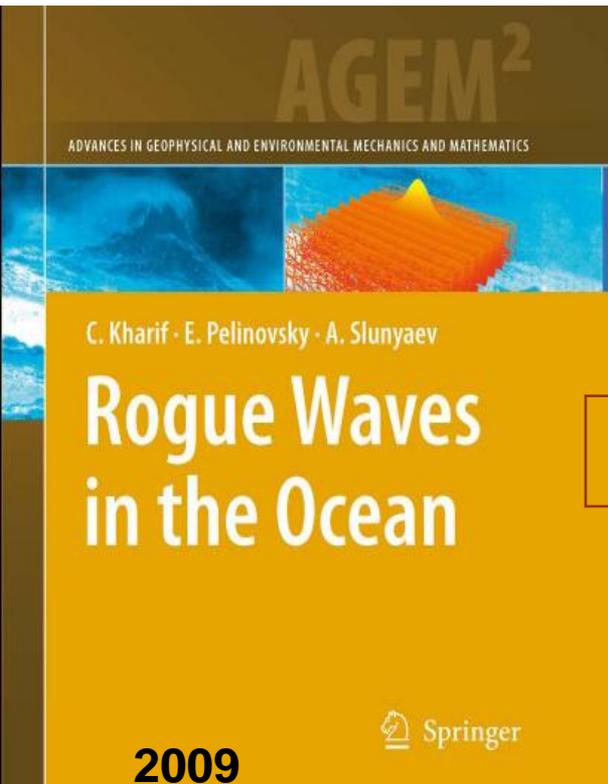


Soliton interaction and turbulence in KdV-like models



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Germany**



Efim Pelinovsky
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Int Conf in honor of Vladimir Zakharov, Russia, 5 August 2014

Rogue waters

Alexey Slunyaev^{a,b*}, Ira Didenkulova^{a,c} and Efim Pelinovsky^{a,d}

Two review papers

IOP PUBLISHING

NONLINEARITY

Nonlinearity **24** (2011) R1–R18

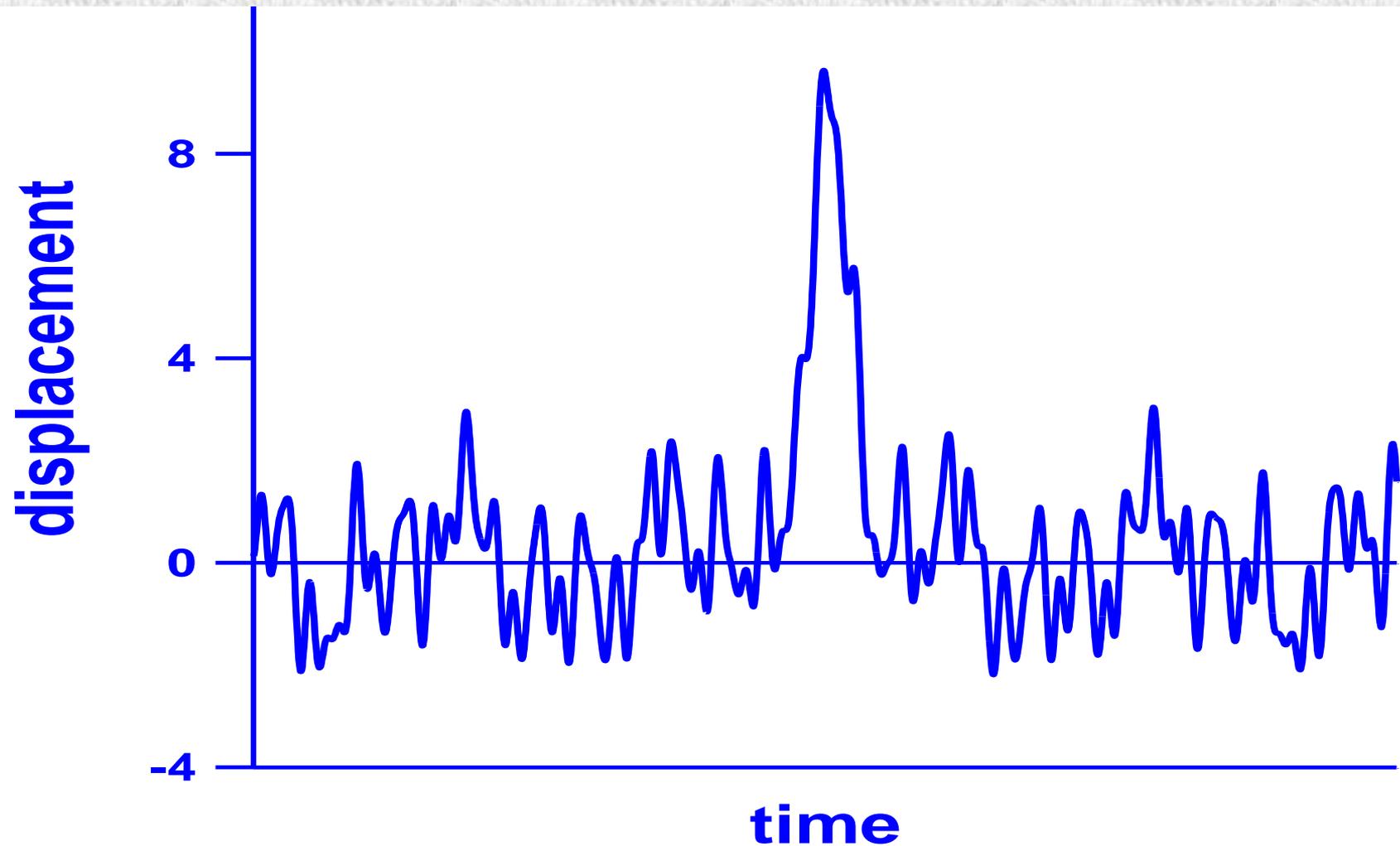
[doi:10.1088/0951-7715/24/3/R01](https://doi.org/10.1088/0951-7715/24/3/R01)

INVITED ARTICLE

Rogue waves in nonlinear hyperbolic systems (shallow-water framework)*

Ira Didenkulova^{1,2} and Efim Pelinovsky²

Freak Wave Definition *(mathematical)*

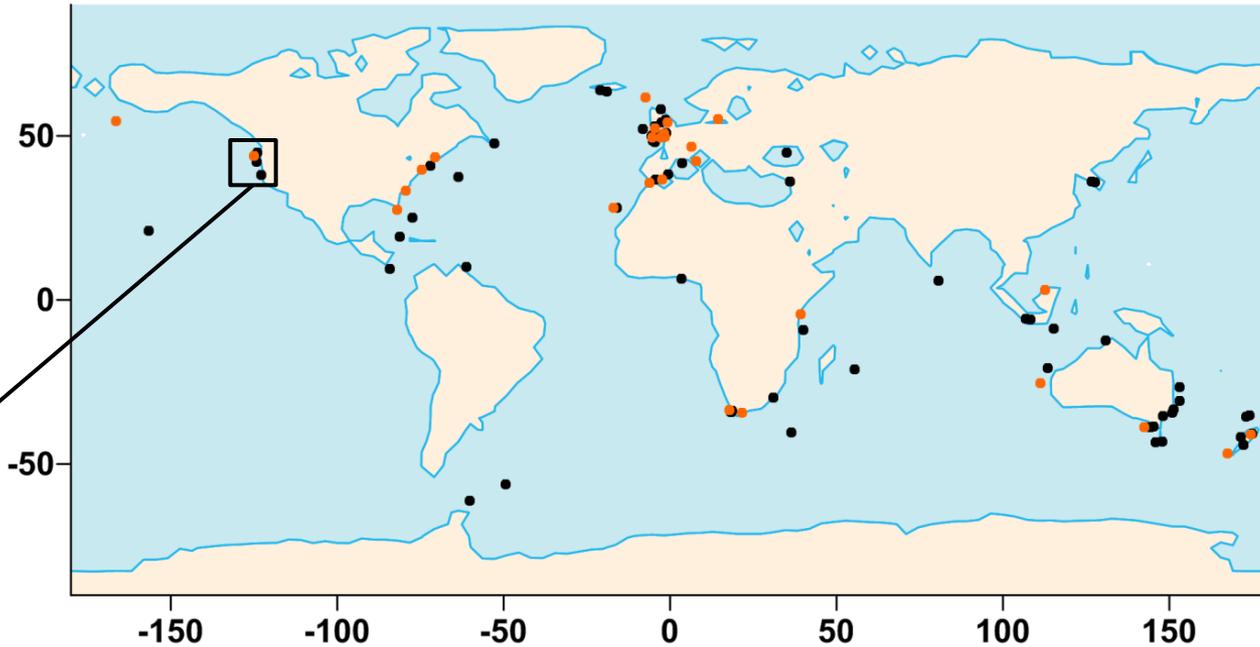


$$H_{\text{freak}} > 2 H_{\text{significant}}$$

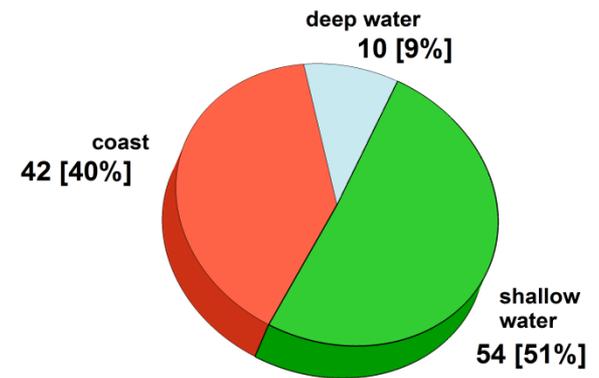
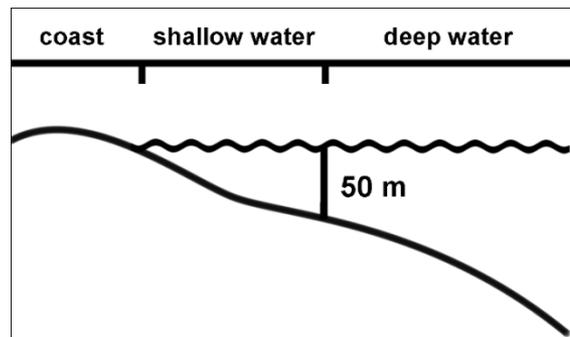
Average on 1/3 highest waves in 20 min record

Rogue waves from mass media in 2006-2010

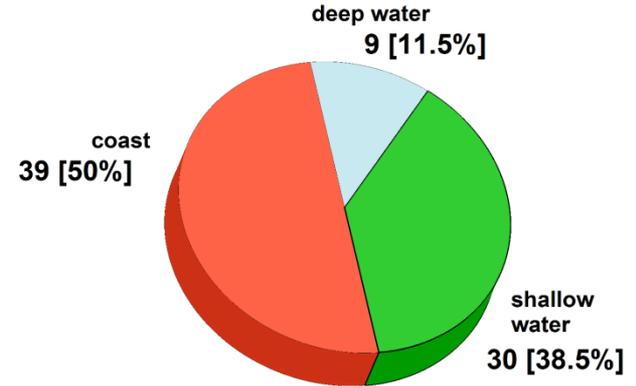
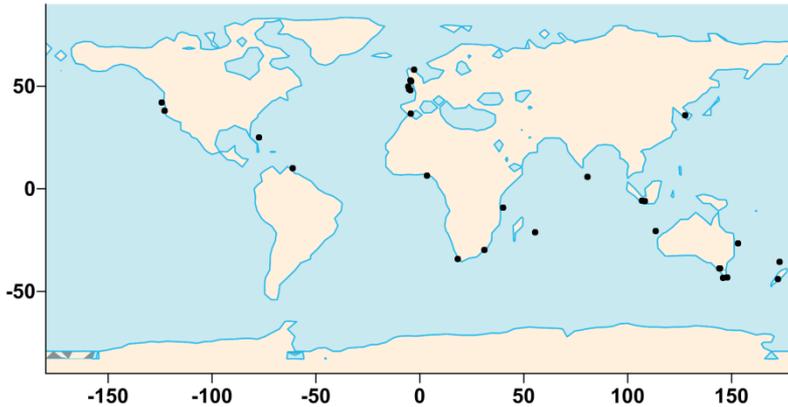
(Nikolkina & Didenkulova, 2011)



- **78 true events**
- **106 possible**



Rogue waves in 2006-2010: shallow water

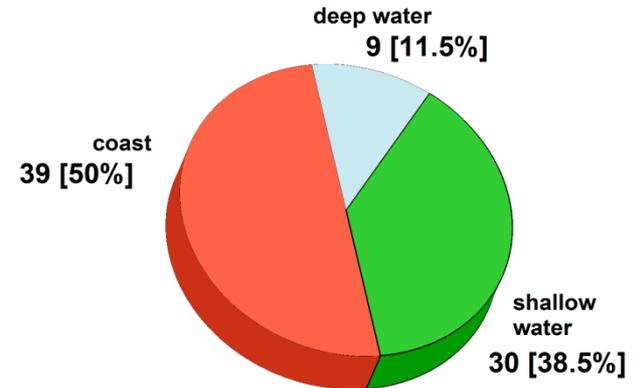
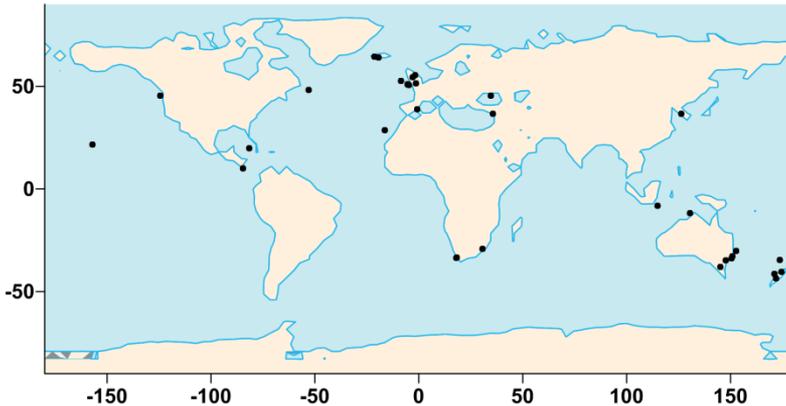


14 of 30 events led to the **damage** of the vessel, **7** events – to its **loss** (in deep waters **only 5** ships were **damaged**). These events are also associated with extremely high number of **human fatalities (79** persons) and **injuries (90** persons). For comparison, the number of human losses in the deep water area is significantly less: **6 fatalities and 27 injuries**.

In August 2010 the ship carrying 60 people (only 21 rescued) capsized and sank minutes before arriving in harbour.

Another large loss of lives (11 fatalities) occurred in this area when a fishing boat “Jaya Baru” was engulfed by 6 m waves in May, 2007.

Rogue waves in 2006-2010: **coast**



Totally, during 2006–2010, 39 such events were reported, which caused 46 fatalities and 79 injuries. Usually such waves appear unexpectedly in calm weather conditions and result in the washing the person off to the sea.

Rogue wave in Kalk Bay on 26 August 2005



**The wave over 9 m washed two people off the breakwater
in Kalk Bay (South Africa).
The wave overflows the breakwater.**

16 October, 2005 Trinidad 3 m



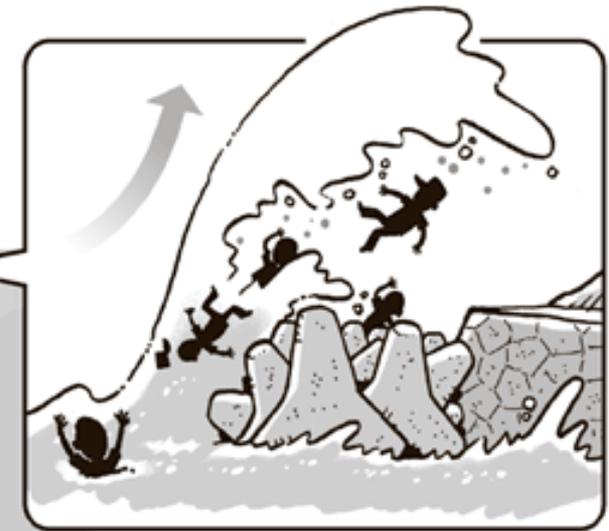
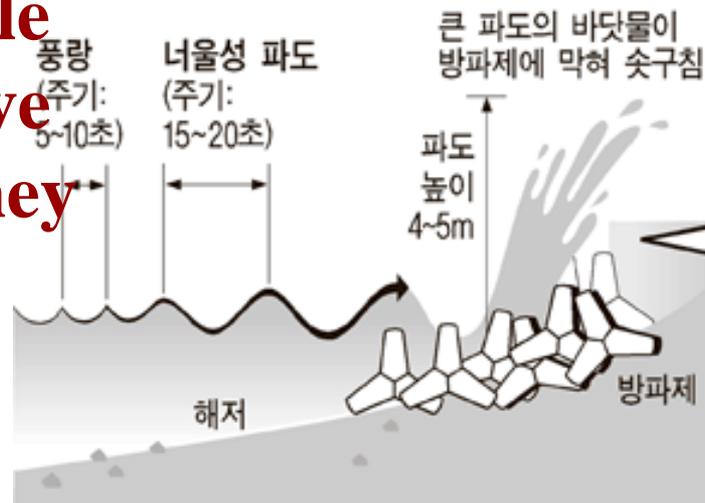
16 October, 2005 Trinidad 3 m



South Korea, May 4, 2008



죽도의 '너울파도' 형성 과정



At least eight people are reported to have been killed after they were swept away by high waves.

In October, 1998, thirteen students in the Bamfield Marine Station Fall Program were taken on a field trip to Kirby Point, a wave-beaten peninsula on the southwest corner of Dianna Is. (**Barkley Sound, Vancouver Island, British Columbia**), to view the **large open-ocean swell breaking on the shore the day after a very large storm** had passed through. The students split into two groups and sat atop two adjacent rock outcrops, at least **25 meters above sea level**

After about **45 minutes** of wave watching, one student tried to capture the feel of these huge waves thundering onto the shore by taking *three pictures* in quick succession of what looked to be a nice example of a large wave as it started to break

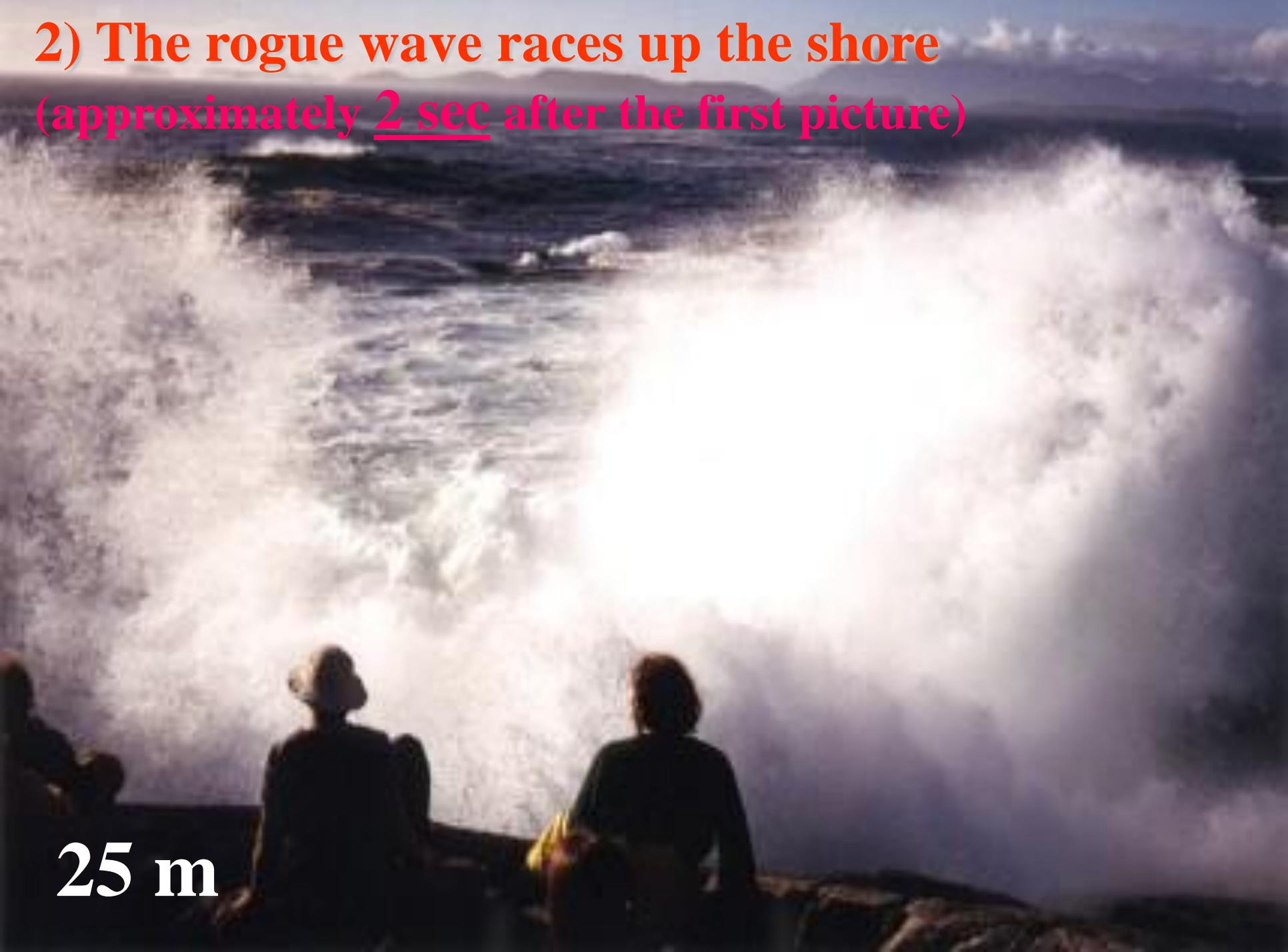
1) A rogue wave starts to break low on the shore



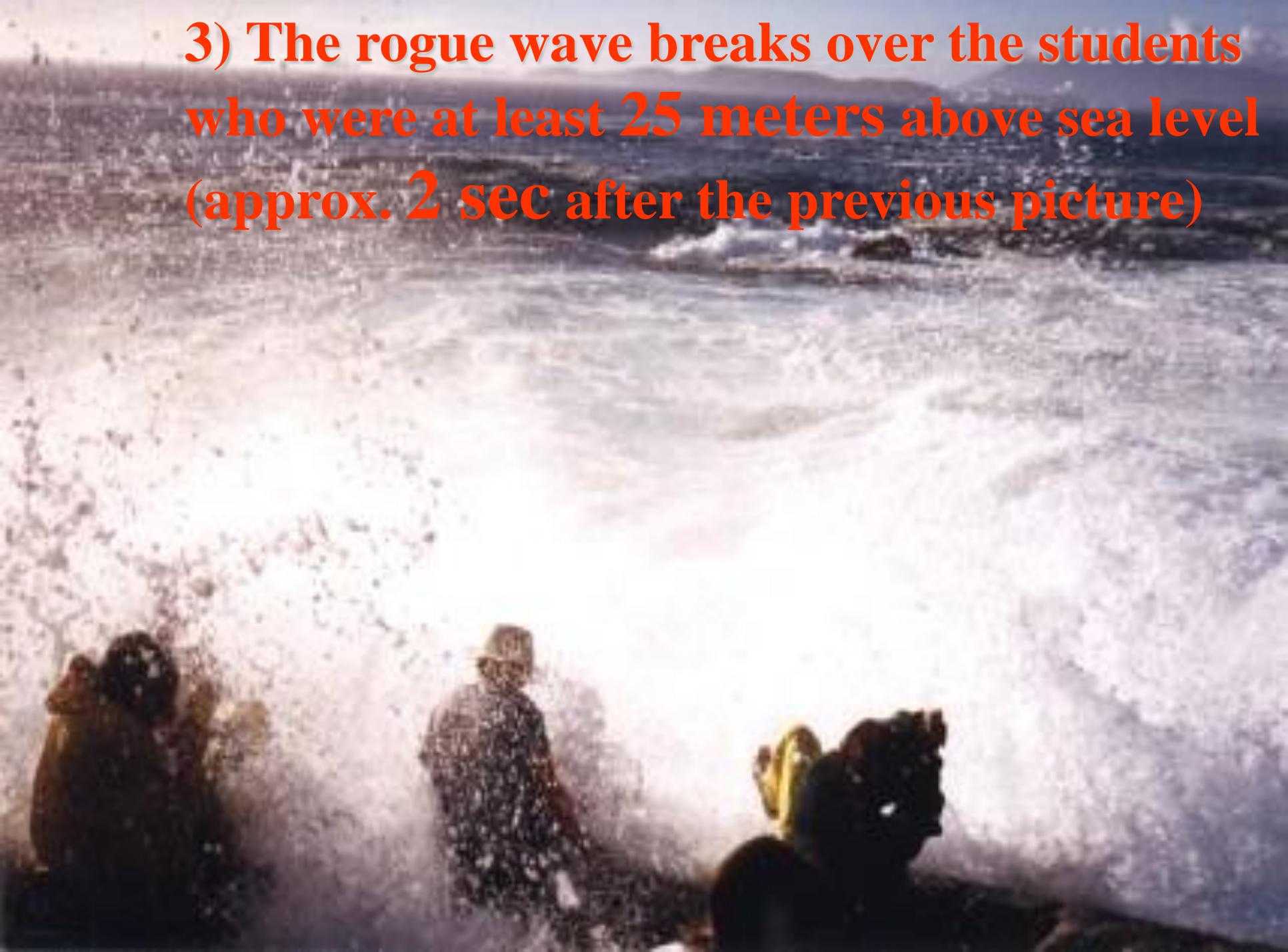
25 m

2) The rogue wave races up the shore
(approximately 2 sec after the first picture)

25 m



3) The rogue wave breaks over the students who were at least 25 meters above sea level (approx. 2 sec after the previous picture)

