, t) = \omega_k + 2\lambda \int N(\mathbf{p}, \mathbf{x}, t) d\mathbf{p}$$

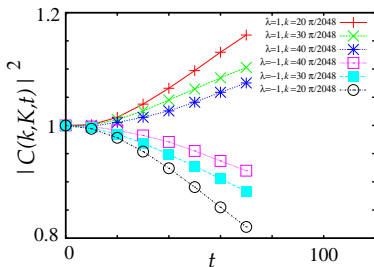
## Breaking of the spatial homogeneity symmetry of wave turbulence

- Linearization  $N(\mathbf{k}, \mathbf{x}, t) = N_0(k) + \Delta N(\mathbf{k}, \mathbf{x}, t)$
- Kolmogorov-Zakharov spectrum  $N_0(k)$
- Modulation  $\Delta N(\mathbf{k}, \mathbf{x}, t) = a(\mathbf{k}) \exp(i\mathbf{K} \cdot \mathbf{x} - i\Omega t)$

Stability:

- $\lambda = 1$ :
  - unstable in one dimension  $\sim$  negative Landau damping
  - no instability in two dimensions
- $\lambda = -1$ :
  - no instability

## Growth of correlations for $\lambda = 1$ ; decay for $\lambda = -1$



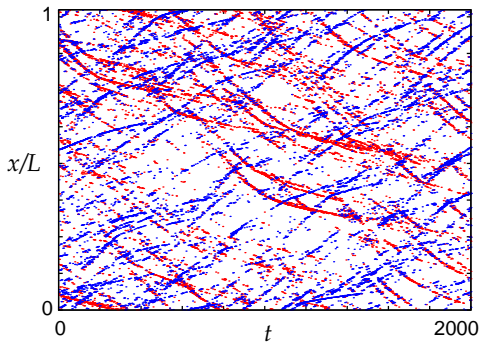
- time evolution of the correlation

$$|C(k, K, t)|^2 = |\langle A_k(t) A_{k+K}^*(t) \rangle|^2 / |\langle A_k(0) A_{k+K}^*(0) \rangle|^2 \text{ with } K = 6\pi/2048 \text{ for an ensemble of 400,000 trajectories}$$

- initial conditions contain a small correlation on top of a KZ spectrum

Formation of coherent structures for  $\lambda = 1$ :

A gas of solitary waves



Pattern of solitary waves ('pulses') with high positive or negative momenta

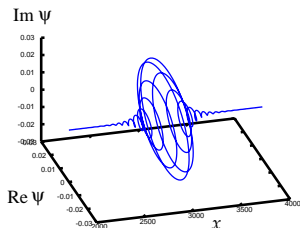
## Quasisolitons for $q \ll k_m$

- slow modulation by the envelope  $\phi(x, t)$

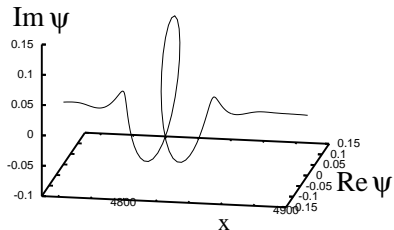
$$\psi(x, t) = \phi(x, t) \exp(ik_m x - i\omega_m t)$$

- soliton solution

$$\phi^{sol}(x, t) = q \sqrt{-\omega_m''} \exp(i\omega_m'' q^2 t / 2) \operatorname{sech}(q(x - \omega_m' t))$$



## Narrow pulse with $q \sim k_m$



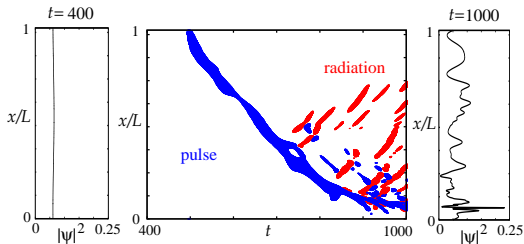
- Shape of a pulse

$$\psi^{(f)} = q\sqrt{\omega_m k_m}^{-1} f(\theta) \exp(i\alpha) \exp(i\Omega t)$$

$$\theta_x = q, \theta_t = -qv,$$

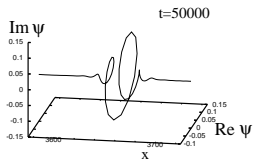
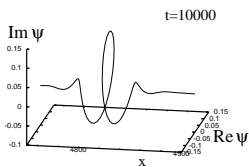
$$\alpha_x = k_m, \alpha_t = k_m v$$

Pulses emerge from the instability at  $q \sim k_m$



- The pulse-speed decays
- The pulse emits radiation

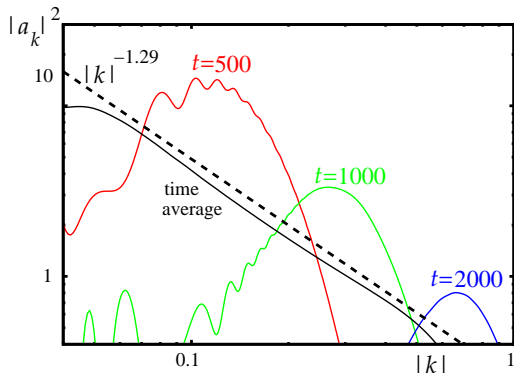
## A radiating pulse evolves in time



- The pulse narrows in real space -  $q$  increases
- The number of loops increases -  $k_m$  increases