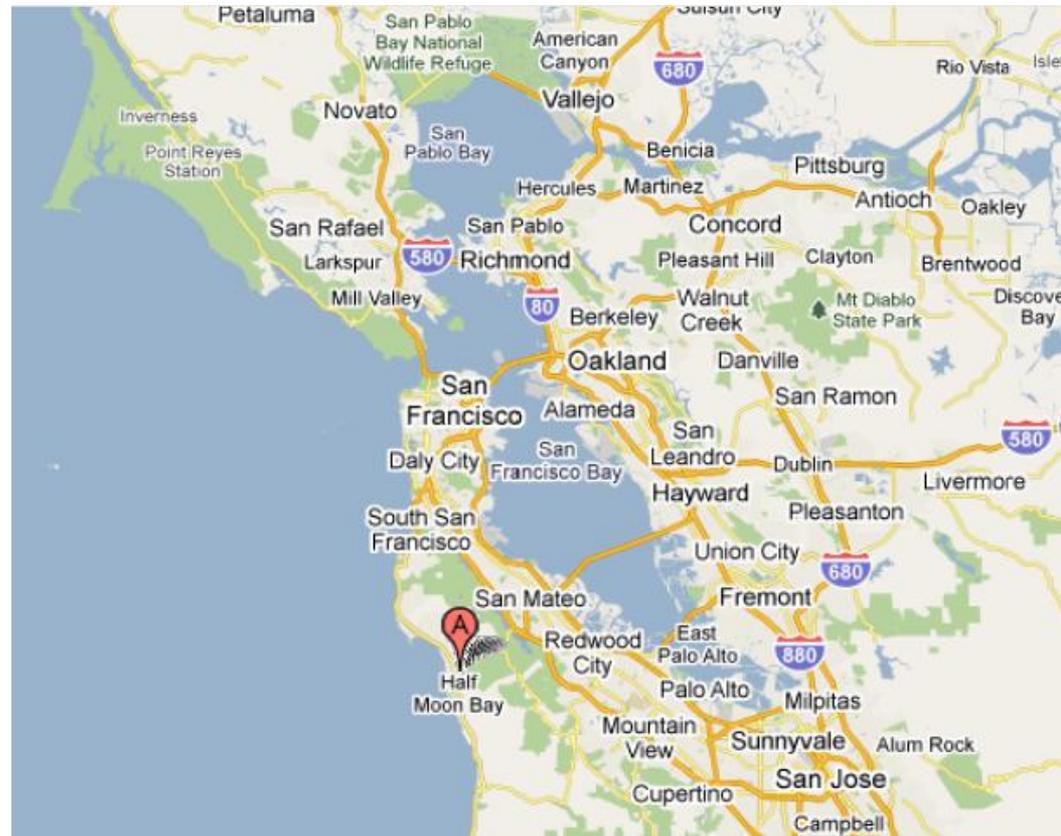


February 14, 2010

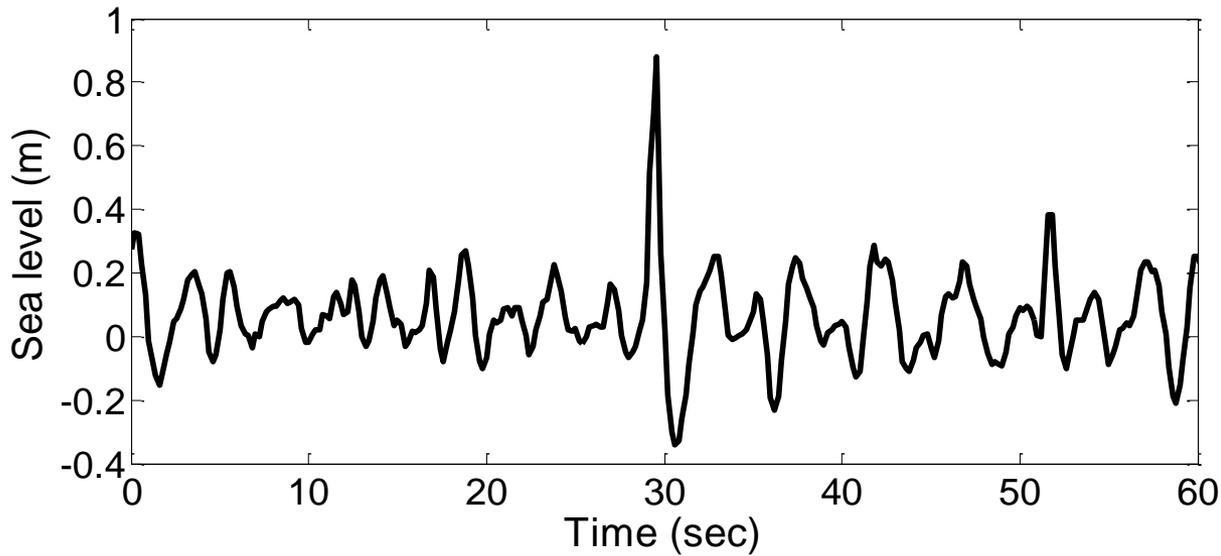
CNN producer note

[sra3001](#) biked over to the Mavericks Surf Competition in Half Moon Bay this morning and noticed some waves splashing over the sea wall. He backed up because he figured other waves would come over. He was right. He captured images of the giant wave surging over the sea wall and onlookers being knocked over.

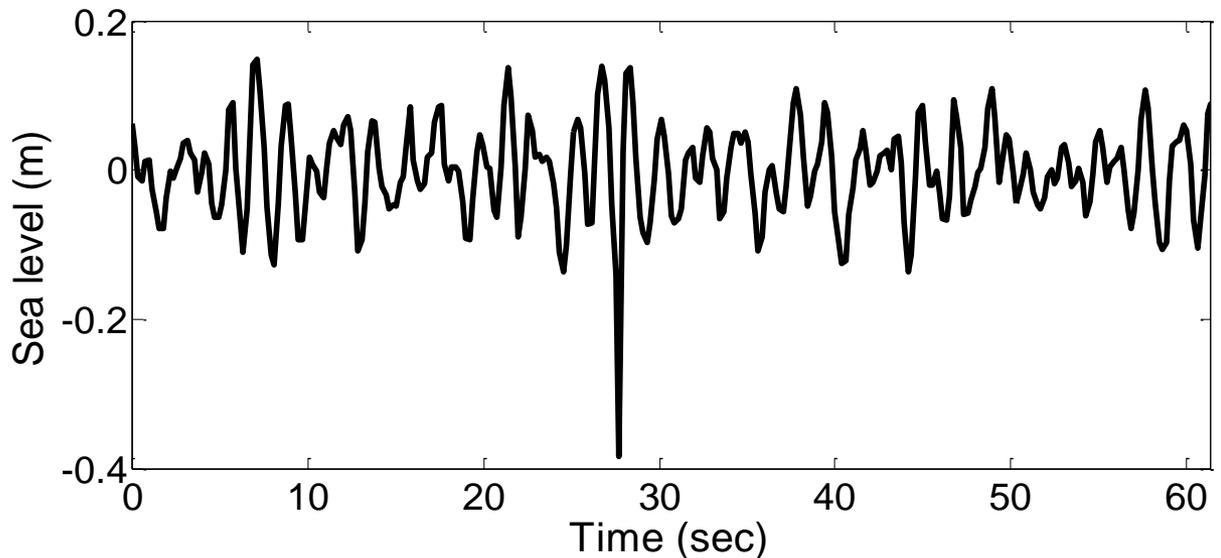
- [zdan](#), CNN iReport producer







**I.Didenkulova,
C.Anderson,
Freak waves of different
types in the coastal zone
of the Baltic Sea.
Natural Hazards and
Earth System Sciences
2010, 10, 2021-2029**



**I.Didenkulova,
Shapes of freak waves
In the coastal zone of
the Baltic Sea
(Tallinn Bay), Boreal
Env. Research,
2011, 16, 138-148**

Tallinn Bay, Baltic Sea, depth 2 m

Rogue waves in shallow water: possible mechanisms

- Wave-current interaction

- ✓ Wave blocking
- ✓ Random caustics

- Wave-bottom (coast) interaction

- ✓ Focuses
- ✓ Random caustics

- “Itself” wave dynamics

- ✓ Nonlinear wave interaction
- ✓ Dispersive focusing
- ✓ **Modulational Instability (BF instability)???**

- ~~Wave-atmosphere interaction~~

Weak dispersion leads to relatively long lifetime of individual waves, which makes them more hazardous!

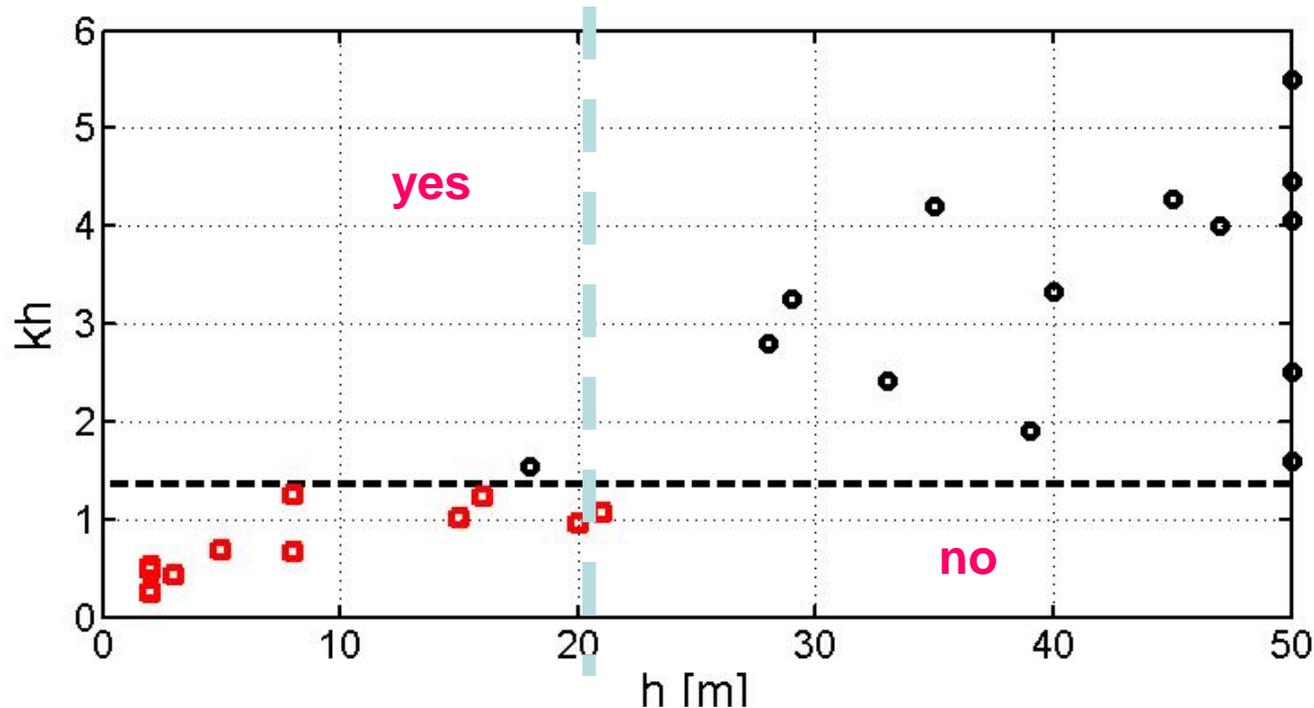
Rogue waves in intermediate water depth

Where is the border between deep and shallow water and what happens with a decrease in water depth?

Criterion of modulational instability

$$kh > 1.363.$$

Border at
20 m depth



ISSN 0021-3640, JETP Letters, 2013, Vol. 97, No. 4, pp. 194–198. © Pleiades Publishing, Ltd., 2013.

**Based on the world
data 2006-2010**

**Rogue Waves in the Basin of Intermediate Depth and the Possibility
of Their Formation Due to the Modulational Instability[¶]**

I. I. Didenkulova^{a-c,*}, I. F. Nikolkina^{a,c}, and E. N. Pelinovsky^{c,d}

NLS equation for an arbitrary depth

$$i \frac{\partial A}{\partial t} + \mu \frac{\partial^2 A}{\partial x^2} + \gamma |A|^2 A = 0$$

dispersion
coeff

nonlinearity
coeff

NLS for complex wave
amplitude A

$$\mu = \mu_\infty M(kh), \quad \mu_\infty = \frac{1}{2} \frac{\partial^2 \omega}{\partial k^2} = -\frac{1}{8} \frac{g^2}{\omega^3},$$

$$M = [\sigma - kh(1 - \sigma^2)]^2 + 4k^2 h^2 \sigma^2 (1 - \sigma^2)$$

$$\gamma = \gamma_\infty G(kh), \quad \gamma_\infty = -\frac{\omega k^2}{2} = -\frac{\omega^5}{2g^2},$$

$$G = \frac{1}{4\sigma^4} \left\{ \frac{1}{c_{gr}^2 - gh} [4c_{ph}^2 + 4c_{ph}c_{gr}(1 - \sigma^2) + gh(1 - \sigma^2)^2] + \frac{1}{2\sigma^2} (9 - 10\sigma^2 + 9\sigma^4) \right\},$$

$$\sigma = \tanh(kh)$$

coefficient of NLS
in deep waters

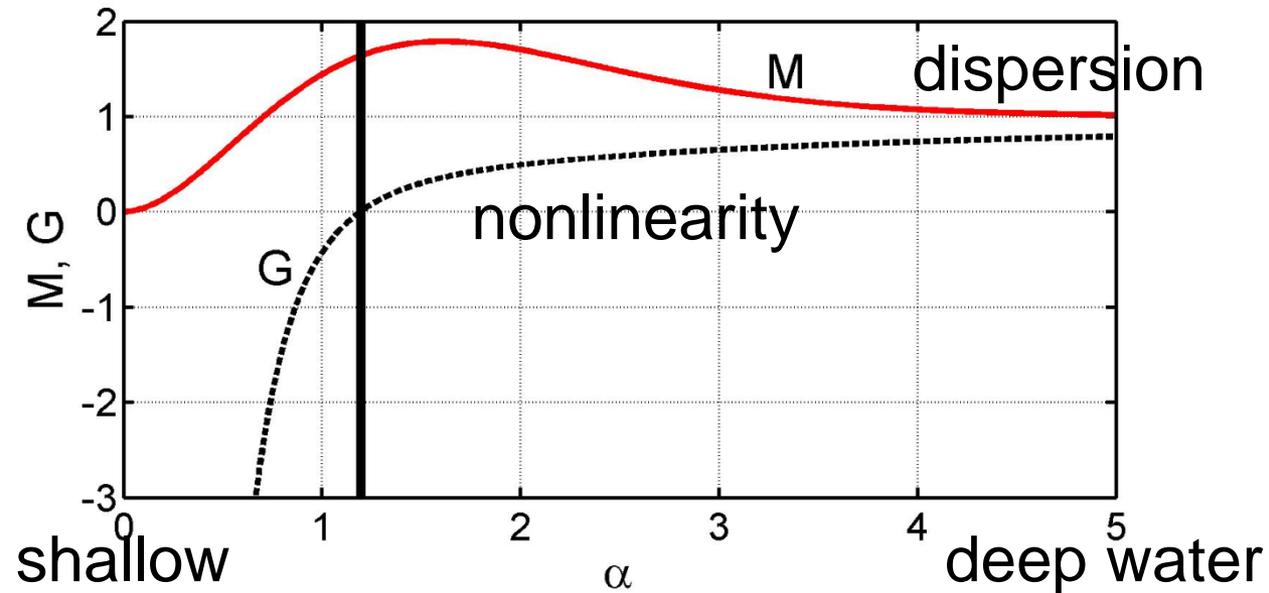
correction, related
to the finiteness of
the water depth

Coefficients of dispersion and nonlinearity

$$\alpha = \omega^2 h / g,$$

$$a_0 \approx 1.195$$

[Criterion of modulational instability]



- Wave becomes more linear while approaching the modulational instability limit: its nonlinearity decreases and its dispersion is still high and close to its maximum value
- μ is always negative, while γ changes its sign from negative to positive passing through the critical value of kh

Modulational instability regime

$$i \frac{\partial u}{\partial \tau} + \frac{\partial^2 u}{\partial y^2} + 2|u|^2 u = 0,$$

Canonical form

$$y = kx, u = A \sqrt{\gamma/2\mu k^2}, \tau = -\mu k^2 t.$$

Family of rational or multi-rational solutions (breathers), which allow different shapes of rogue waves

$$A(x, t) = A_0 \left[-1 + \frac{4 - 8i\gamma A_0^2 t}{1 + 2\gamma A_0^2 x^2 / \mu + 4\gamma^2 A_0^4 t^2} \right] \exp(-i\gamma A_0^2 t).$$

Peregrine breather
[in original variables]

$$L \sim \frac{1}{A_0} \sqrt{\frac{\mu}{\gamma k_0}} \quad \text{its length}$$

$$T \sim \frac{1}{\gamma A_0^2} \quad \text{duration}$$

Peregrine breather

$$A(x, t) = A_0 \left[-1 + \frac{4 - 8i\gamma A_0^2 t}{1 + 2\gamma A_0^2 x^2 / \mu + 4\gamma^2 A_0^4 t^2} \right] \exp(-i\gamma A_0^2 t).$$

Peregrine breather
[in original variables]

$$L \sim \frac{1}{A_0} \sqrt{\frac{\mu}{\gamma k_0}}$$

$$T \sim \frac{1}{\gamma A_0^2}$$

$$n_L \sim kL \sim \frac{B_L}{\varepsilon}, \quad n_T \sim \omega T \sim \frac{B_T}{\varepsilon^2}, \quad \varepsilon = kA,$$

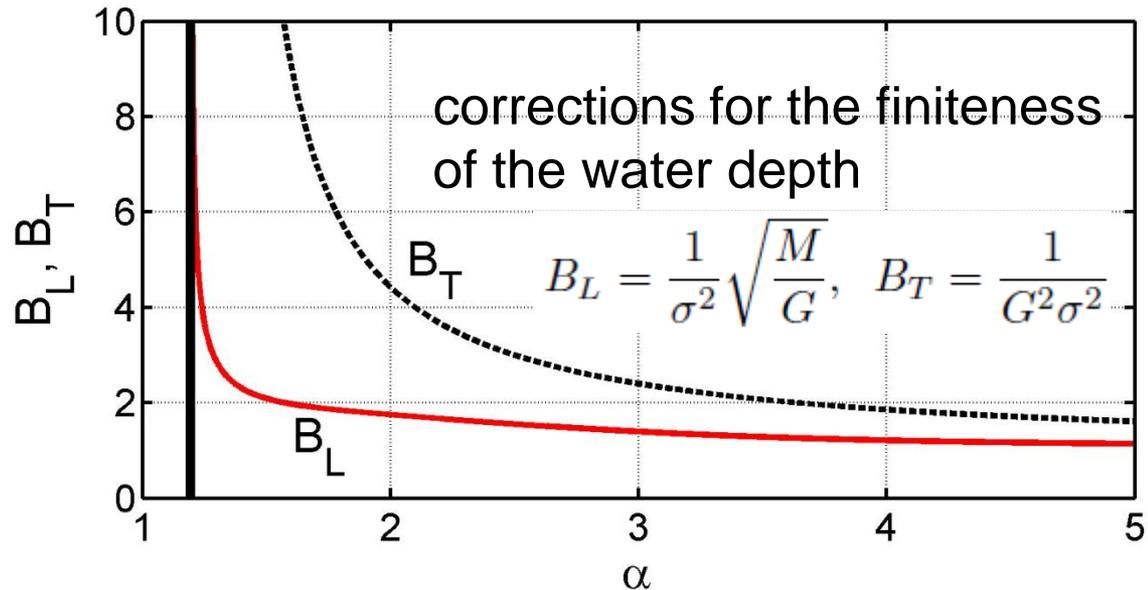
Wave steepness

Number of waves is
space and in time

$$\alpha = \omega^2 h / g,$$

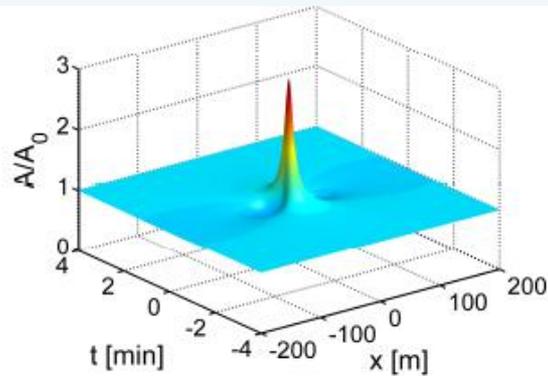
$$a_0 \approx 1.195$$

[Criterion of modulational
instability]

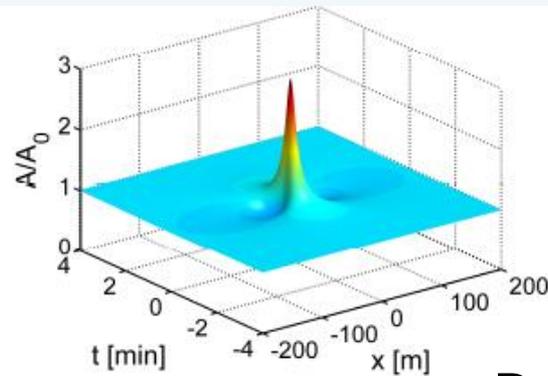


Especially intensive increase in breather duration – long life!

Rogue waves in intermediate water depth

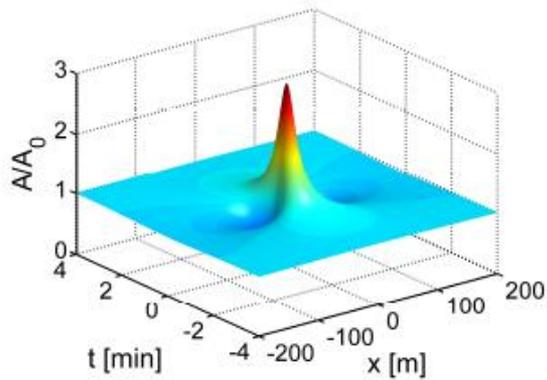


(a)

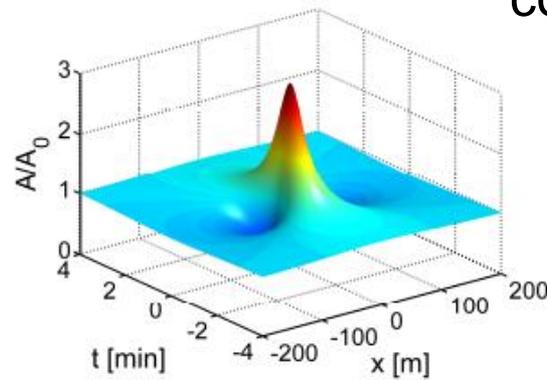


(b)

Draupner wave conditions



(c)



(d)

Peregrine breather at the background of the carrier wave with the period 6 s and amplitude 3 m for a) $kh = \infty$; b) $kh = 5$; c) $kh = 2$; d) $kh = 1.6$.

Note: for a fixed wave period and amplitude, the number of individual waves within breather increases with a decrease in kh . As a result, the rogue event in shallow water contains more hazardous waves than in deep water

Rogue waves in shallow water: possible mechanisms

- Wave-current interaction
 - ✓ Wave blocking
 - ✓ Random caustics
- Wave-bottom (coast) interaction
 - ✓ Focuses
 - ✓ Random caustics
- “Itself” wave dynamics
 - ✓ Nonlinear wave interaction
 - ✓ Dispersive focusing
 - ✓ ~~Modulational instability~~
- ~~Wave-atmosphere interaction~~

Weak dispersion leads to relatively long lifetime of individual waves, which makes them more hazardous!

The most probable mechanism of rogue wave generation in deep water does not work in shallow water!

Shallow Water Equations (Hyperbolic System)

Constant Depth, No boundaries

1D Problem

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x} [Hu] = 0$$

$$\frac{\partial(uH)}{\partial t} + \frac{\partial}{\partial x} \left[Hu^2 + \frac{gH^2}{2} \right] = 0$$

H – total water depth

u – depth averaged velocity

g – gravity acceleration

Unidirectional Riemann Wave (right)

$$\frac{\partial H}{\partial t} + V(H) \frac{\partial H}{\partial x} = 0$$

$$u = 2\left(\sqrt{gH} - \sqrt{gh}\right)$$

$$H(x, t) = H_0[x - V(H)t]$$

$$V = \sqrt{gh} + \frac{3u}{2} = 3\sqrt{gH} - 2\sqrt{gh}$$

**Velocity of
points
on wave profile**

Random Non-breaking Riemann Wave

$$H(x, t) = H_0 [x - V(H)t]$$

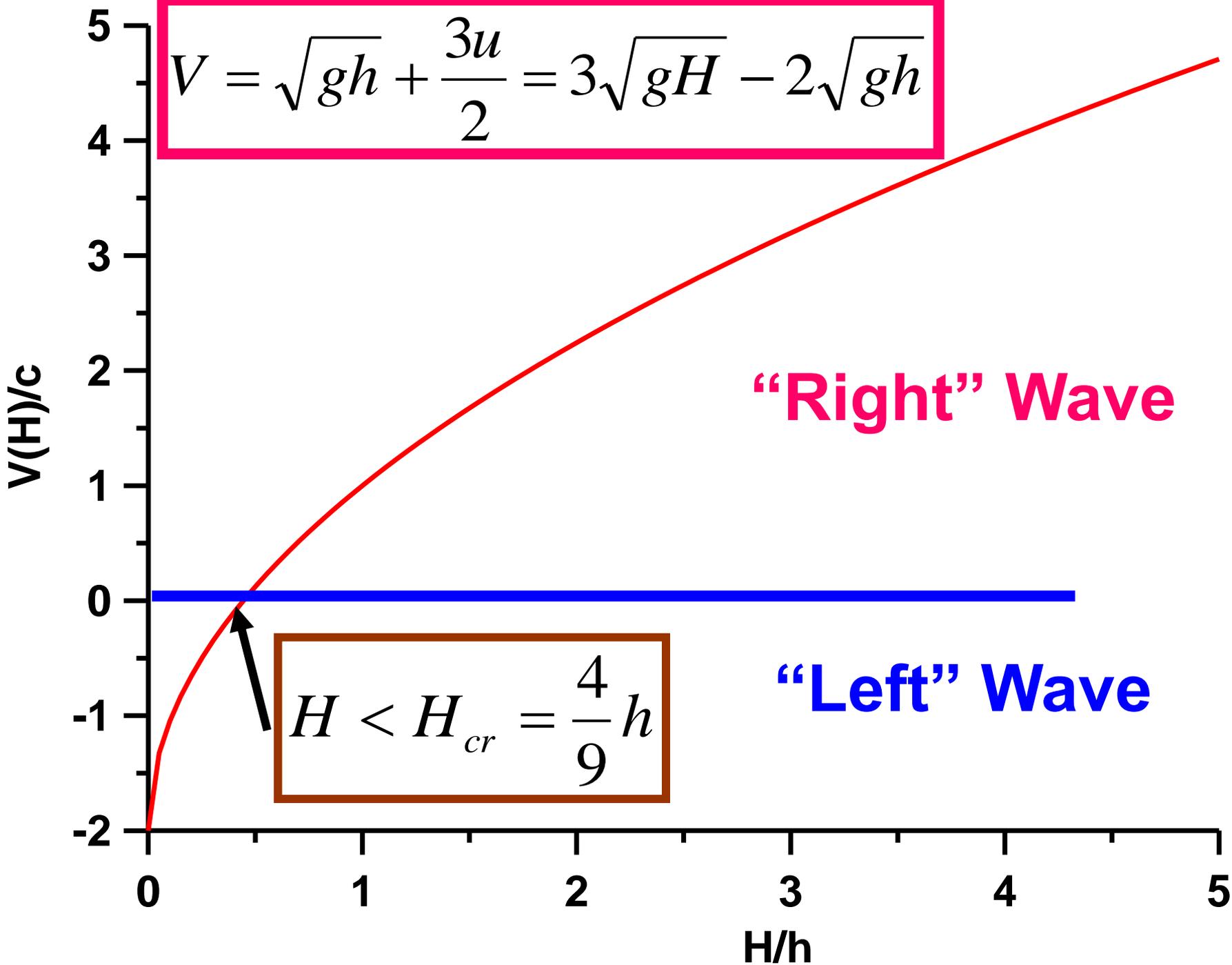
No Variation in Statistics!

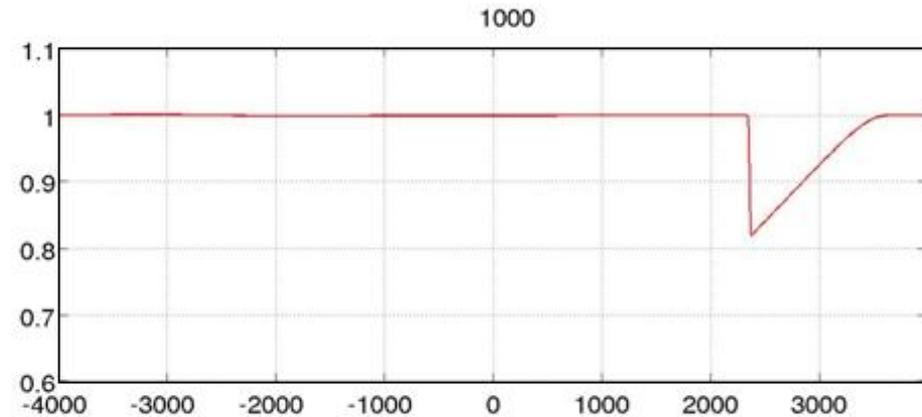
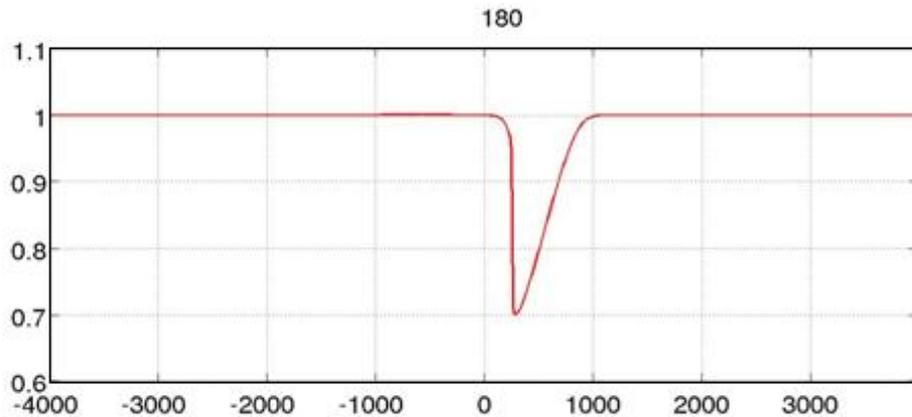
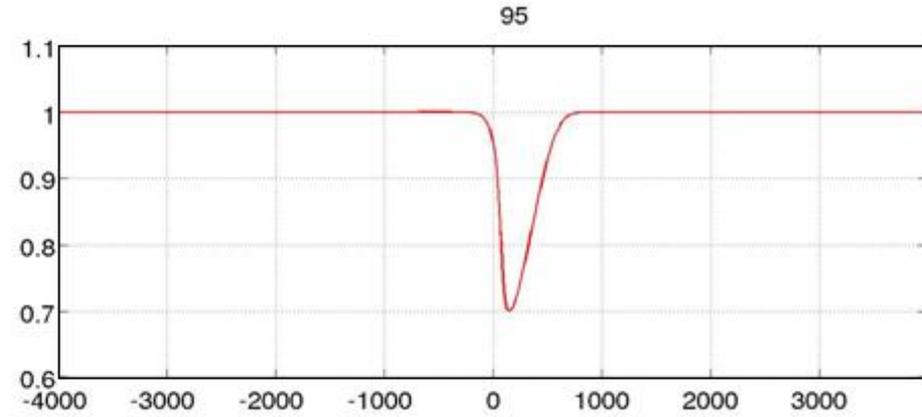
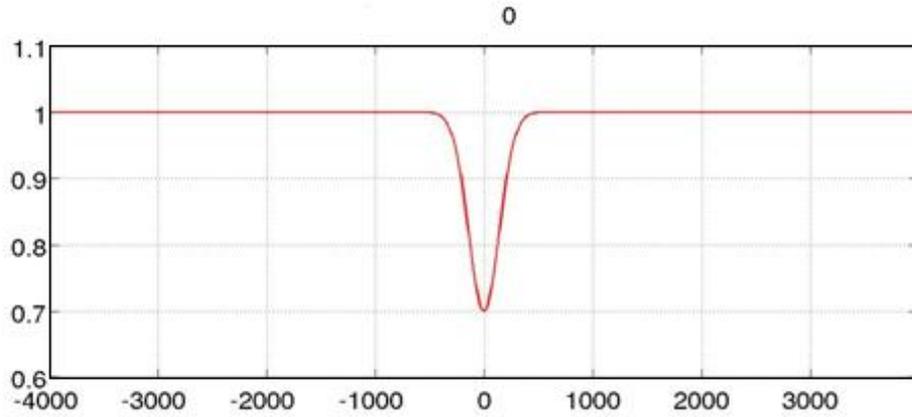
$$u = 2 \left(\sqrt{gH} - \sqrt{gh} \right)$$

Probability density function W

$$W(u) = W(\eta) \left| d\eta / du \right|$$

**One of them
or both
are
Non-Gaussian**

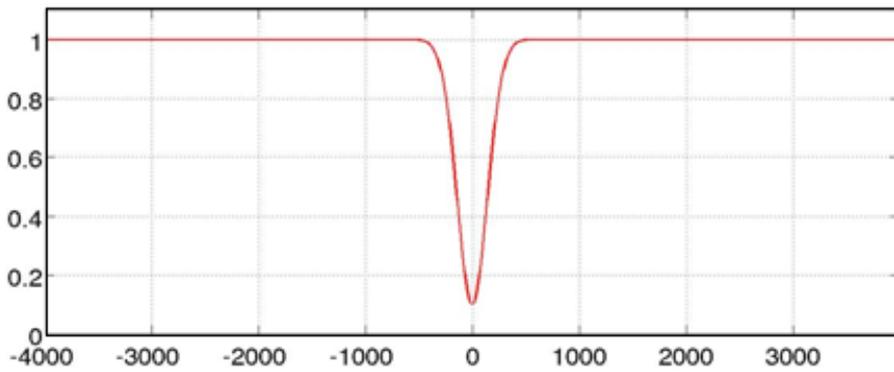




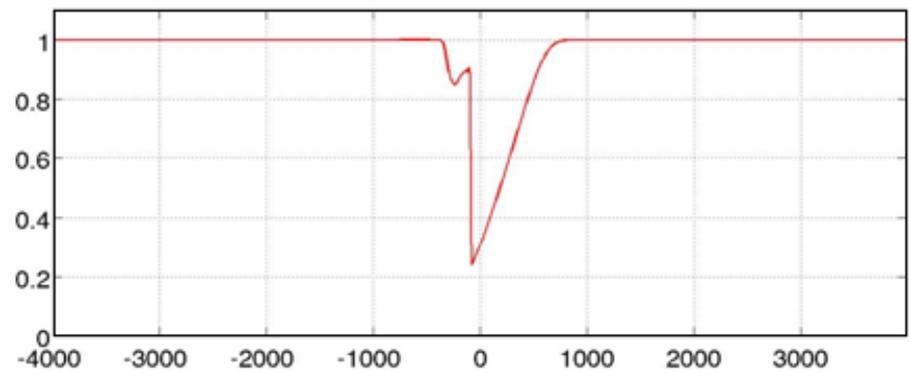
Weak Amplitude – 0.3 m
Depth 1 m

Really, for this condition – soliton generation, no hydraulic jump

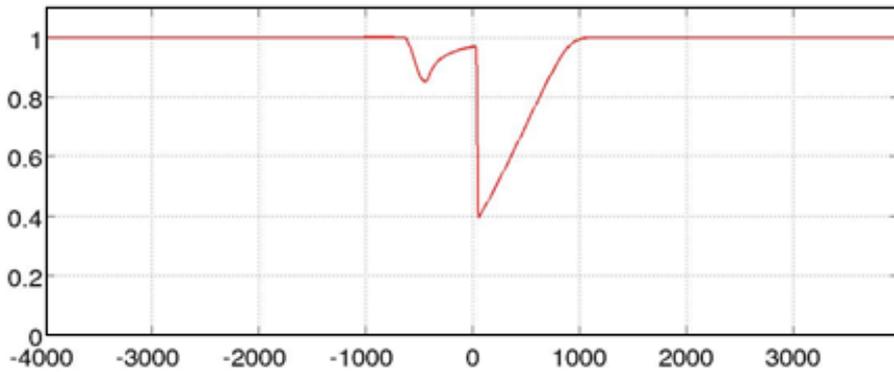
0



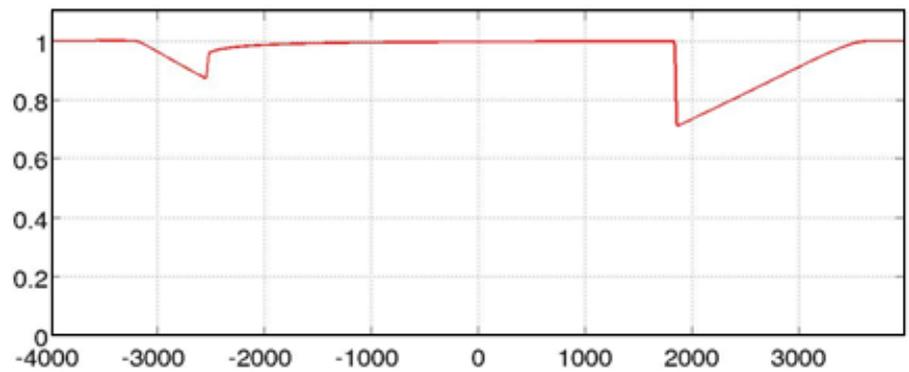
95



180



1000



Strong Amplitude – 0.9 m
Depth – 1m

Shock formation decreases the rogue wave probability

KdV model for shallow water

$$\frac{\partial \eta}{\partial t} + c \left(1 + \frac{3\eta}{2h} \right) \frac{\partial \eta}{\partial x} + \frac{ch^2}{6} \frac{\partial^3 \eta}{\partial x^3} = 0$$

Inverse scattering method

$$\frac{d^2 \Psi}{dx^2} + (\lambda - U(x, t)) \Psi = 0$$

$$U = -\frac{3\eta}{2h^3}$$

Discrete λ - solitons

Continuous λ - dispersive tail

Korteweg – de Vries equation

Periodic boundary conditions – cnoidal waves

Representation of the solutions through
Theta-Function (*Matveev, Osborne et al*)

A.R. Osborne, E. Segre, and G. Boffetta, Soliton basis states in shallow-water ocean surface waves, **Phys. Rev. Lett.** 67 (1991) 592-595.

A.R. Osborne, Numerical construction of nonlinear wave-train solutions of the periodic Korteweg – de Vries equation, **Phys. Rev. E** 48 (1993) 296-309.

A.R. Osborne, Behavior of solitons in random-function solutions of the periodic Korteweg – de Vries equation, **Phys. Rev. Lett.** 71 (1993) 3115-3118.

A.R. Osborne, Solitons in the periodic Korteweg – de Vries equation, the Θ -function representation, and the analysis of nonlinear, stochastic wave trains, **Phys. Review E** 52 (1995) N. 1 1105-1122.

A.R. Osborne, and M. Petti, Laboratory-generated, shallow-water surface waves: analysis using the periodic, inverse scattering transform. **Phys. Fluids** 6 (1994) 1727-1744.

A.R. Osborne, M. Serio, L. Bergamasco, and L. Cavaleri, Solitons, cnoidal waves and nonlinear interactions in shallow-water ocean surface waves, **Physica D** 123 (1998) 64-81.

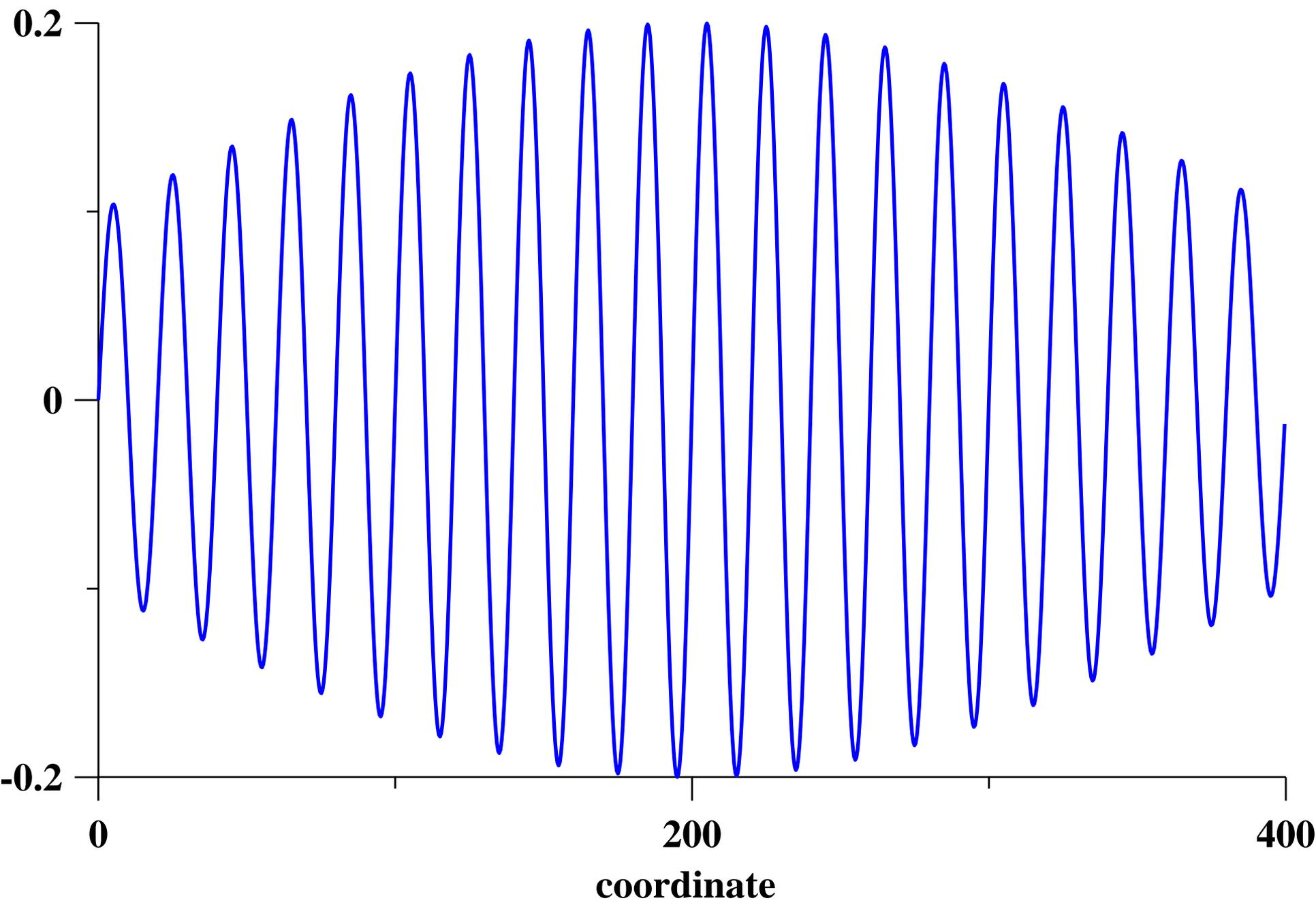
Korteweg – de Vries equation

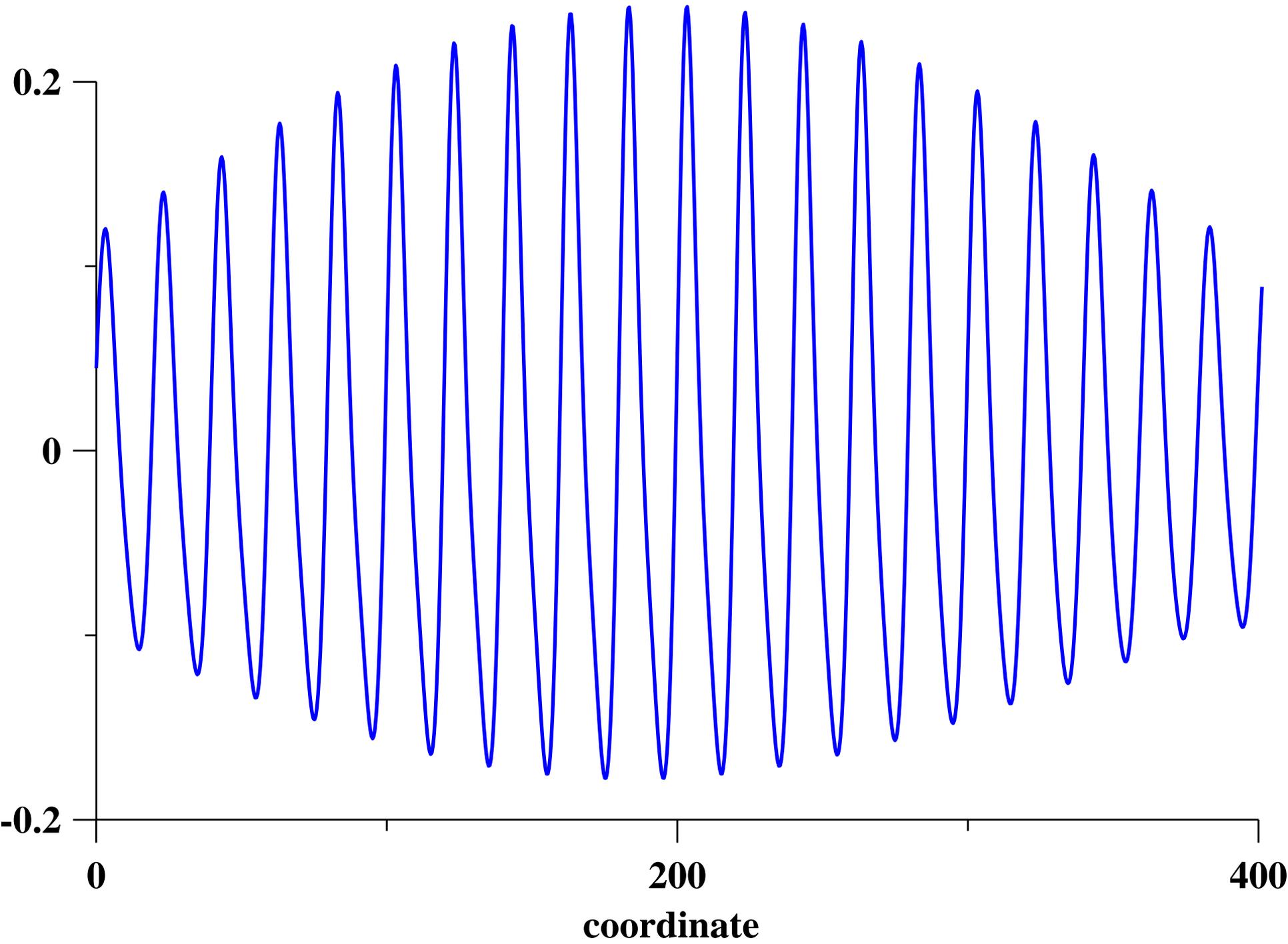
$$\frac{\partial \eta}{\partial \tau} + c \left(1 + \frac{3}{2h} \eta \right) \frac{\partial \eta}{\partial y} + \frac{ch^2}{6} \frac{\partial^3 \eta}{\partial y^3} = 0$$

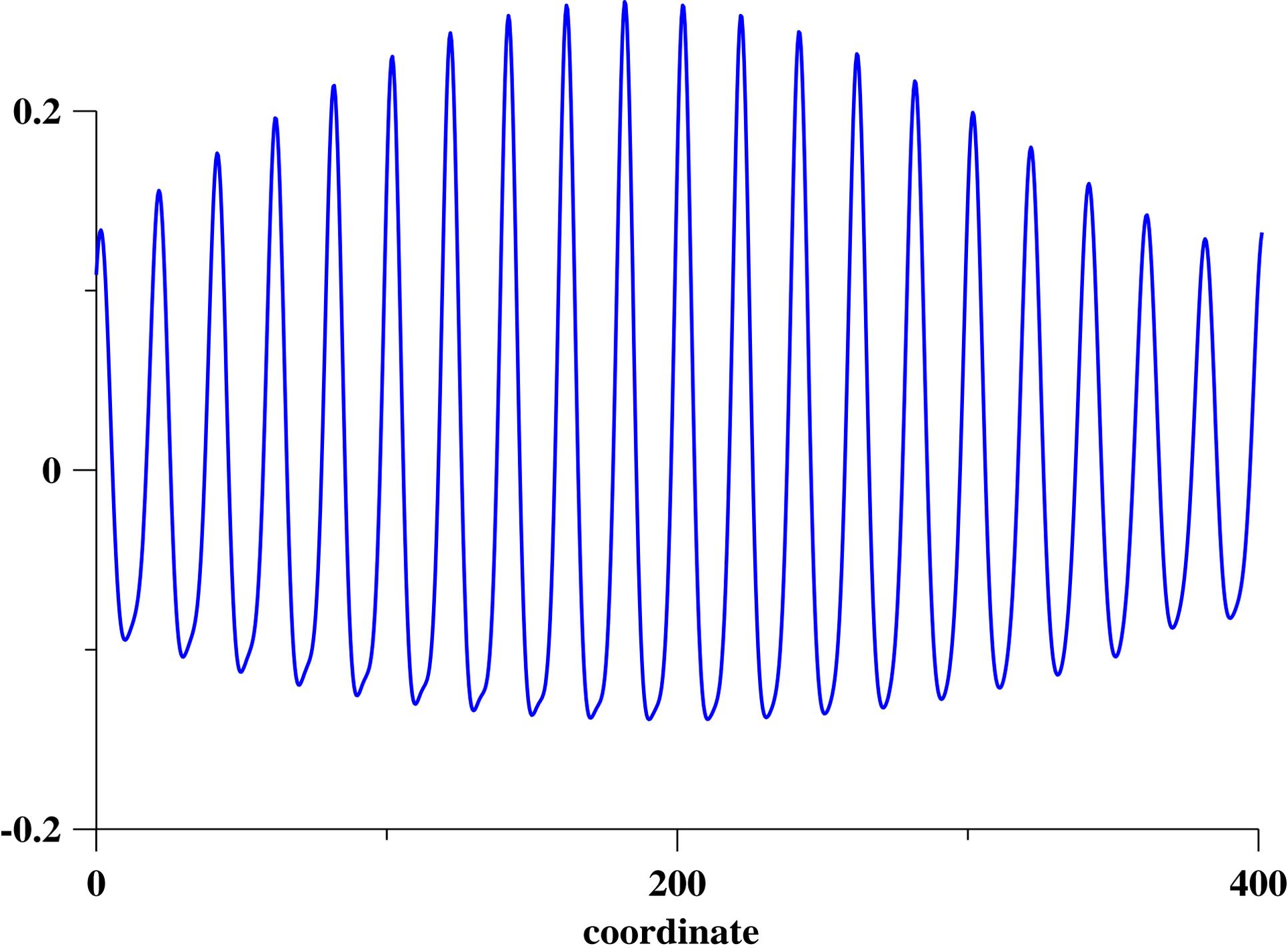
Modulated wave field:

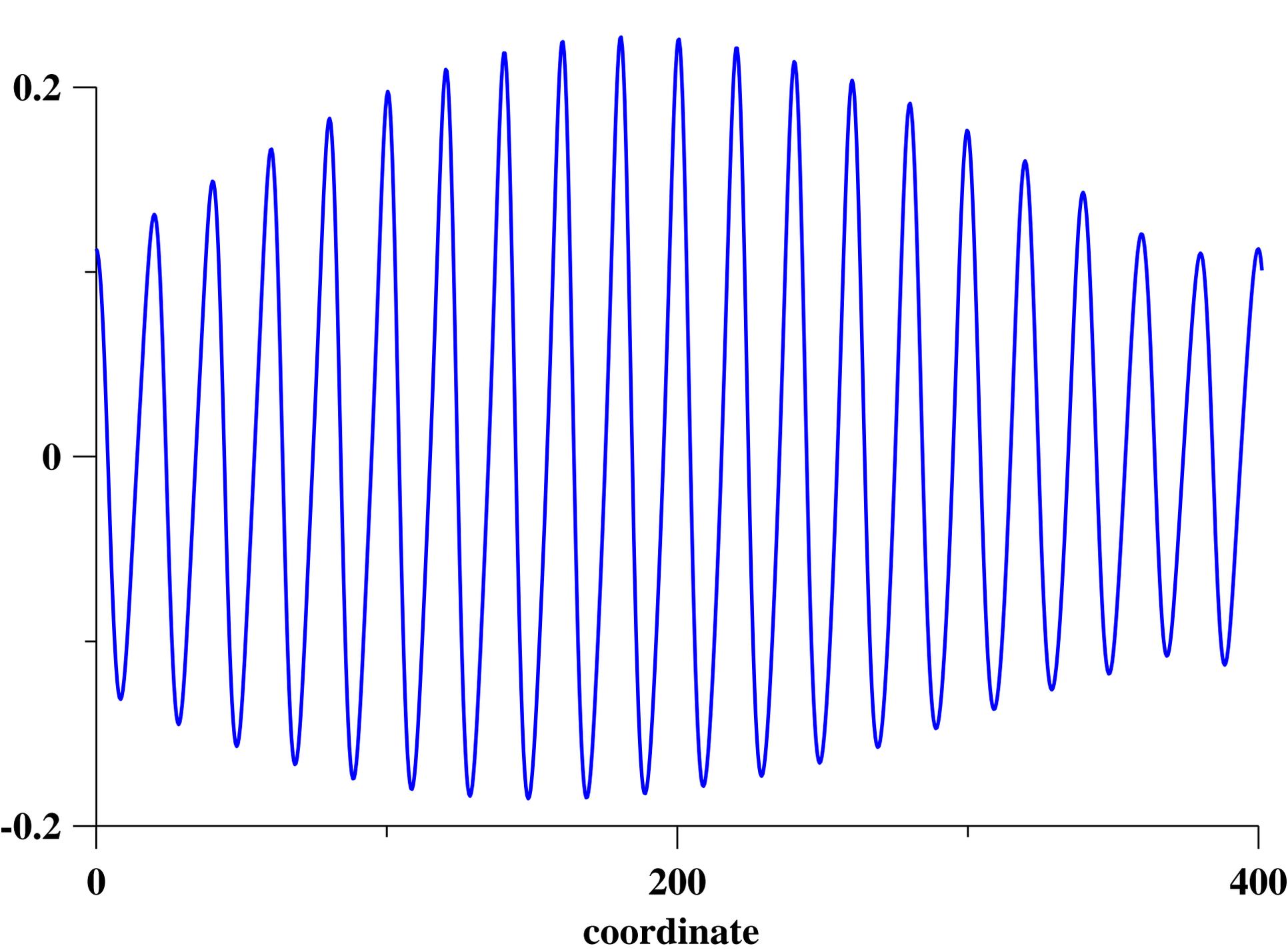
no Benjamin – Feir instability

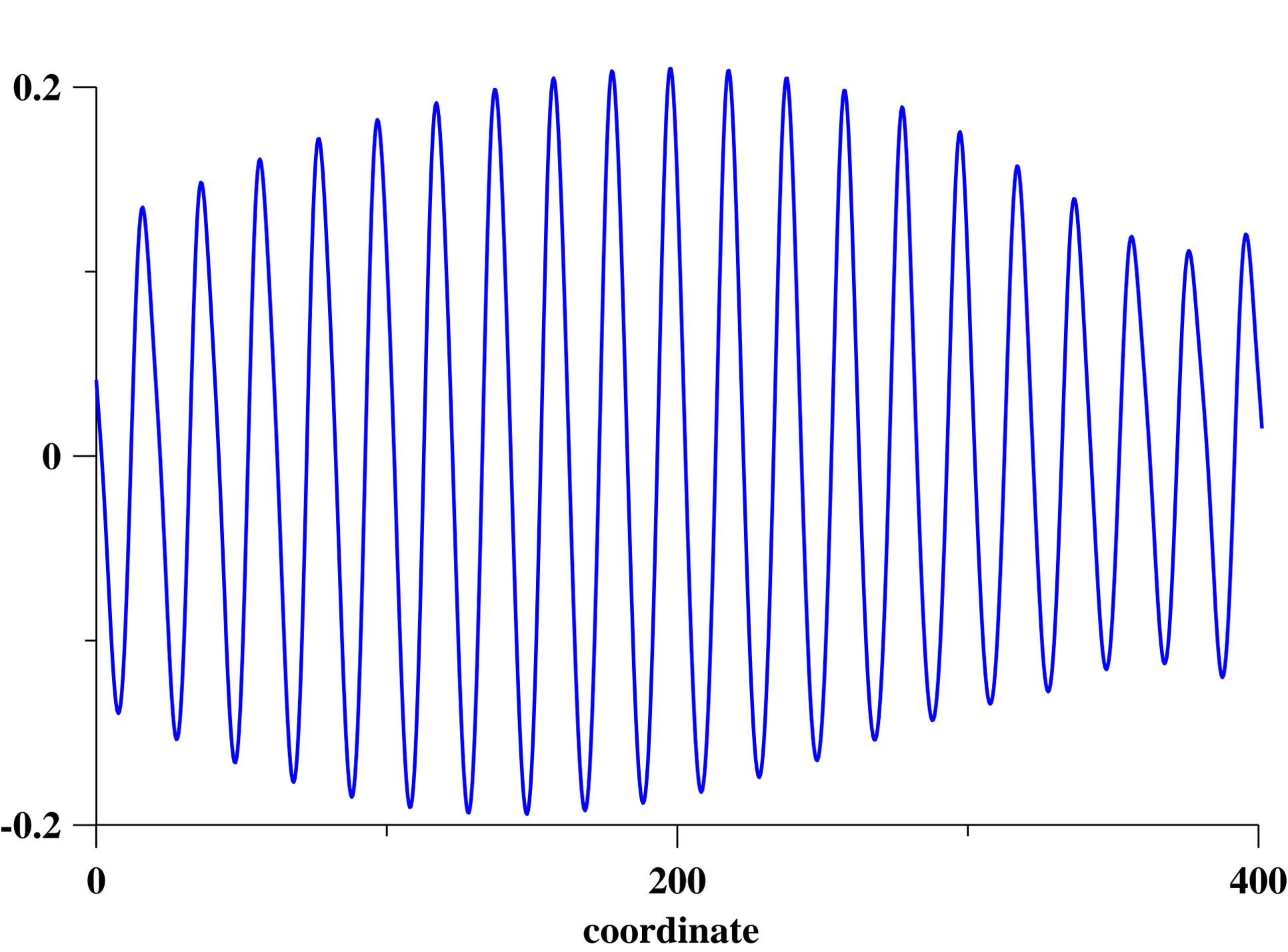
$$A(x,0) = A_0 \left(1 + m \sin(Kx) \right)$$