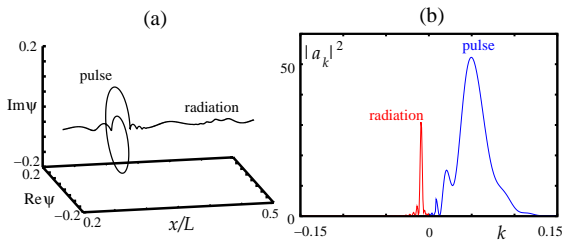


## Time-average of an evolving pulse is MMT-like



- Pulse in  $k$ -space at three different times
- Time-average spectrum  $\langle |a_k^{(f)}|^2 \rangle \sim k^{-1.29}$

## Radiation: Resonant driving of linear waves



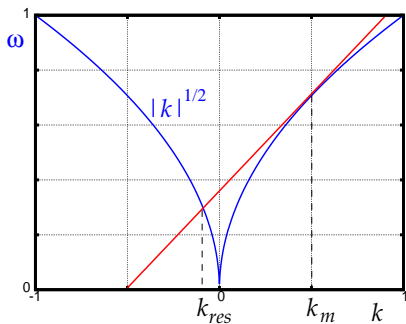
linear wave: driving force by the pulse:

$$i\dot{a}_k - \omega_k a_k = |T_k| \exp(-i\Lambda_k t)$$

with

$$T_k = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \psi(\text{pulse}) |\psi(\text{pulse})|^2 \exp(-ikx) dx$$

Doppler-shifted phase frequency  $\Lambda_k = \Omega + kv$



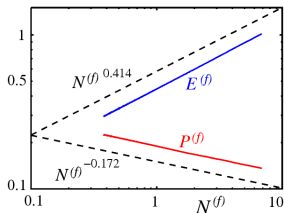
Resonance  $\Lambda_{k_{res}} = \omega_{k_{res}}$   
 at  $k_{res} \approx -(\sqrt{2} - 1)^2 k_m$

## Evolution of energy and momentum

- A pulse is an extremum of **energy** for a given **momentum** and waveaction
- Balance of **energy** and **momentum** of the pulse and the radiation yields

$$\left( \frac{dE^{(f)}(N^{(f)}, P^{(f)}(N^{(f)}))}{dN^{(f)}} \right)^2 = - \frac{dP^{(f)}(N^{(f)})}{dN^{(f)}}$$

- Approximation  $E^{(f)} \approx \sqrt{N^{(f)} P^{(f)}}$
- $N^{(f)}$  decays in time
- $E^{(f)} \sim N^{(f)\sqrt{2}-1}$  decays
- $P^{(f)} \sim N^{(f)\sqrt{8}-3}$  increases
- width of pulse  
 $q \sim k_m^{(3\sqrt{2}-5)/(2\sqrt{2}-2)}$   
( $k_m$ : maximum pulse-amplitude)



- **Radiation** driven by a pulse:

$$i\dot{a}_k - \omega_k a_k = |T_k| \exp(-i\Lambda t)$$

- Time-dependent pulse frequency with linear chirp approximation  $\Lambda_k(t) \approx \omega_k + \dot{\Lambda}_k t$
- **Amplitude of radiation**

$$|a_k|^2 \sim T_k^2 \sqrt{|k_m|} / \dot{k}_m$$

after the driving frequency  $\Lambda_k(t)$  has moved through resonance

## The spectrum of the pulses

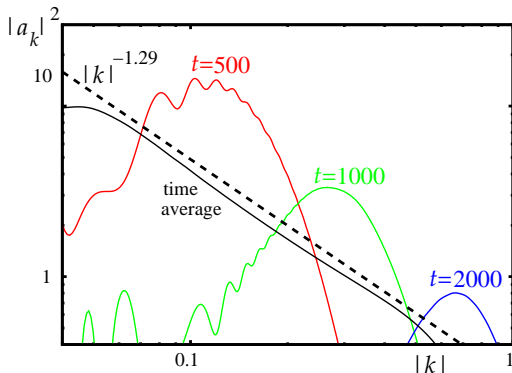
- Driving force  $T_k \sim q^2 k_m^{-9/4}$
- Speed of a pulse in  $k$ -space:  $\dot{k}_m \sim q^3 k_m^{-3/2}$
- Wave action of a pulse  $N^{(f)} \sim q k_m^{-3/2}$
- Spectrum:

$$\begin{aligned} \langle |a_{k=k_m}^{(f)}|^2 \rangle &\sim N^{(f)} / \dot{k}_m \\ &\sim q^{-2} \\ &\sim \sim k_m^{(3\sqrt{2}-5)/(2-\sqrt{2})} \sim k_m^{-1.29} \end{aligned}$$

## Analytic solution of the MMT spectrum

- Solve coupled equations for pulse and radiation
- Time-average of the pulse yields the spectrum

$$\langle |a_k^{(f)}|^2 \rangle_{\text{time}} \sim k^{-2+1/\sqrt{2}} \sim k^{-1.29}$$



# Conclusions

- Spatial homogeneity of wave turbulence spontaneously broken
- Transfer of energy by radiating pulses

B.R., A.C. Newell, V.E. Zakharov, PRL 103, 074502 (2009);

A.C. Newell, B.R., V.E. Zakharov, PRL 108, 194502 (2012);

B.R., A.C. Newell, PLA 377, 1260 (2013)