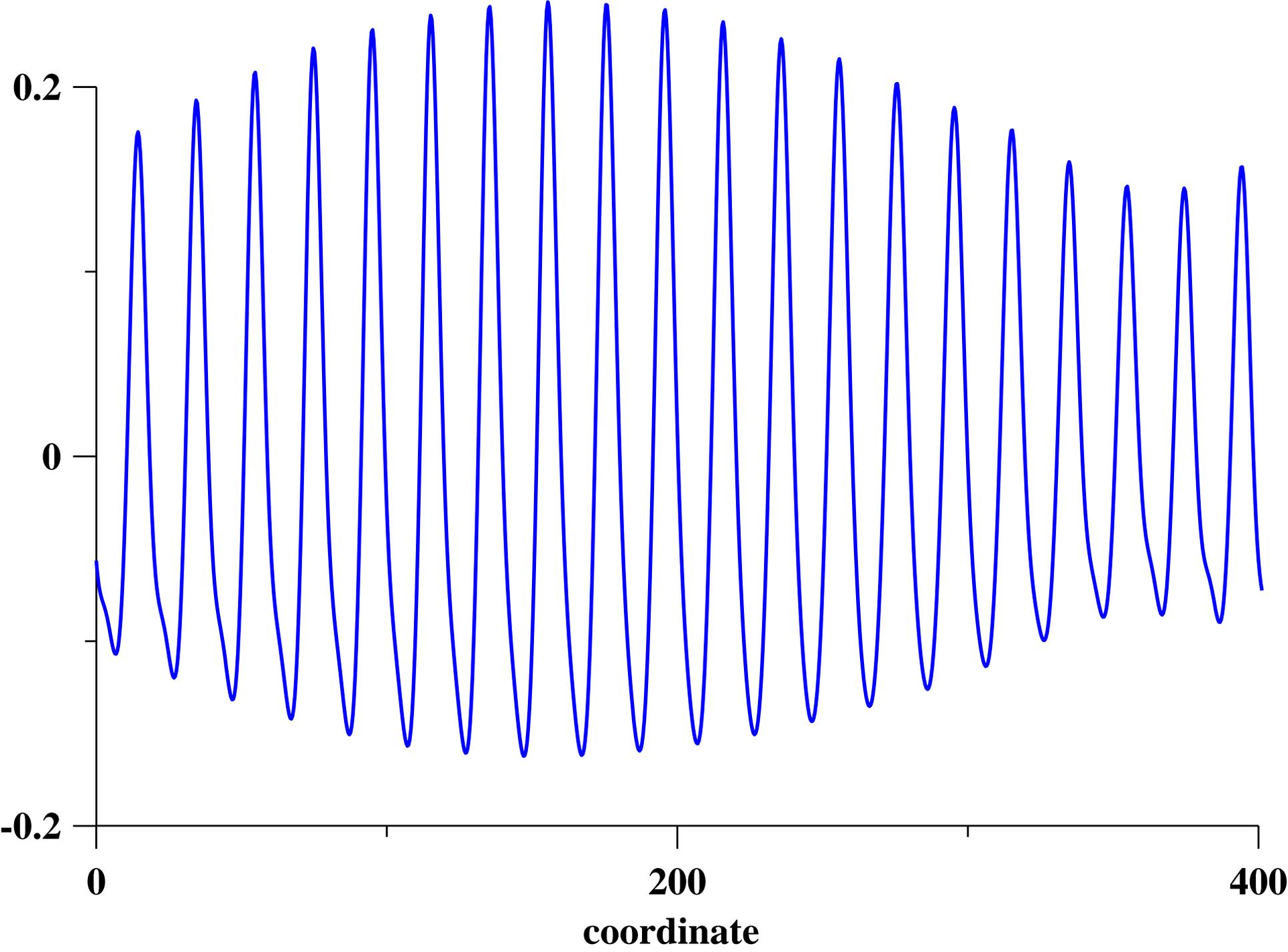


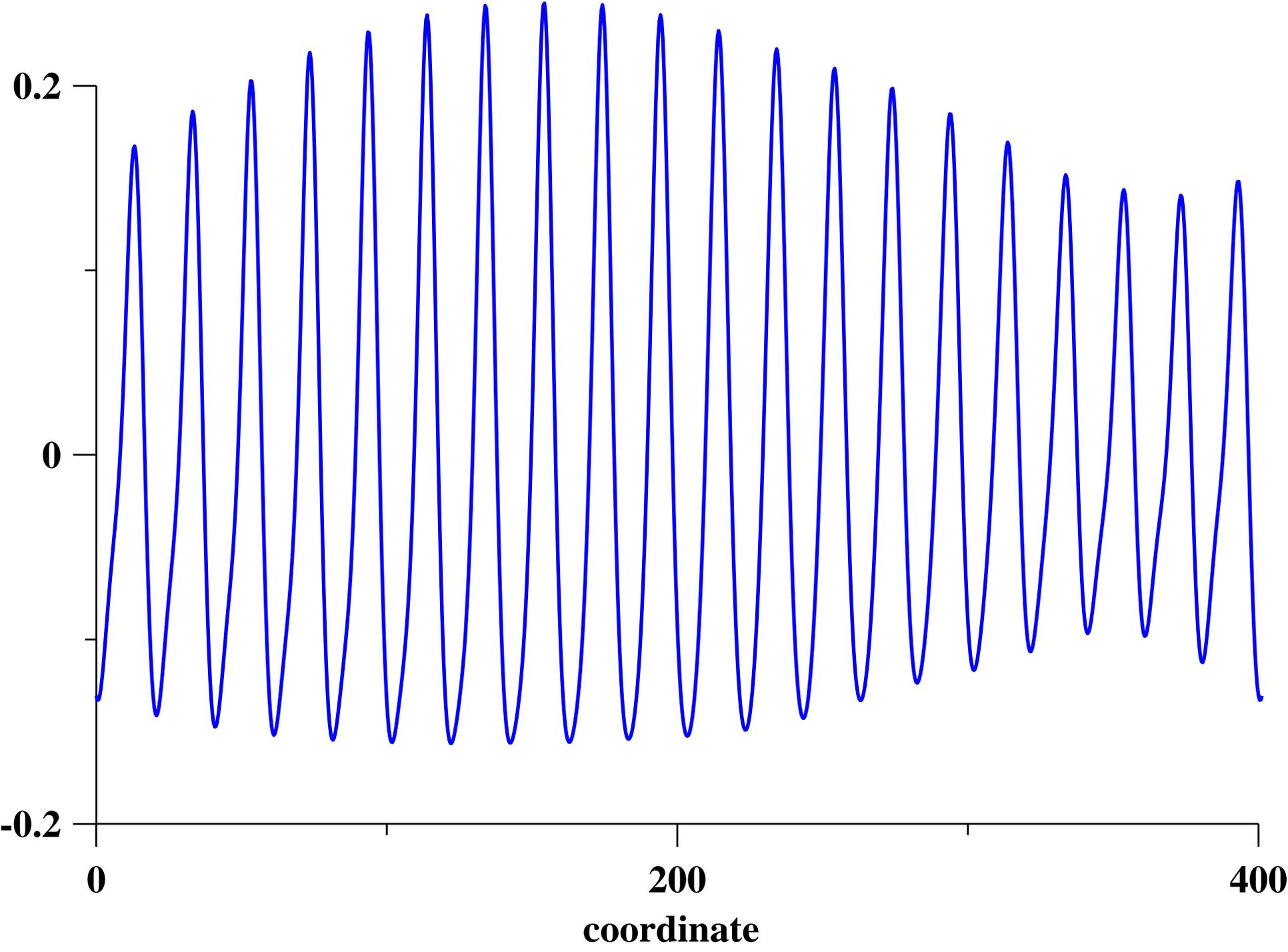


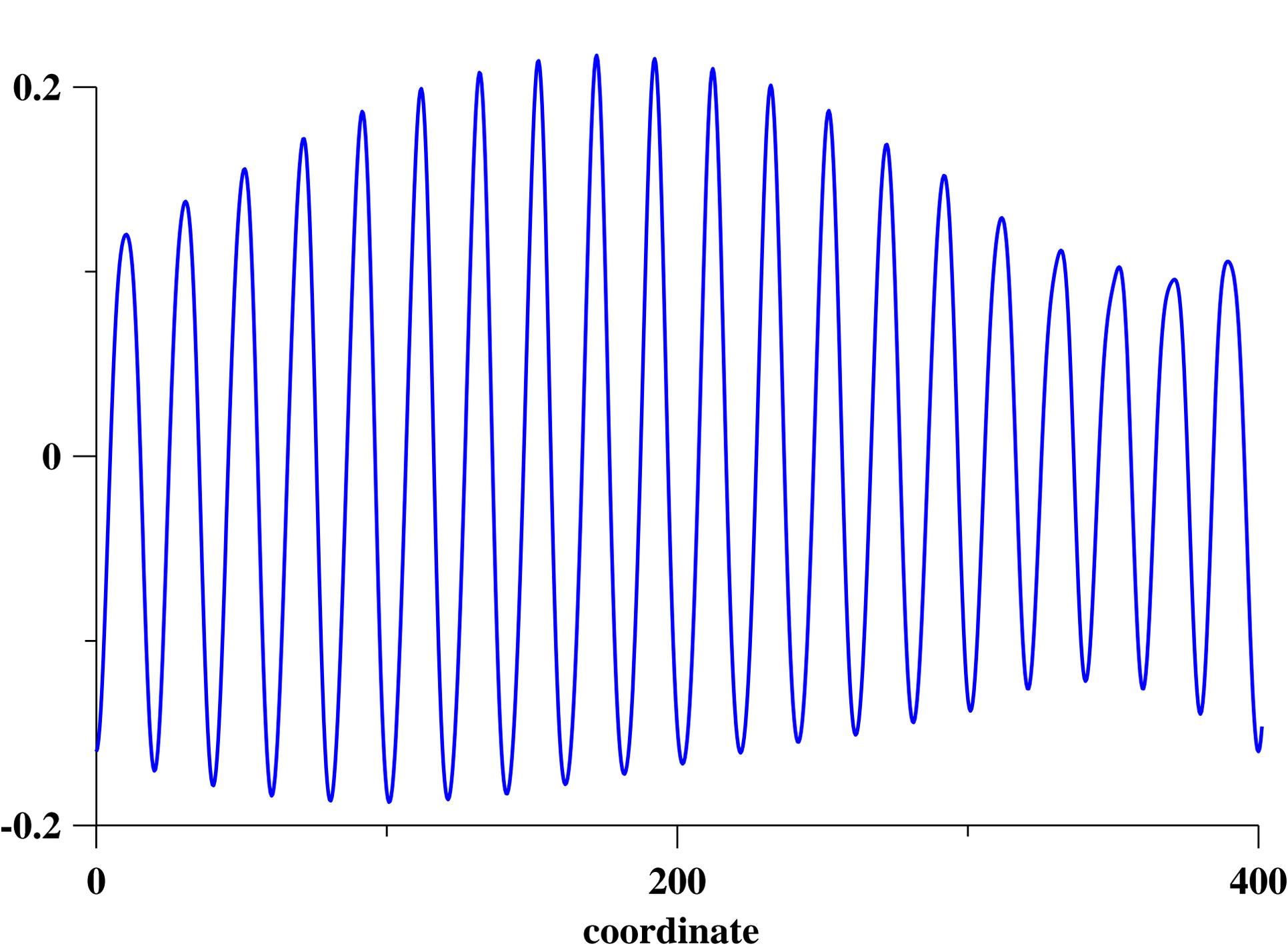


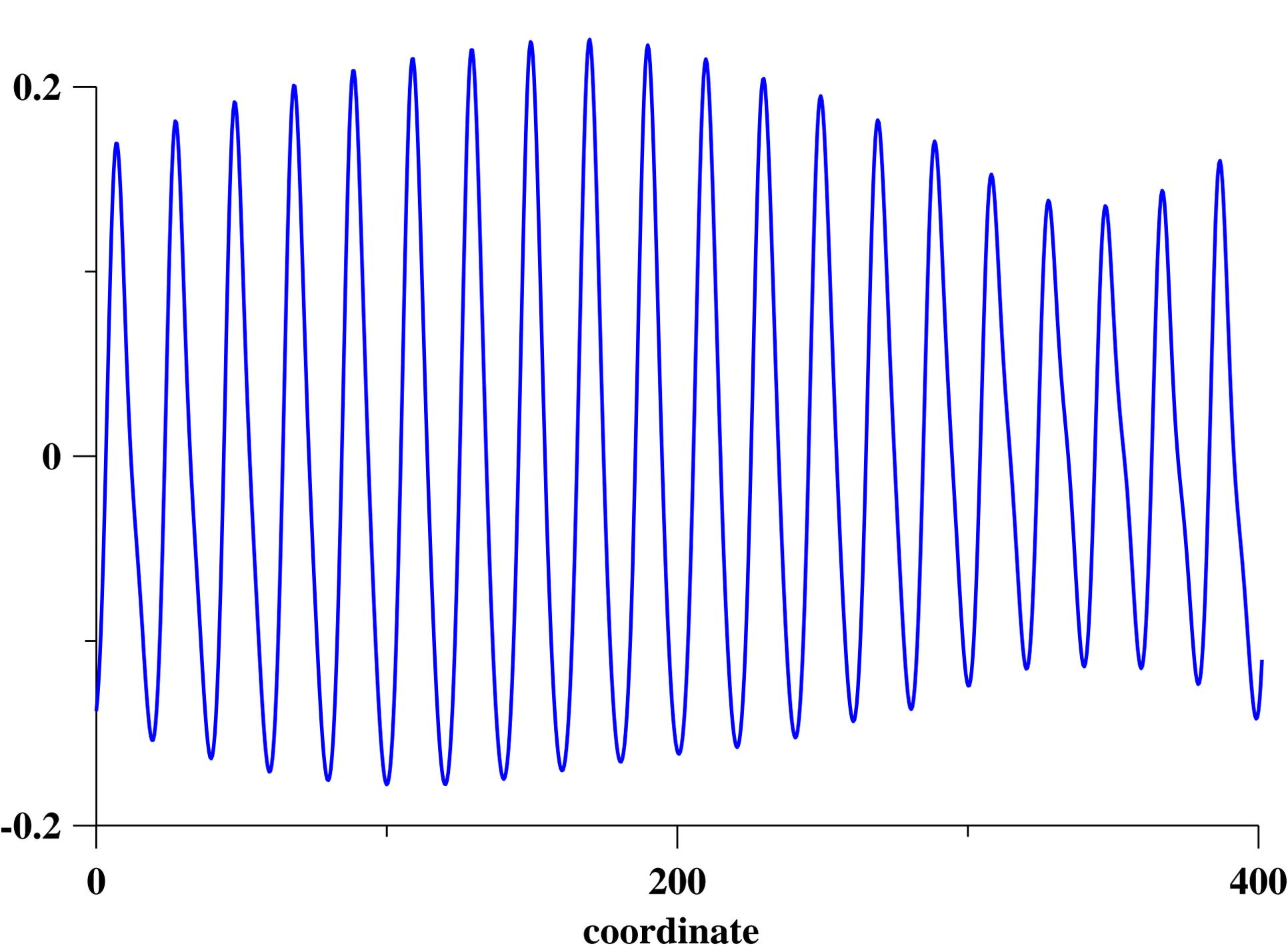


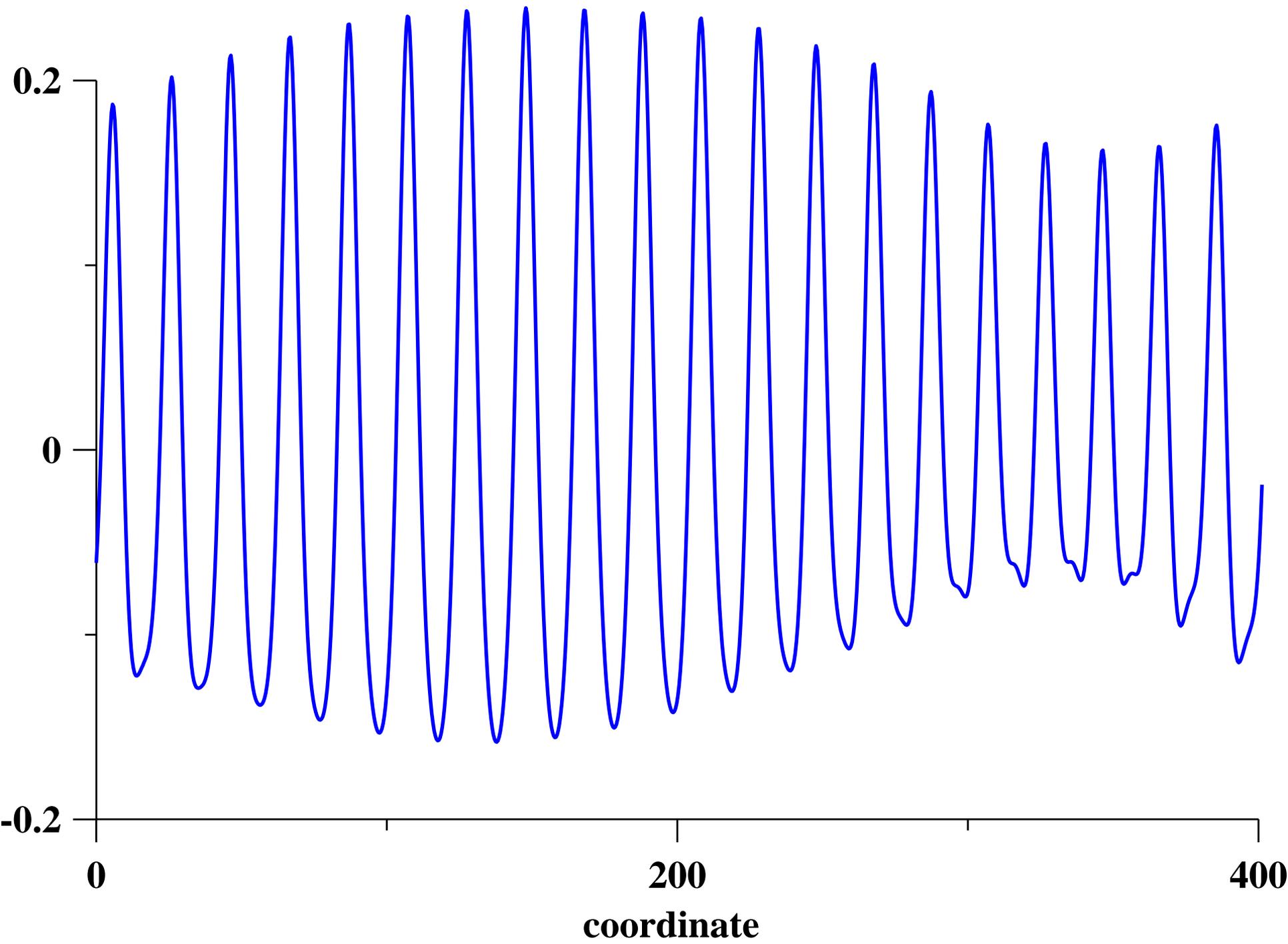


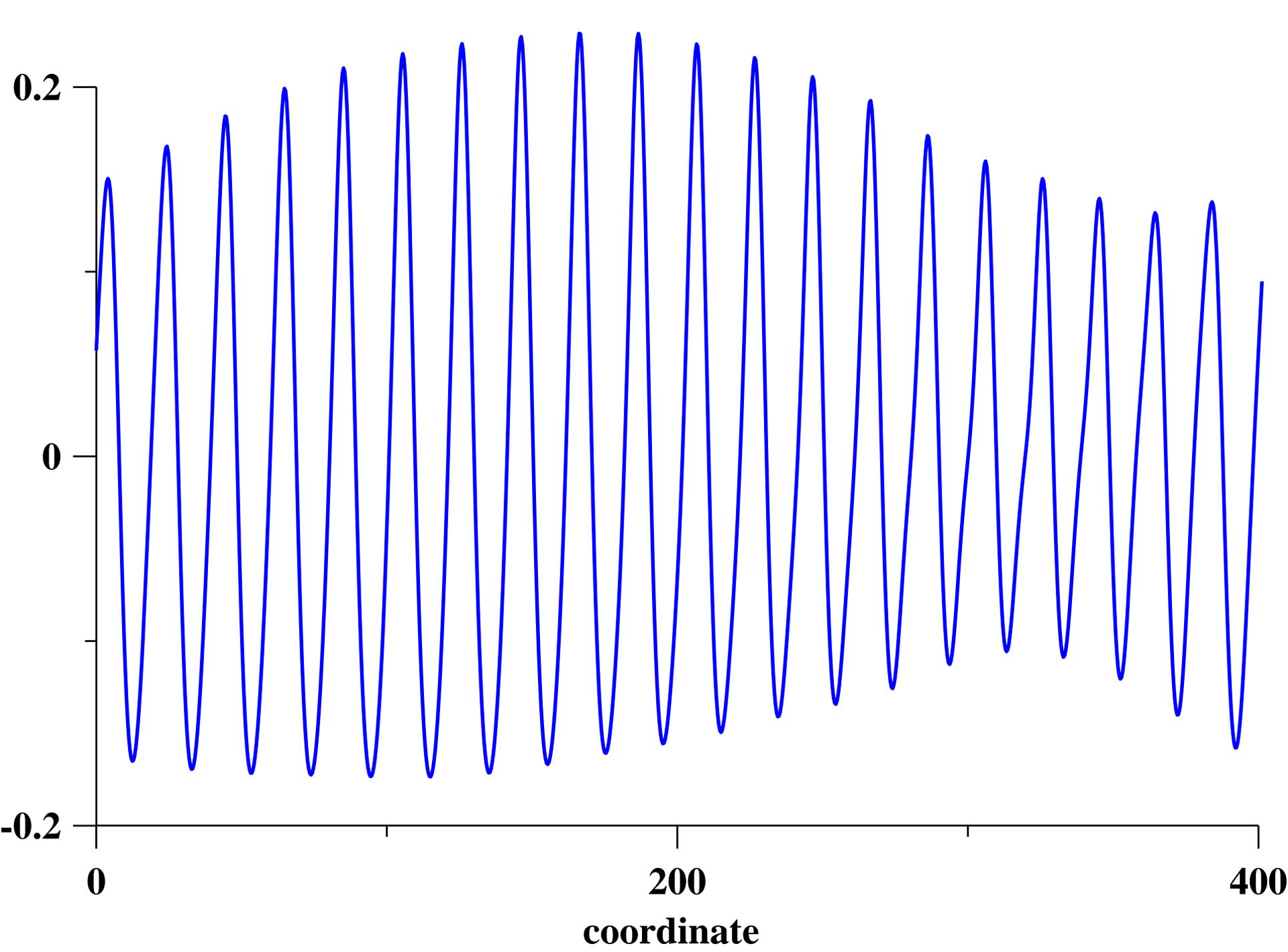


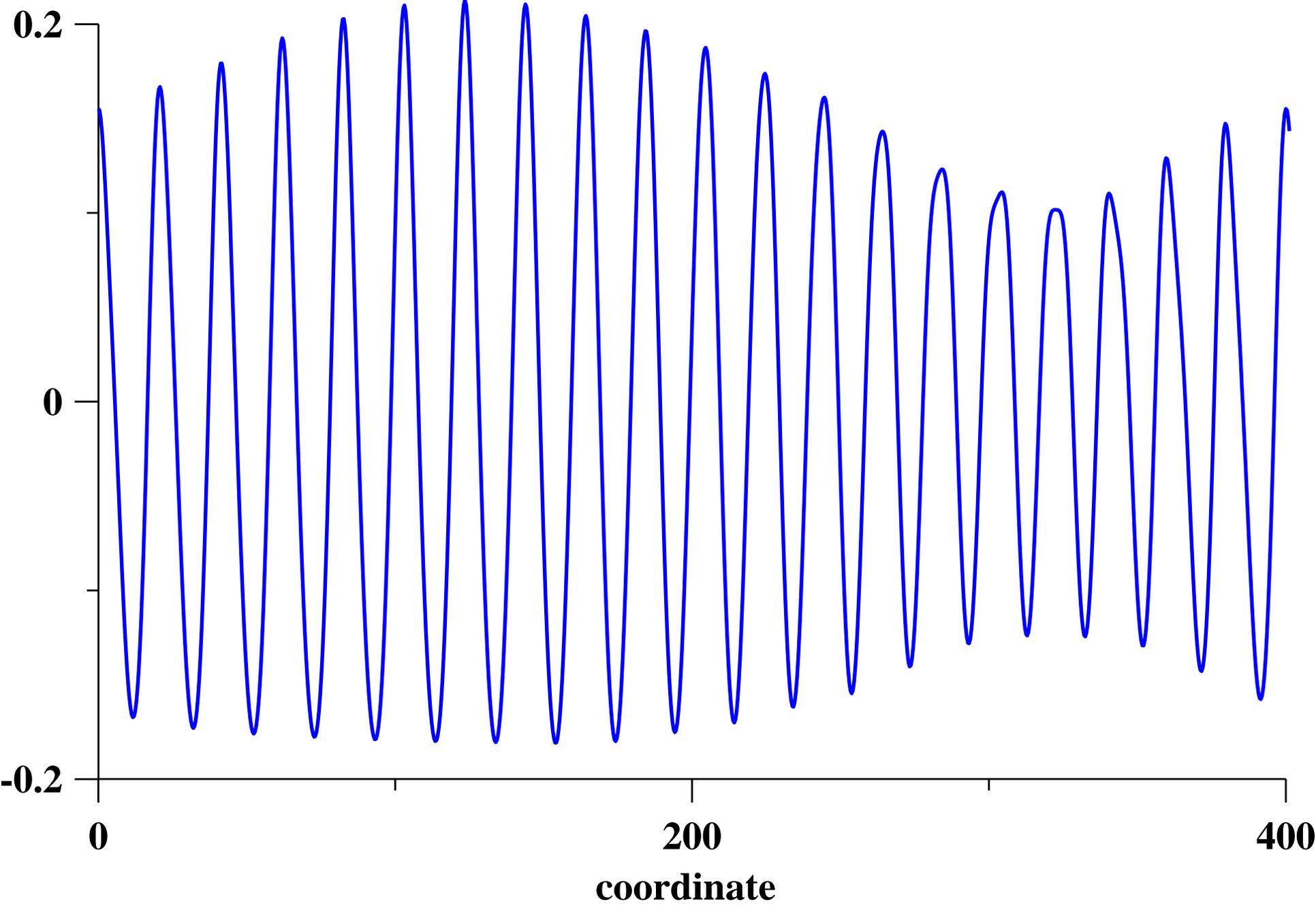


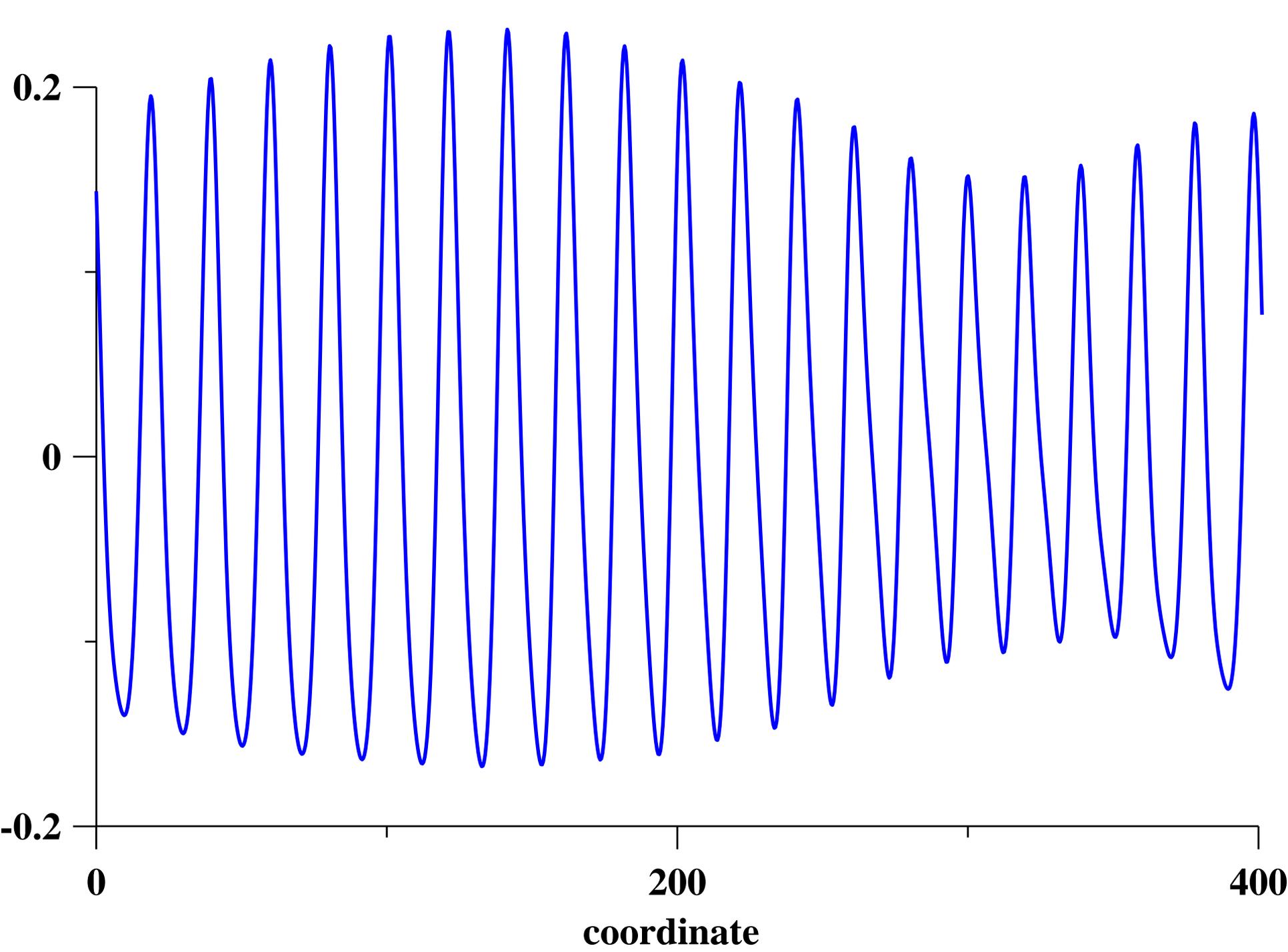


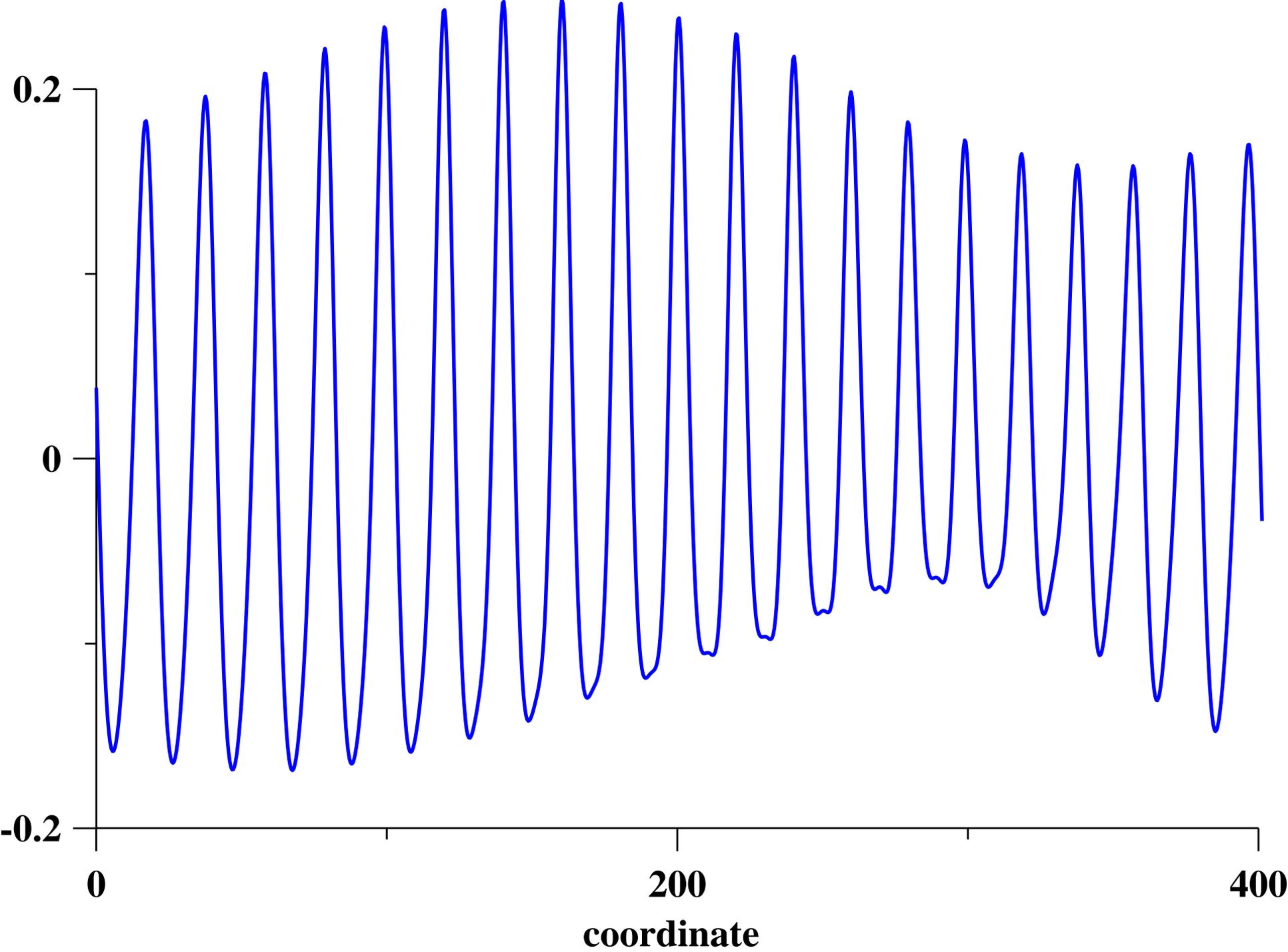


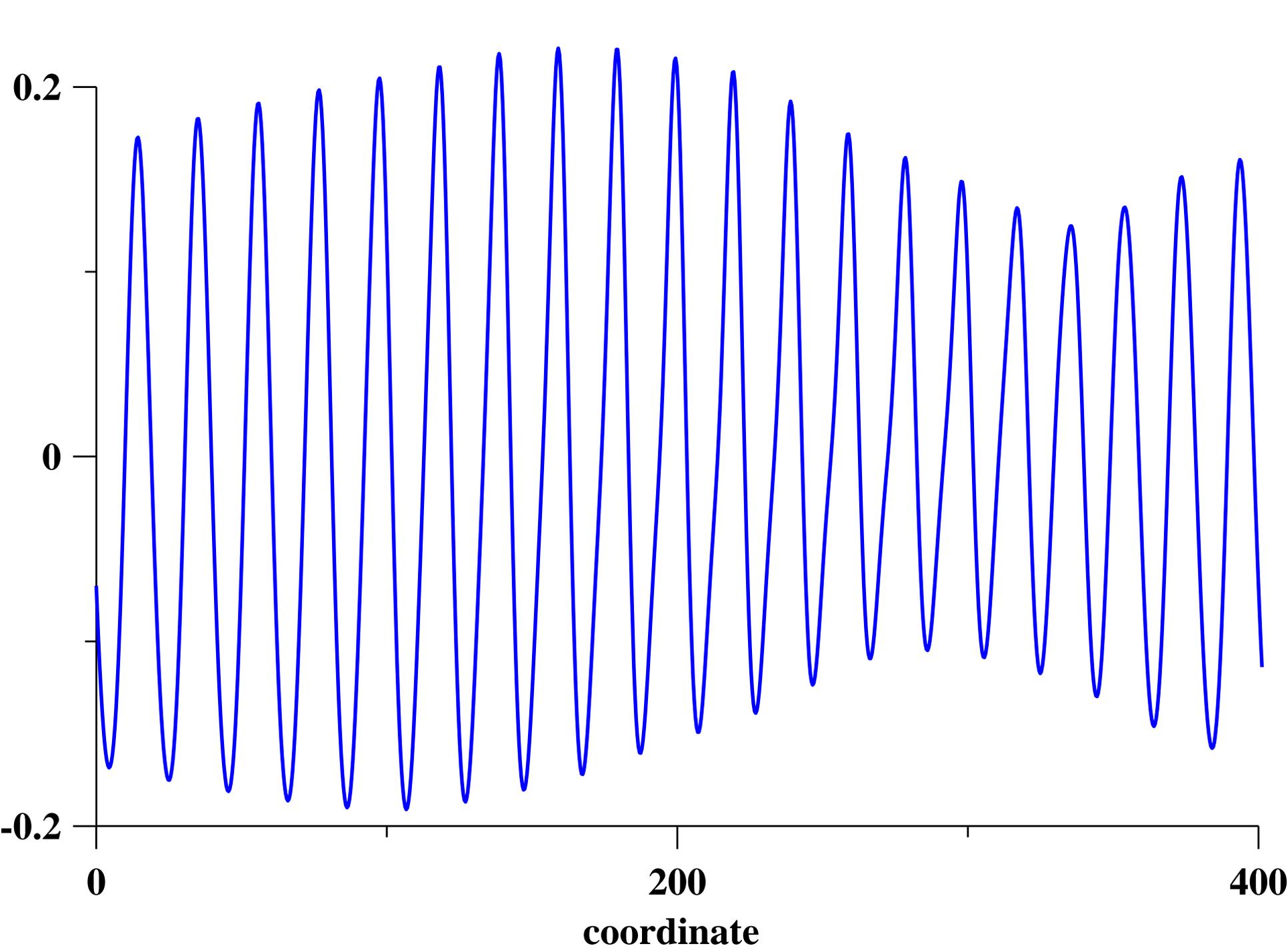


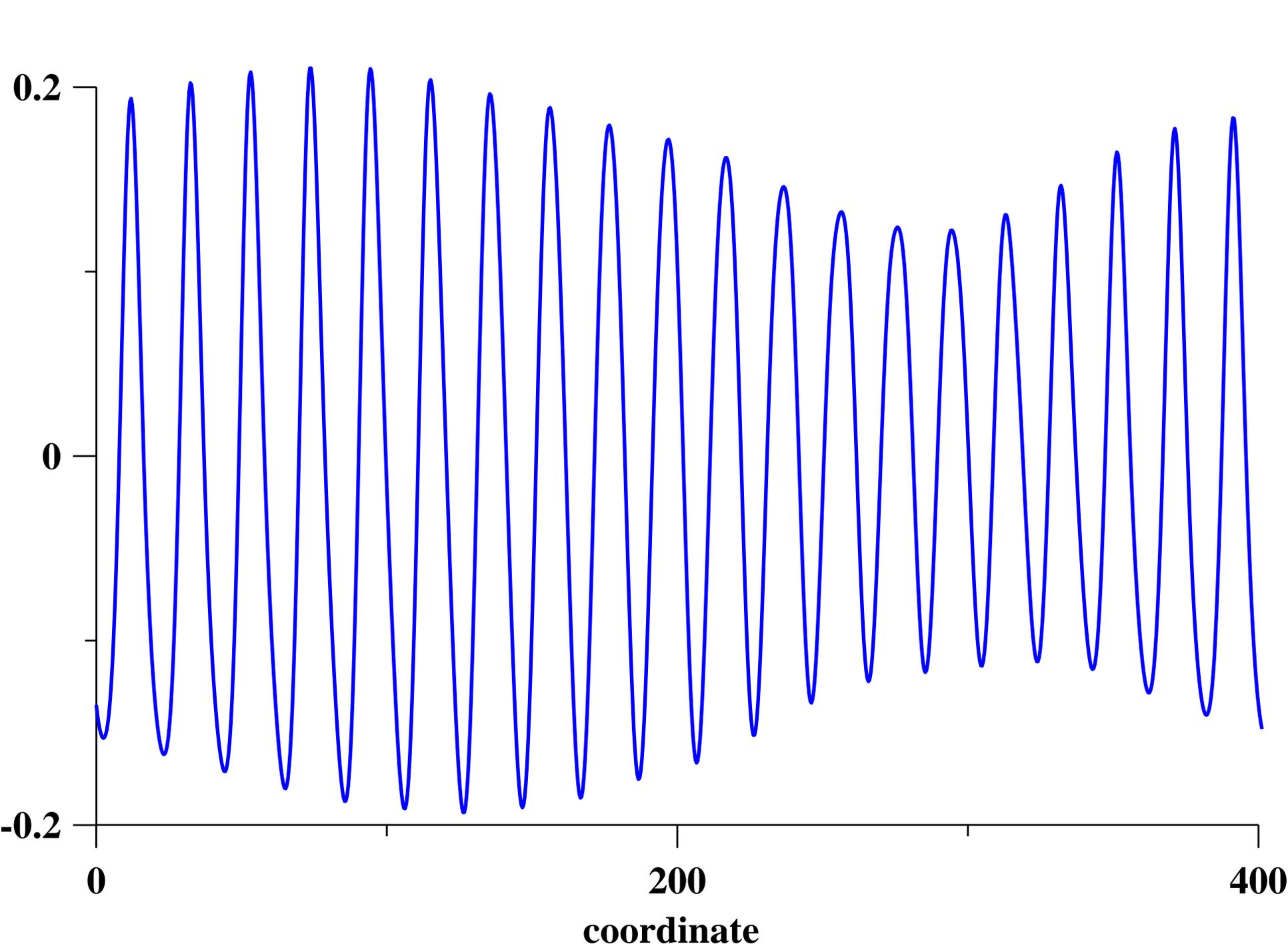


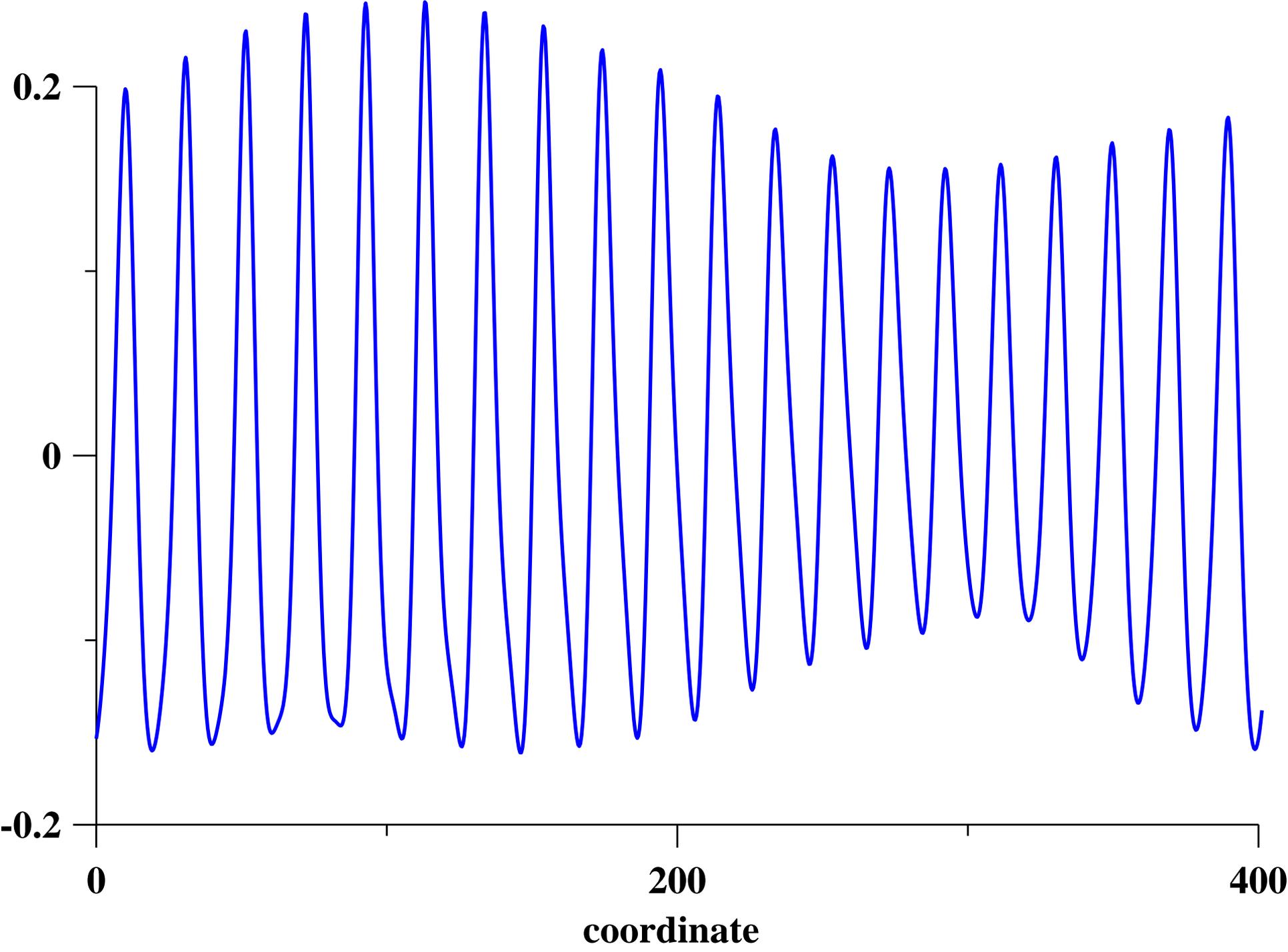


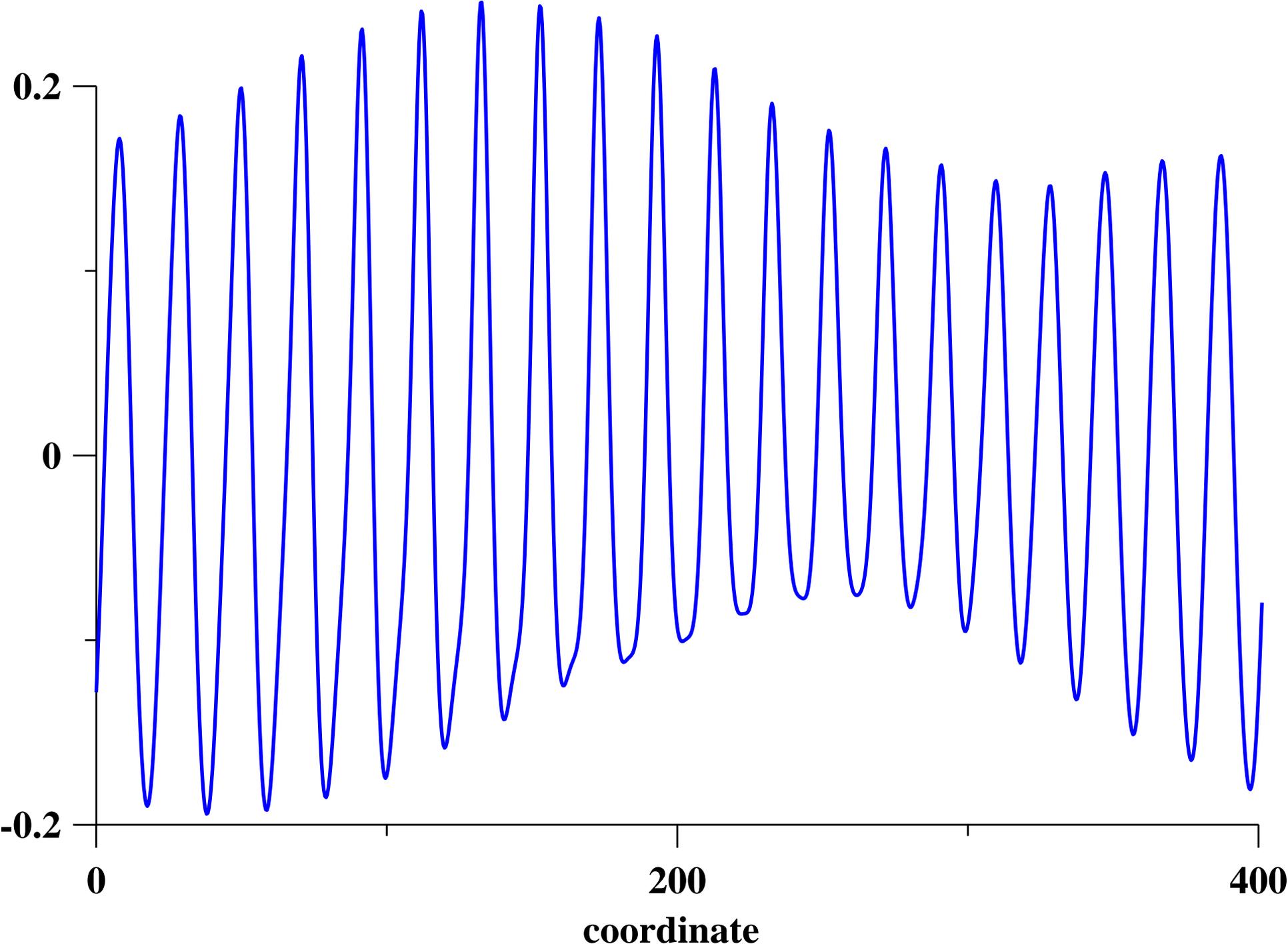


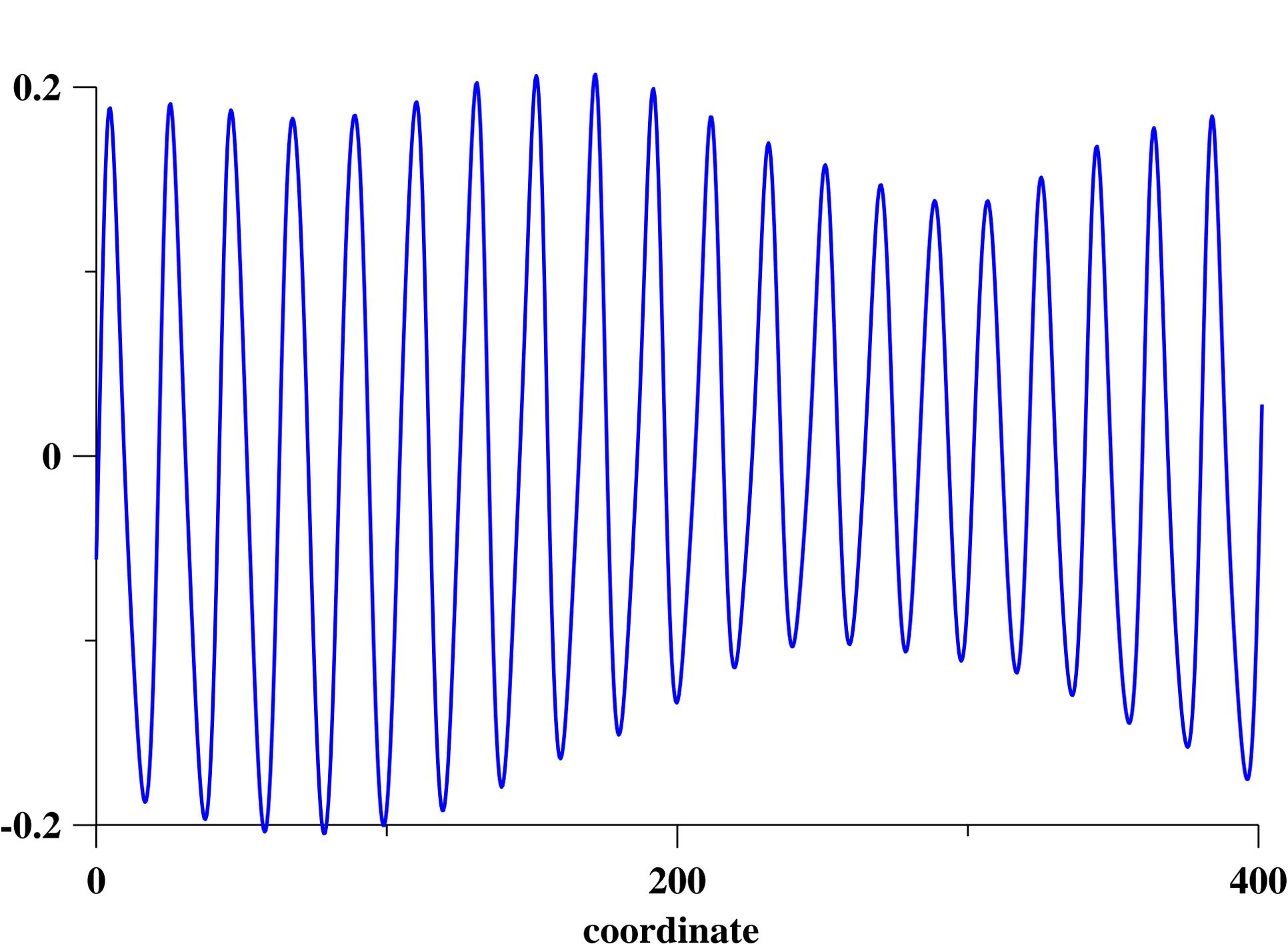


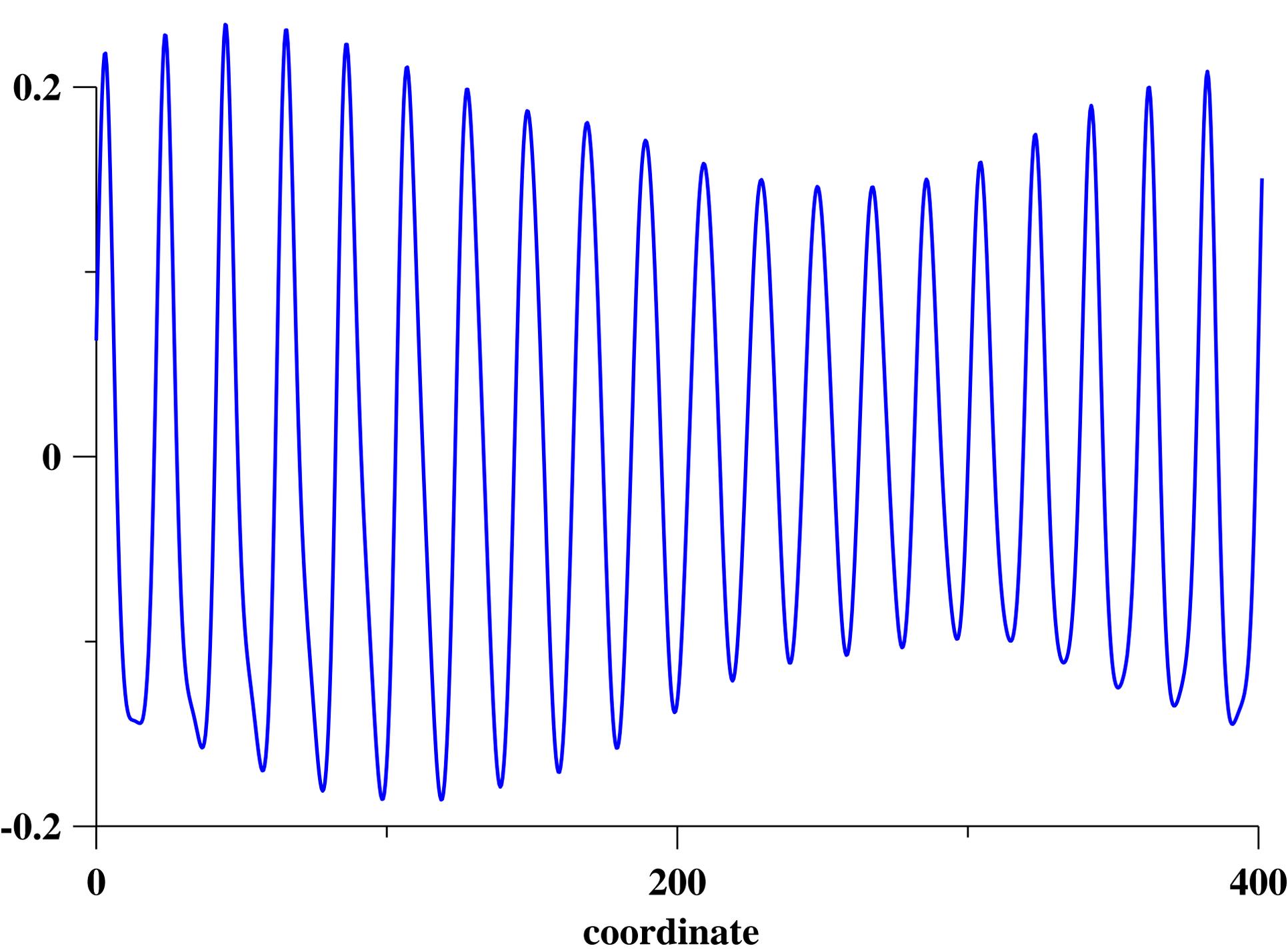


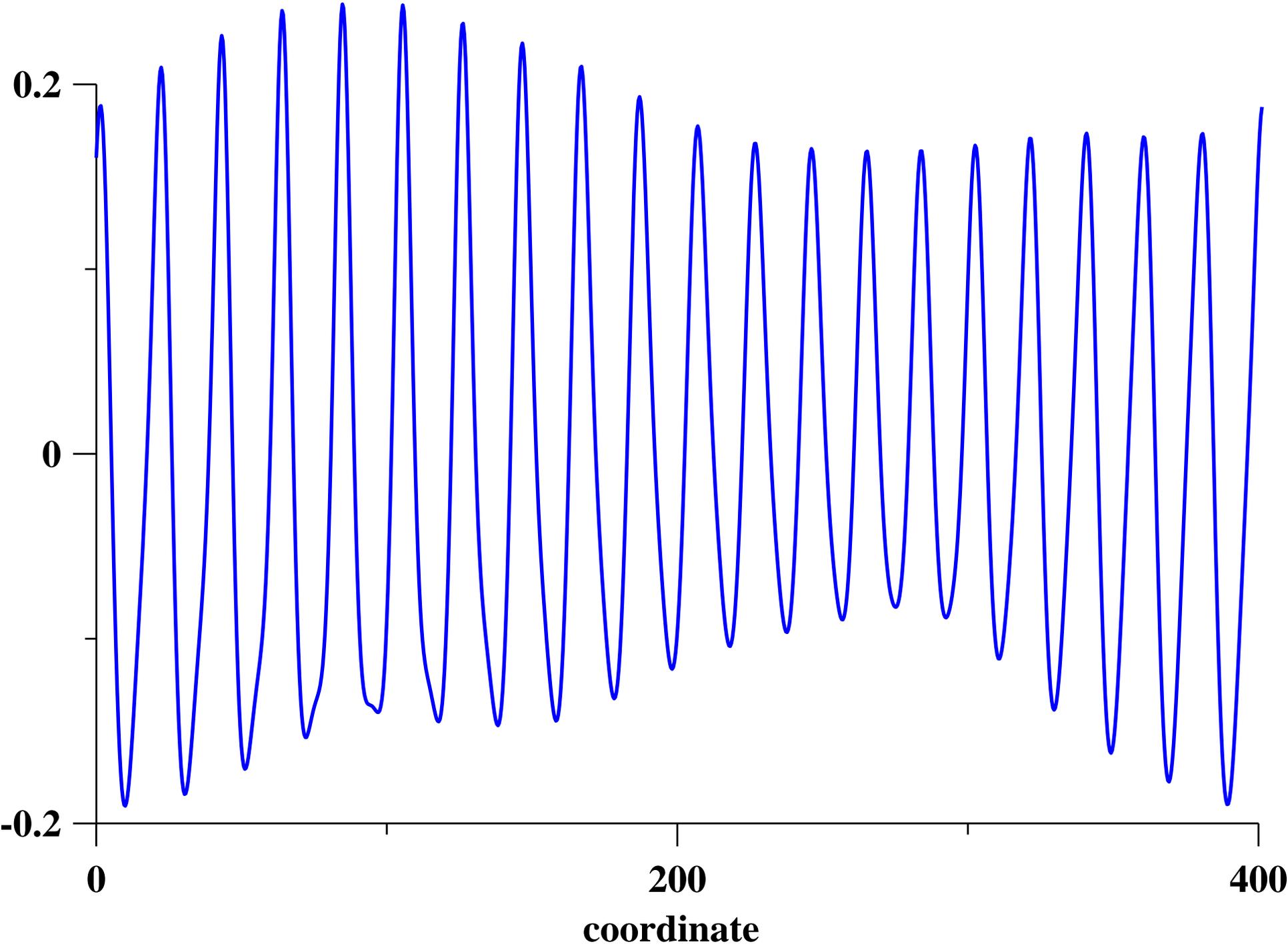


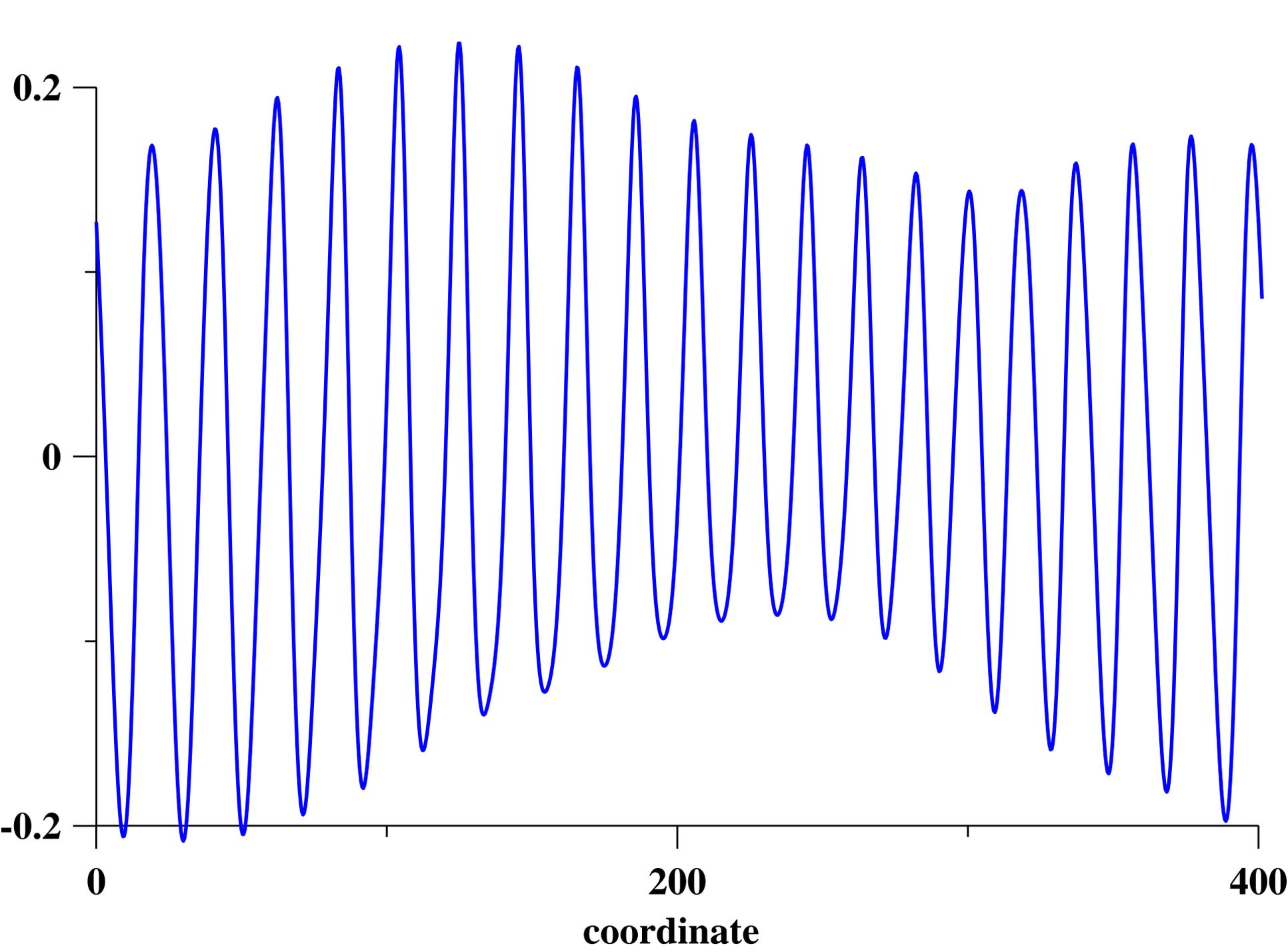


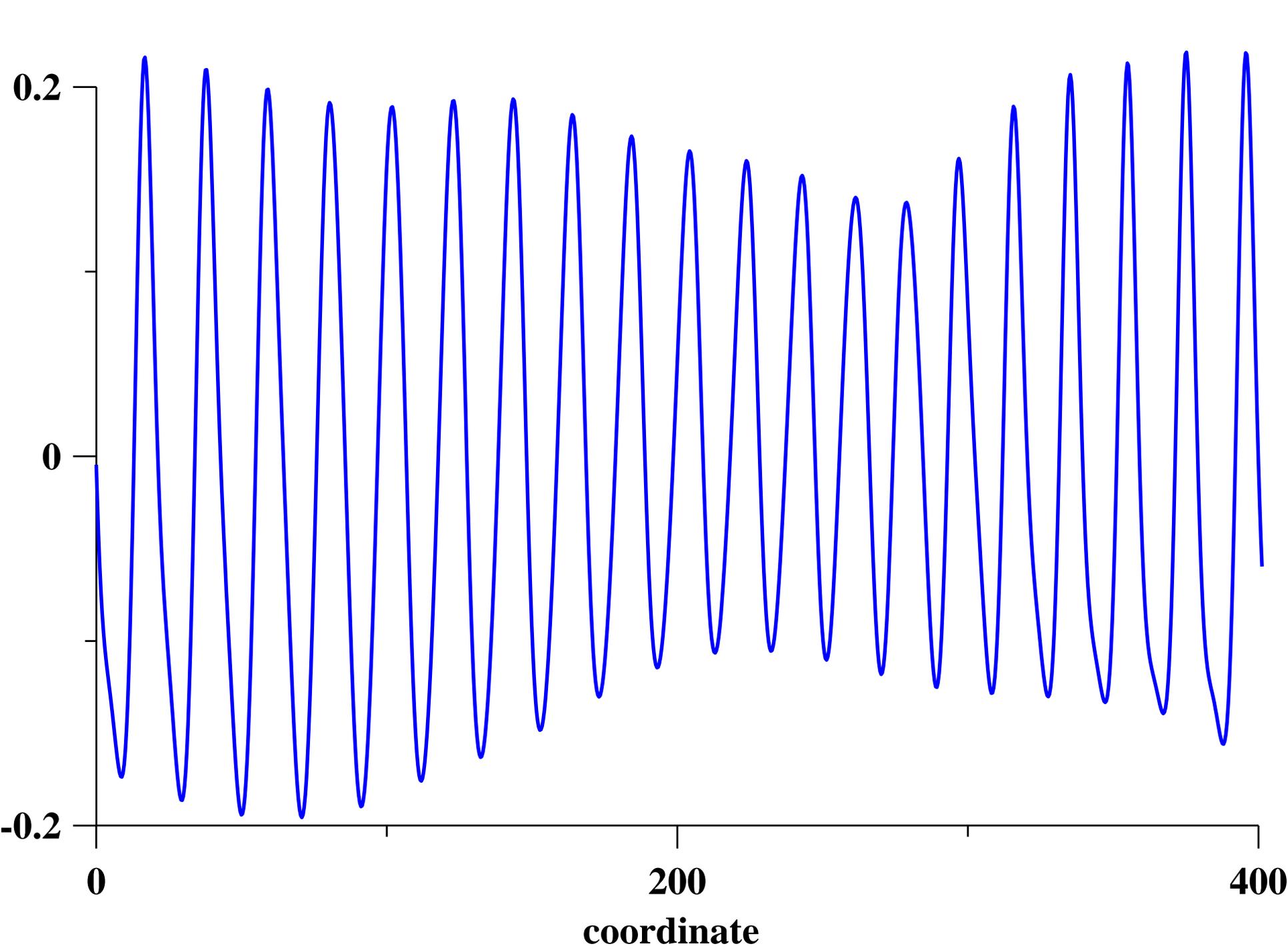


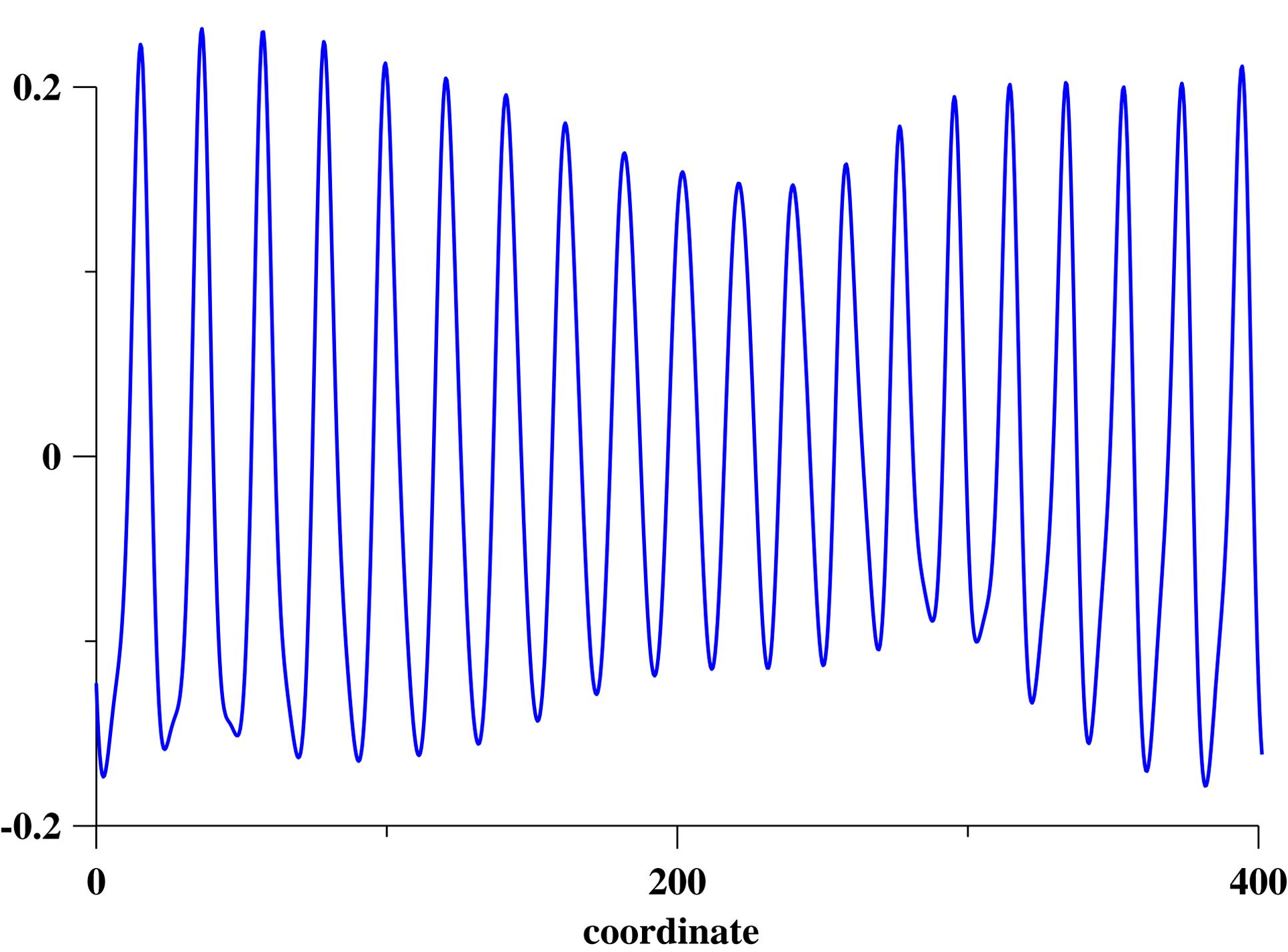


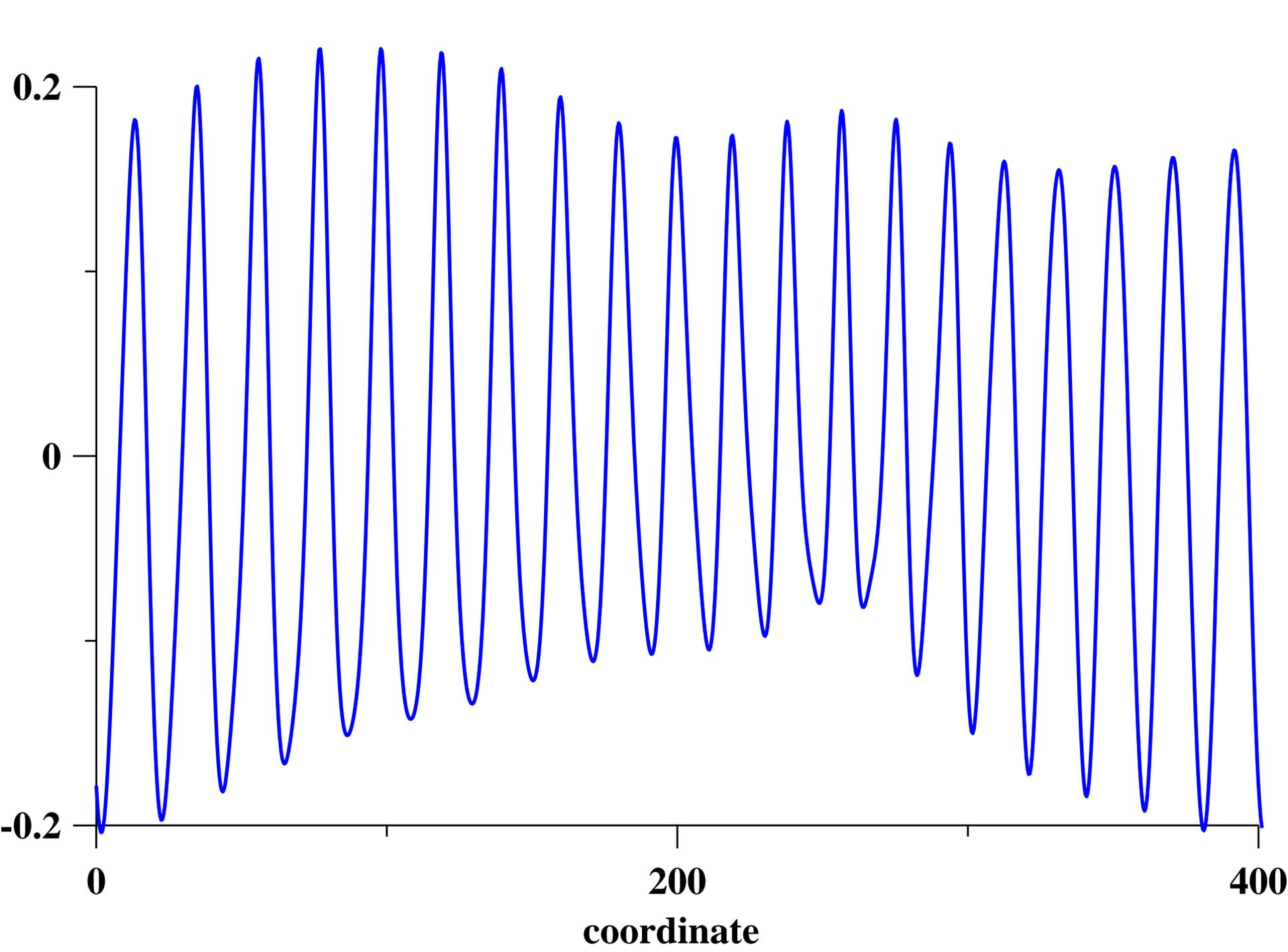


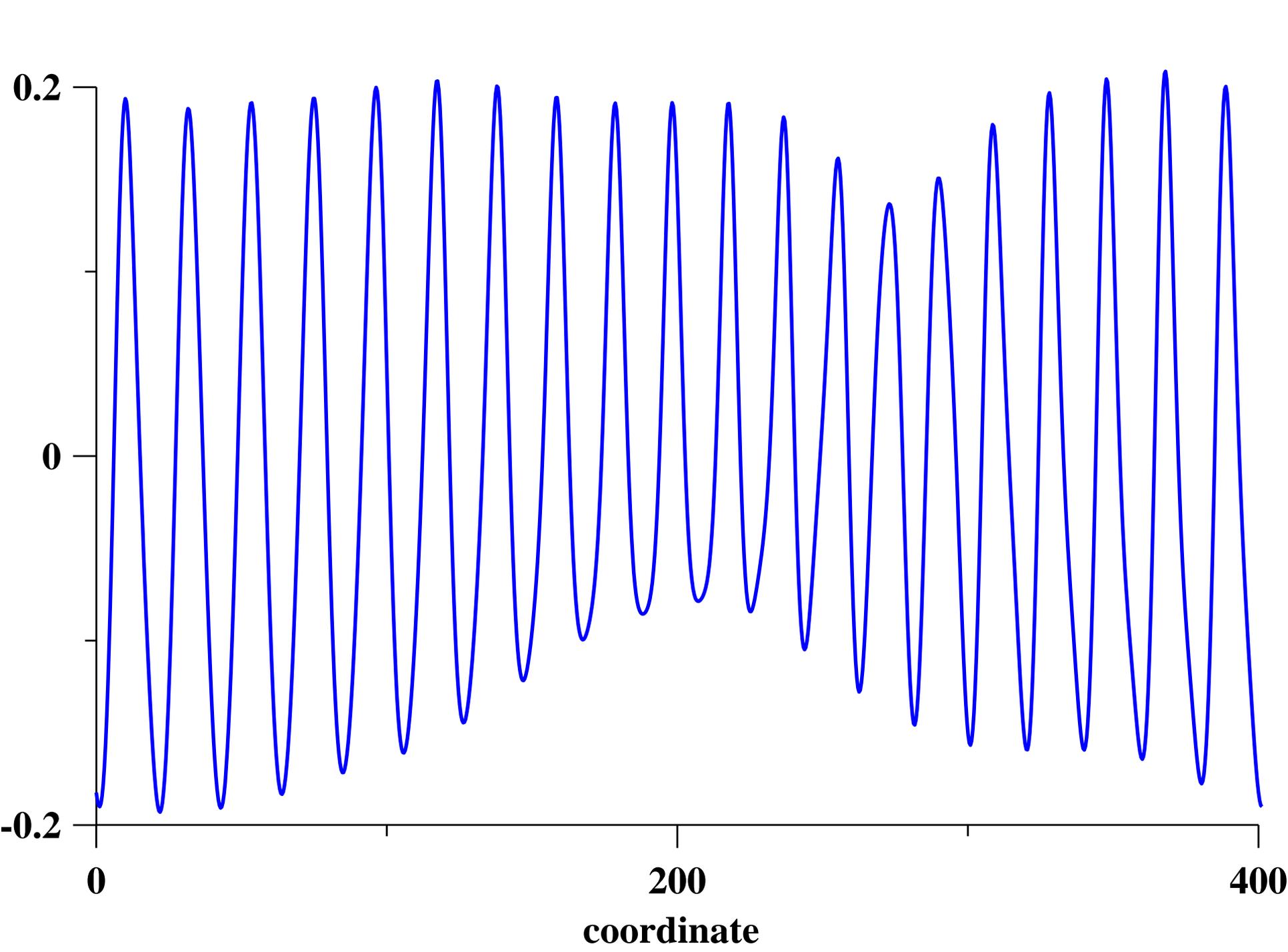


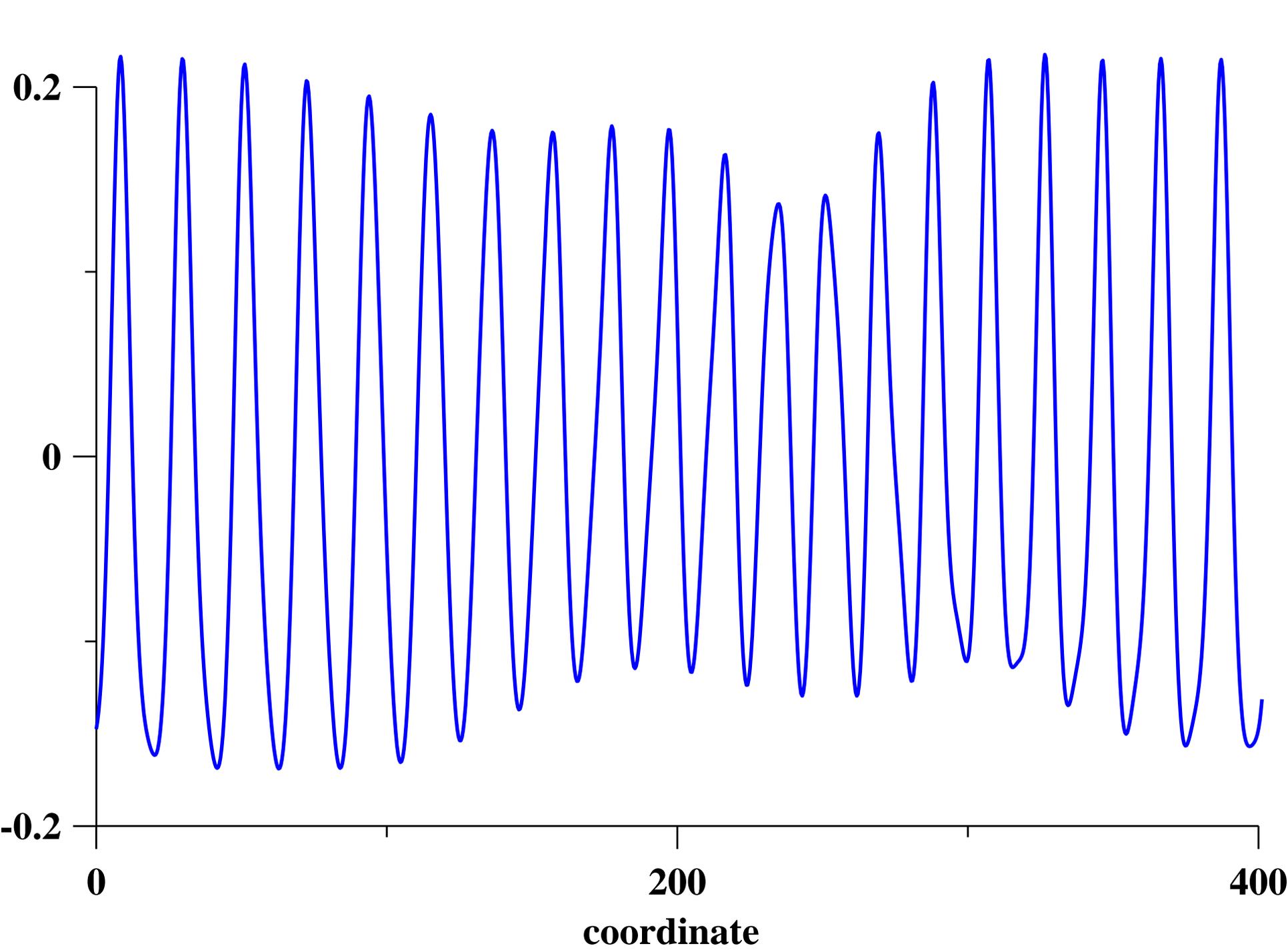


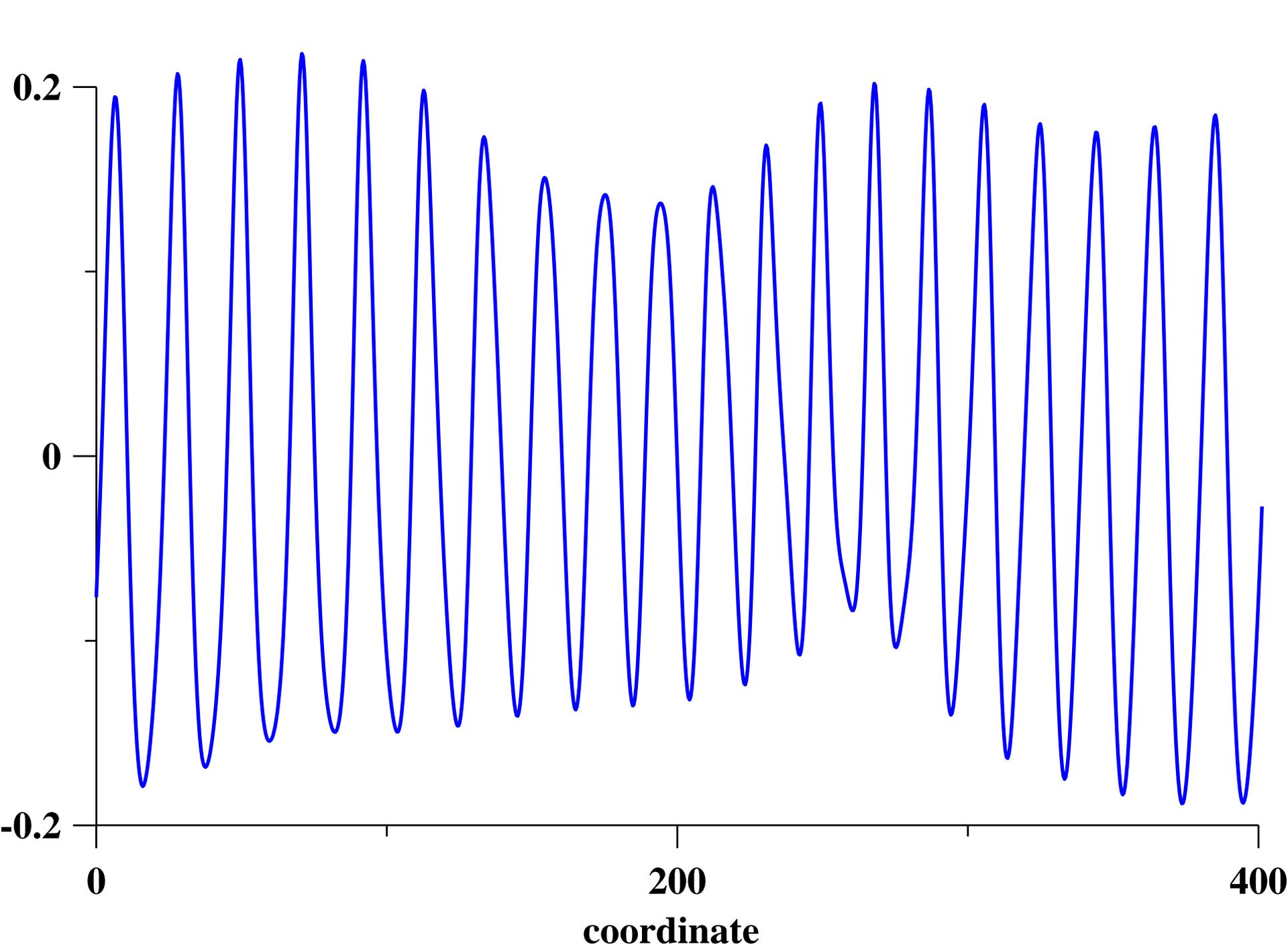


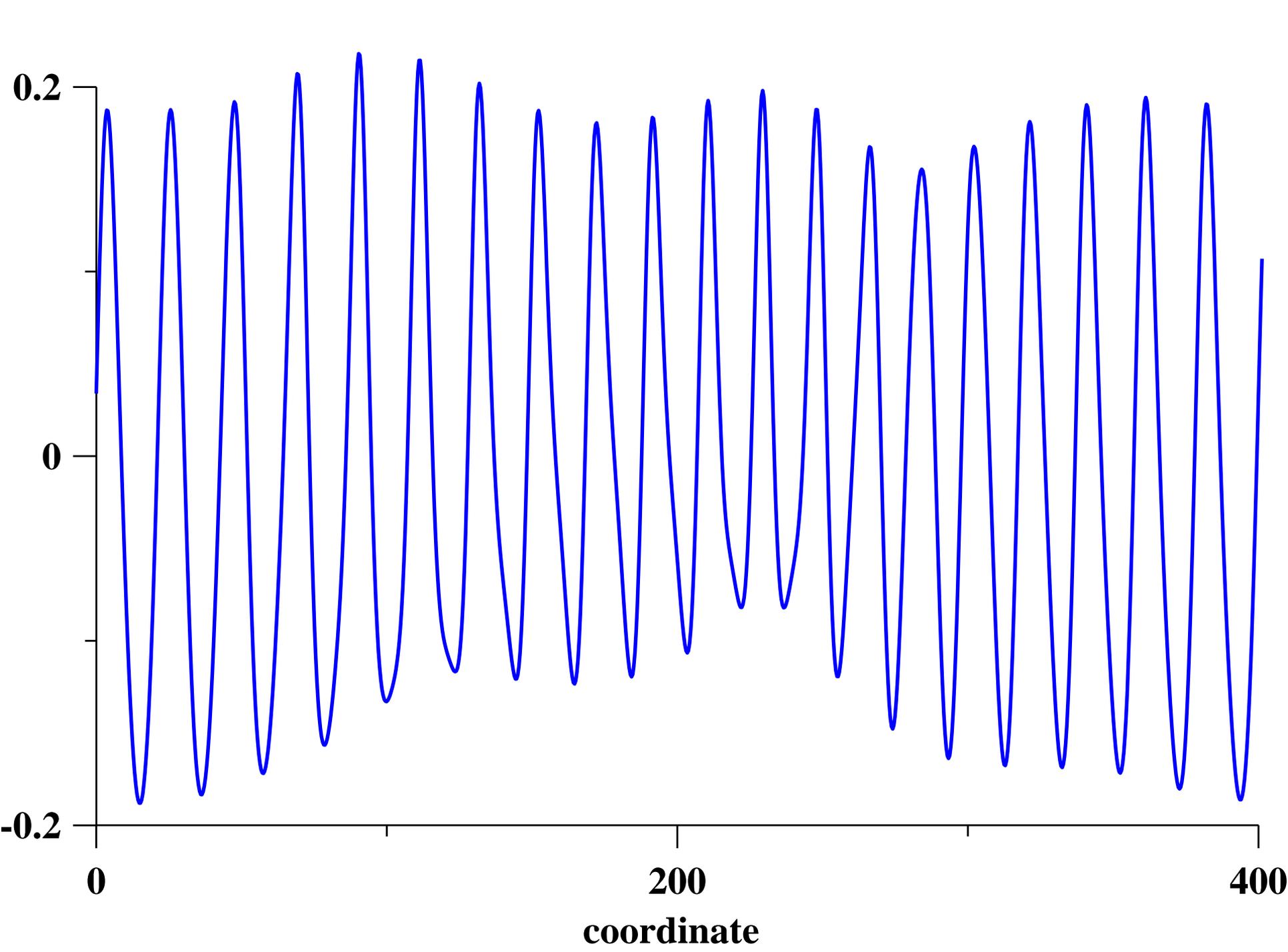


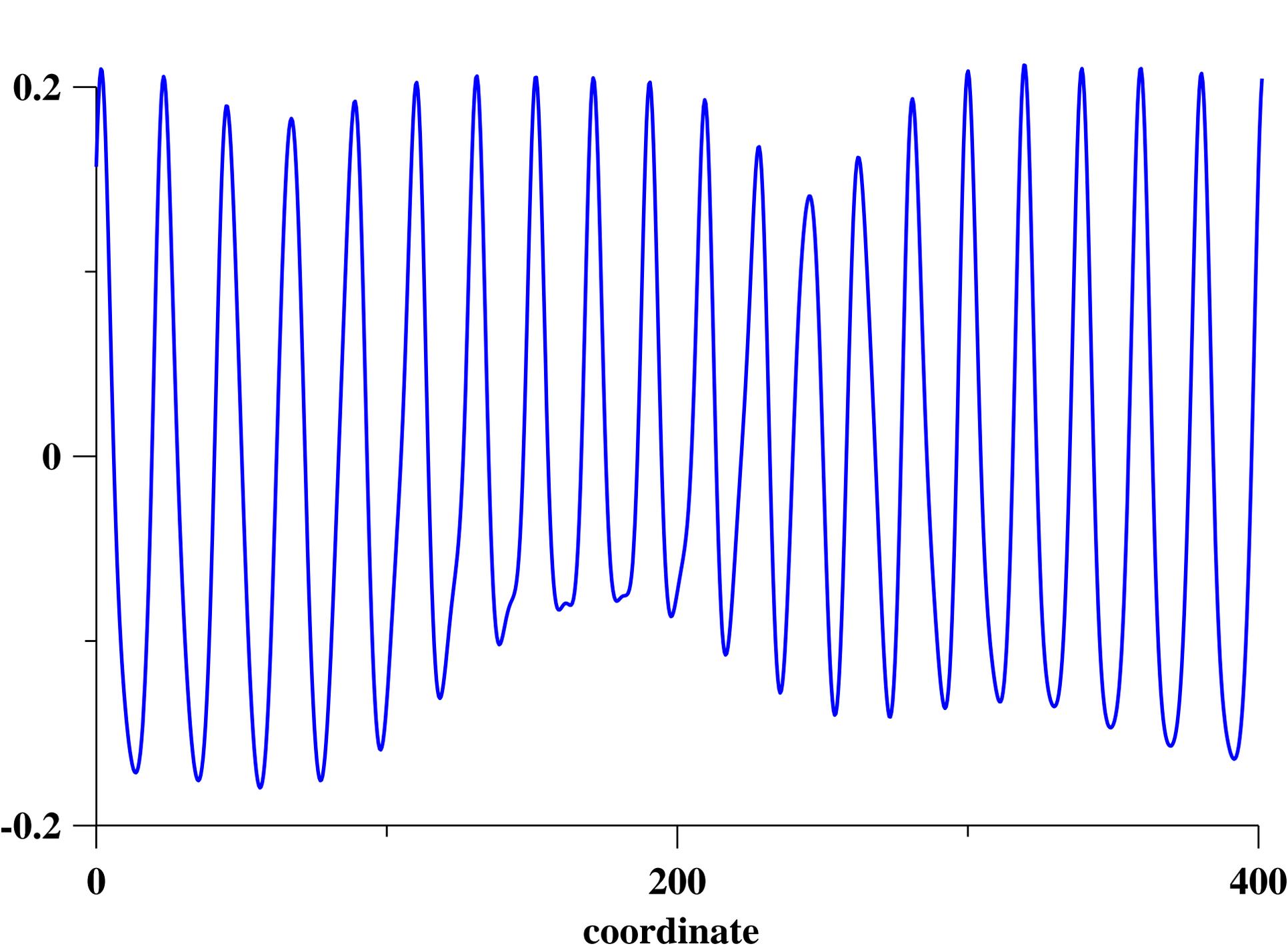


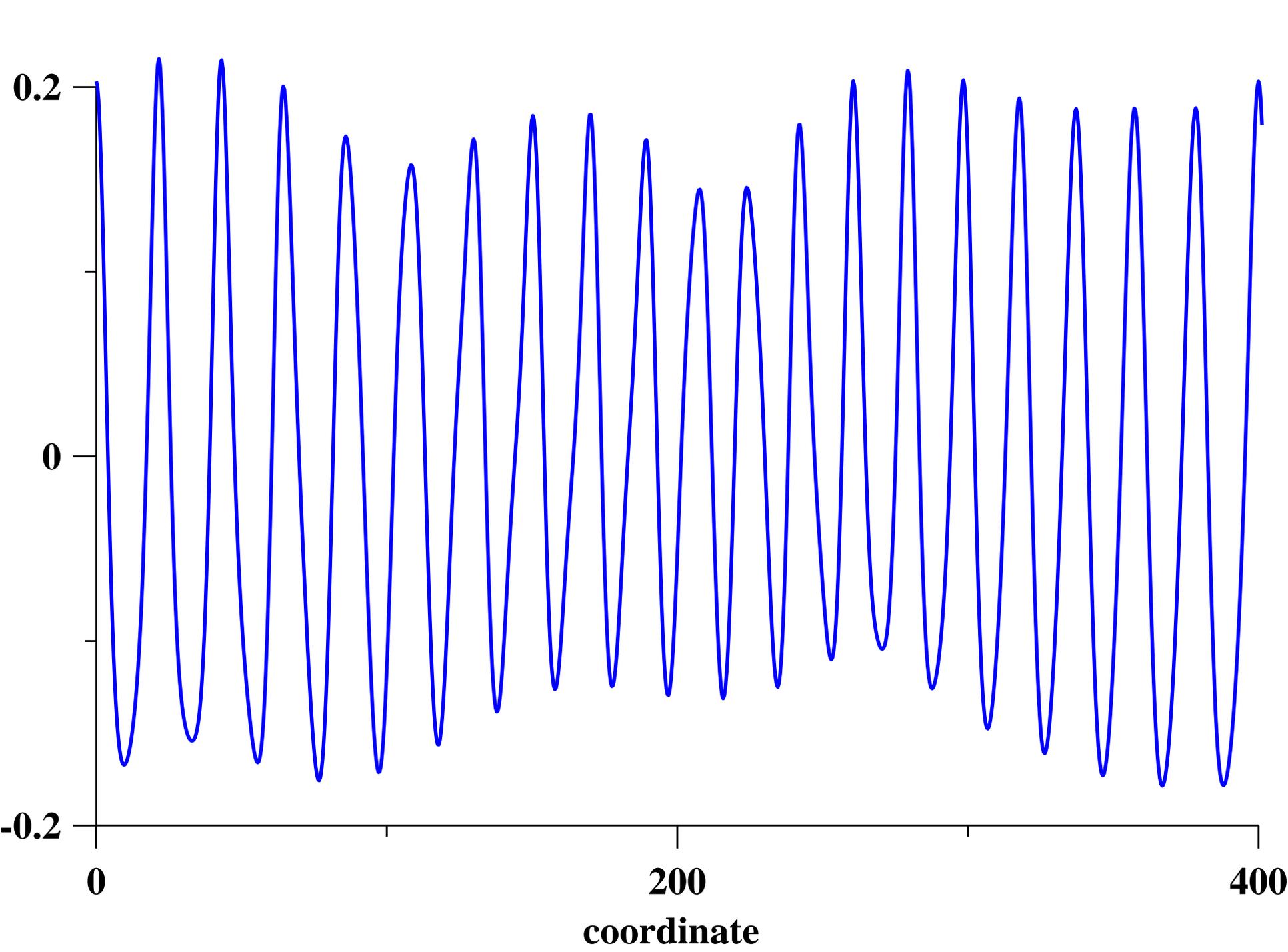


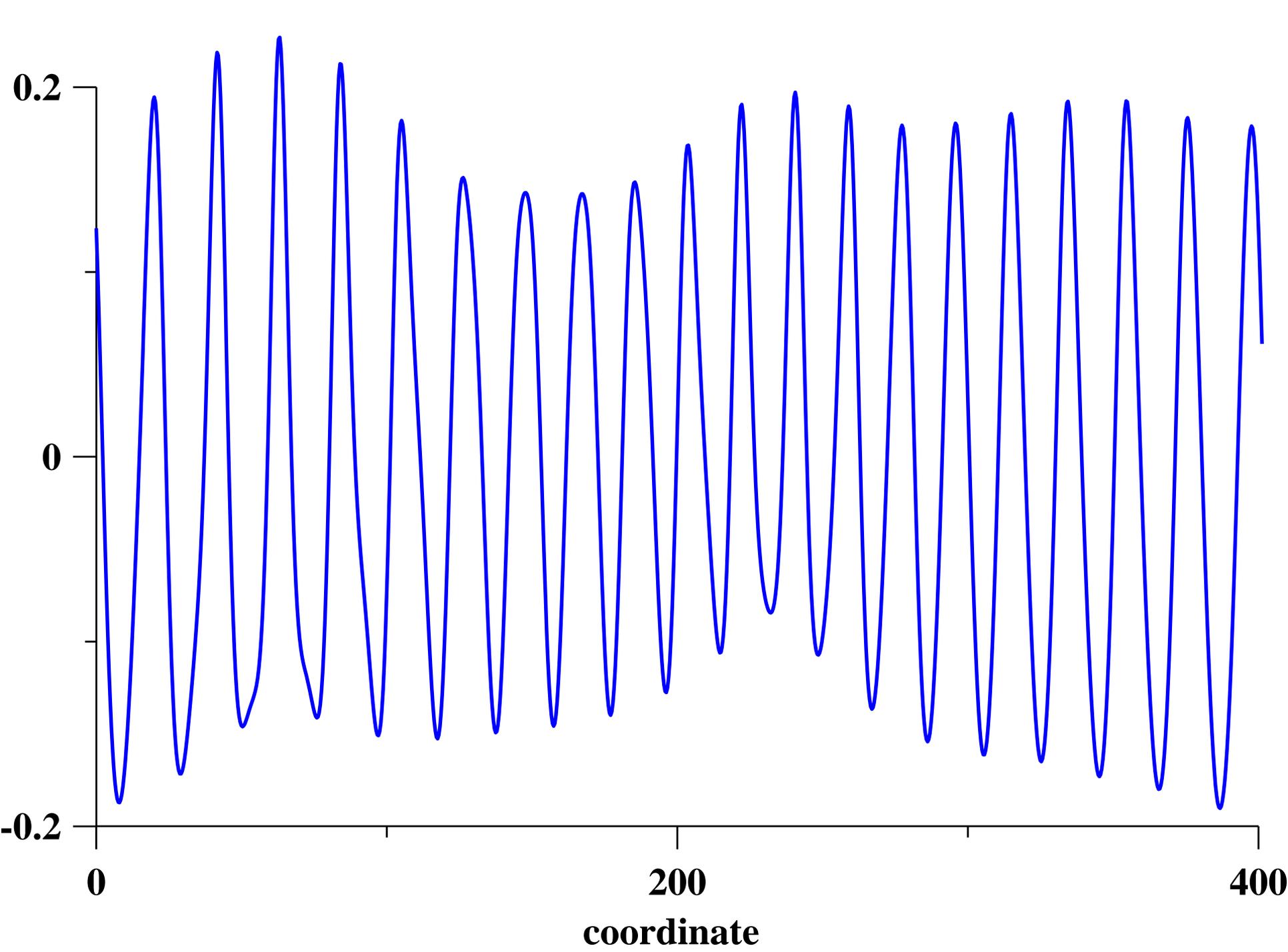


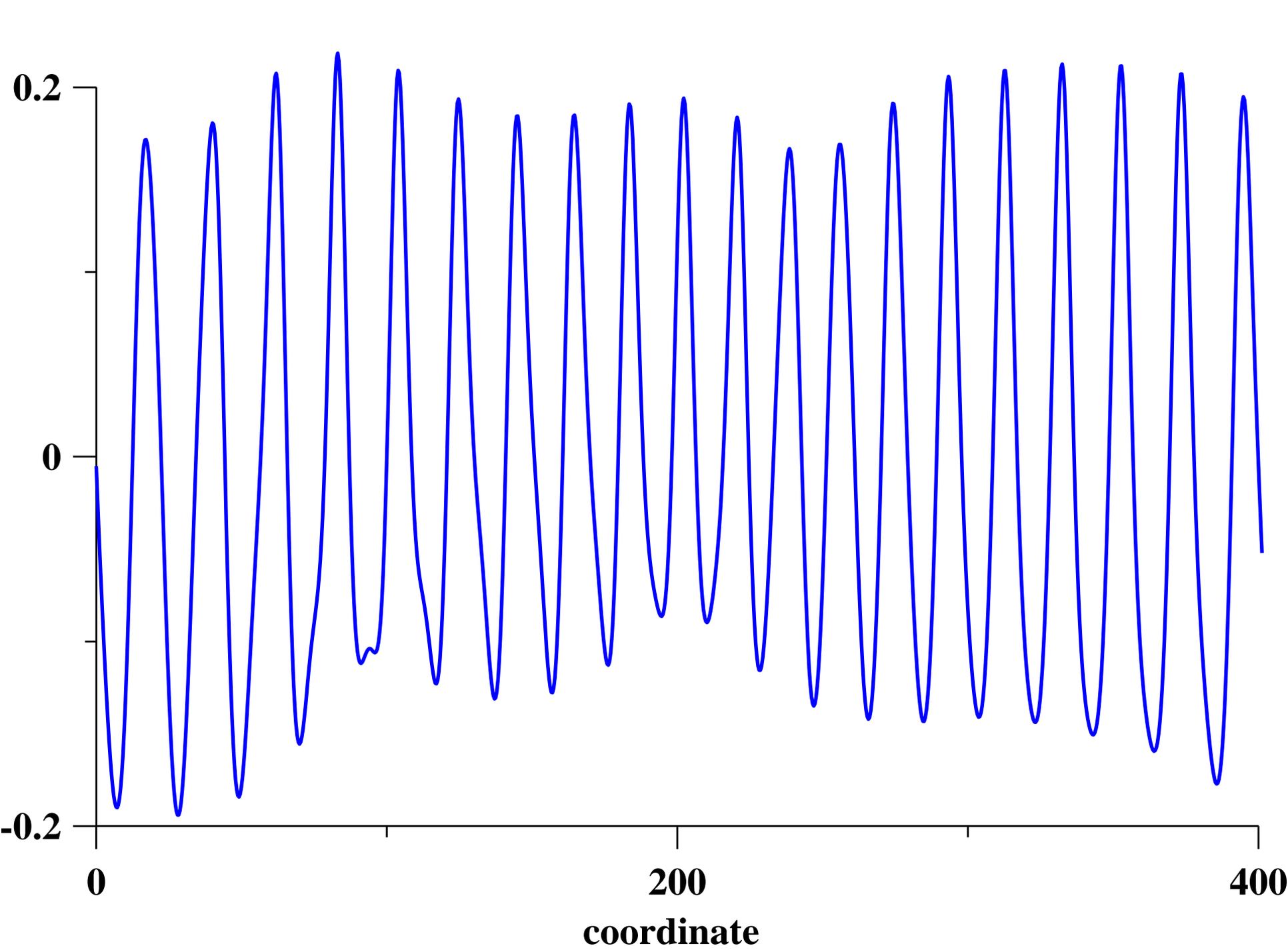


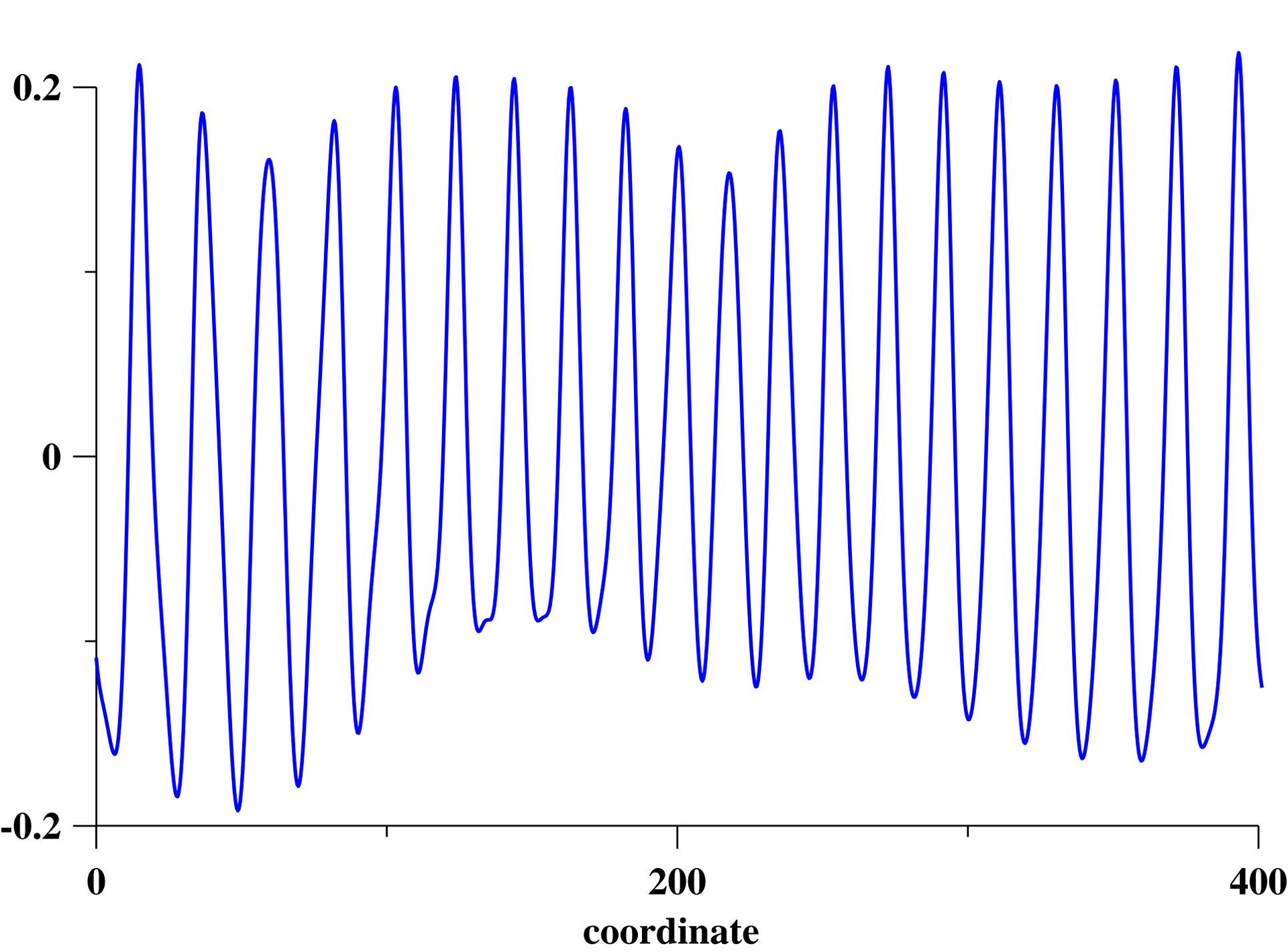


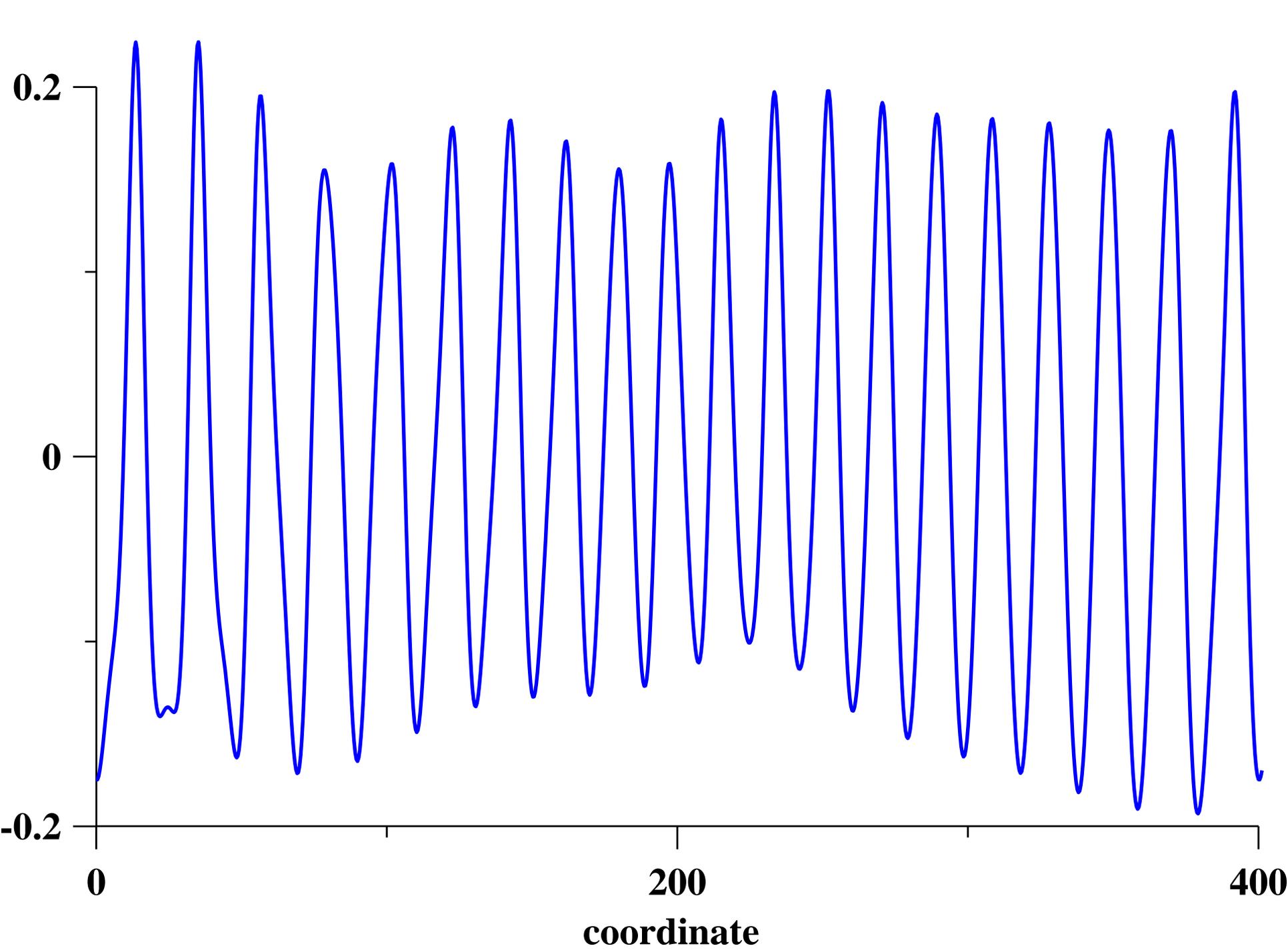


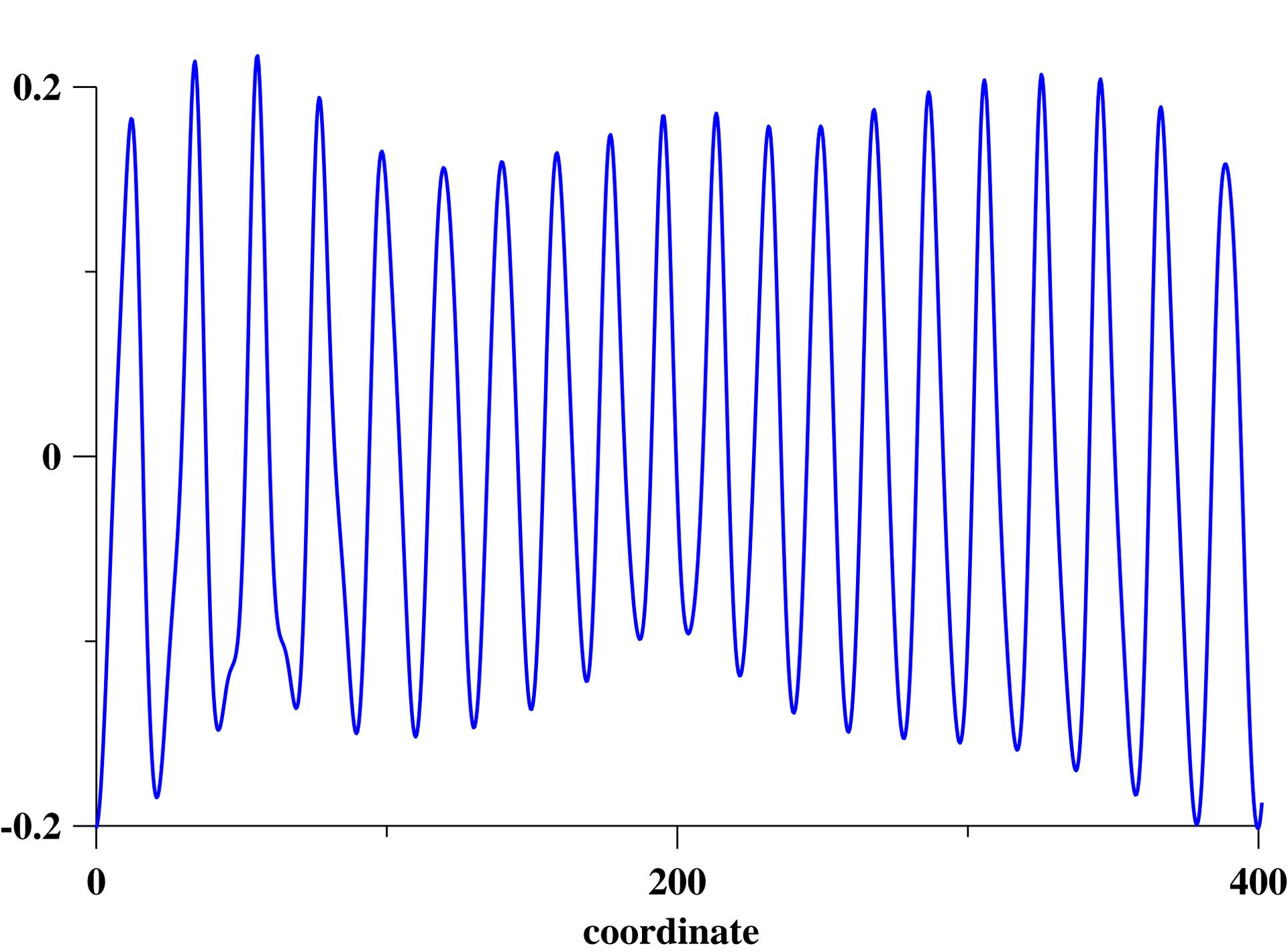


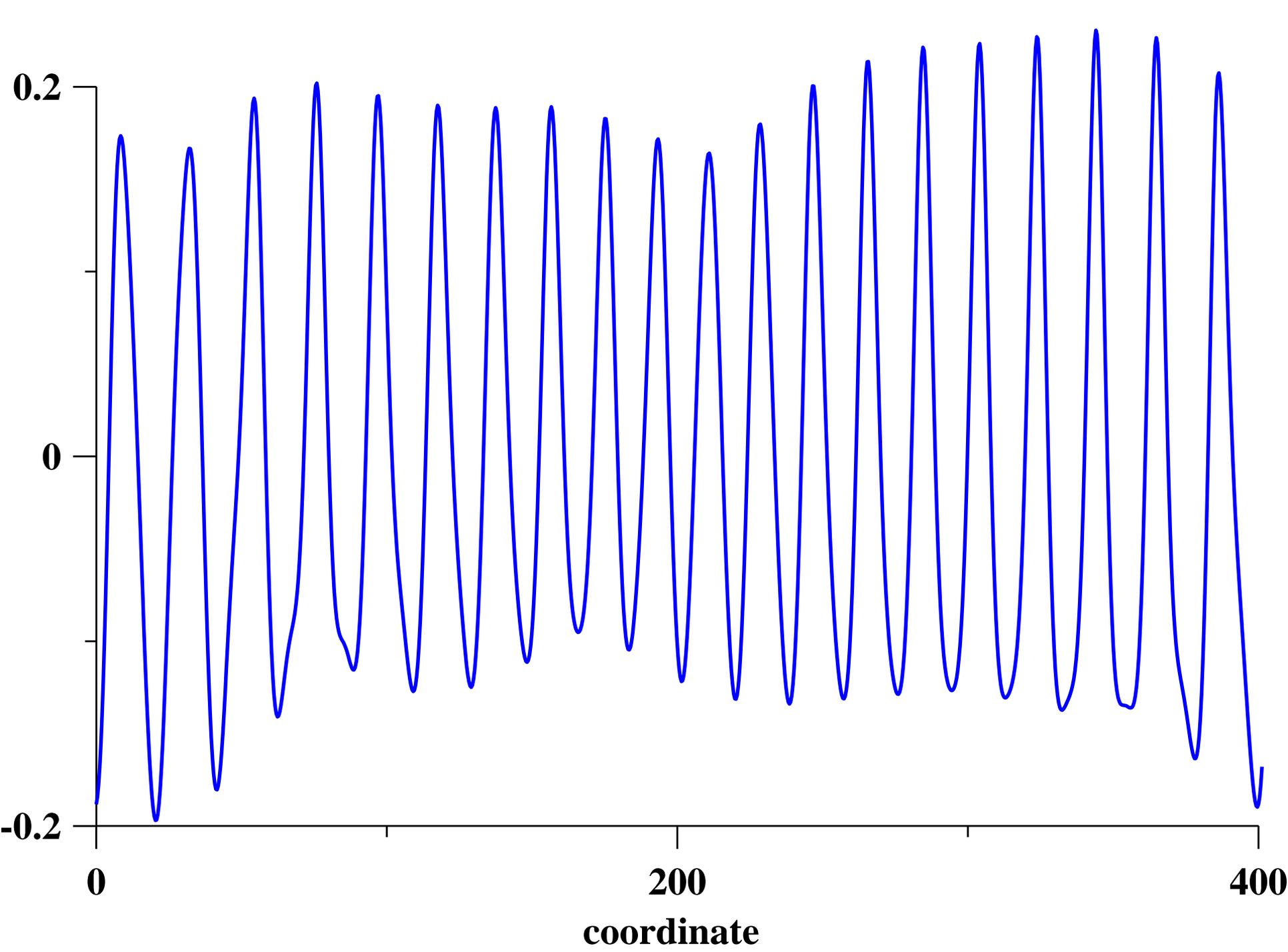


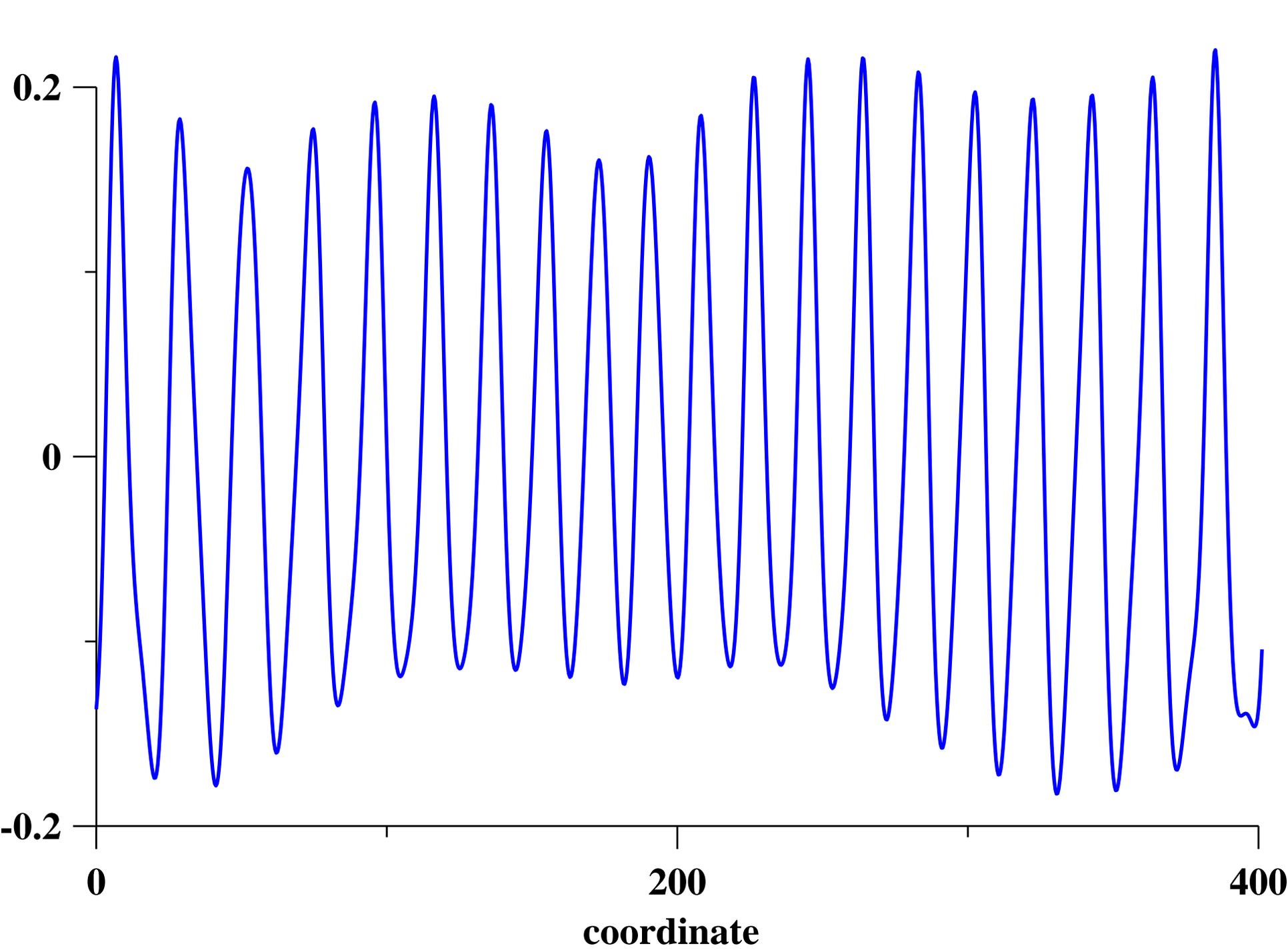


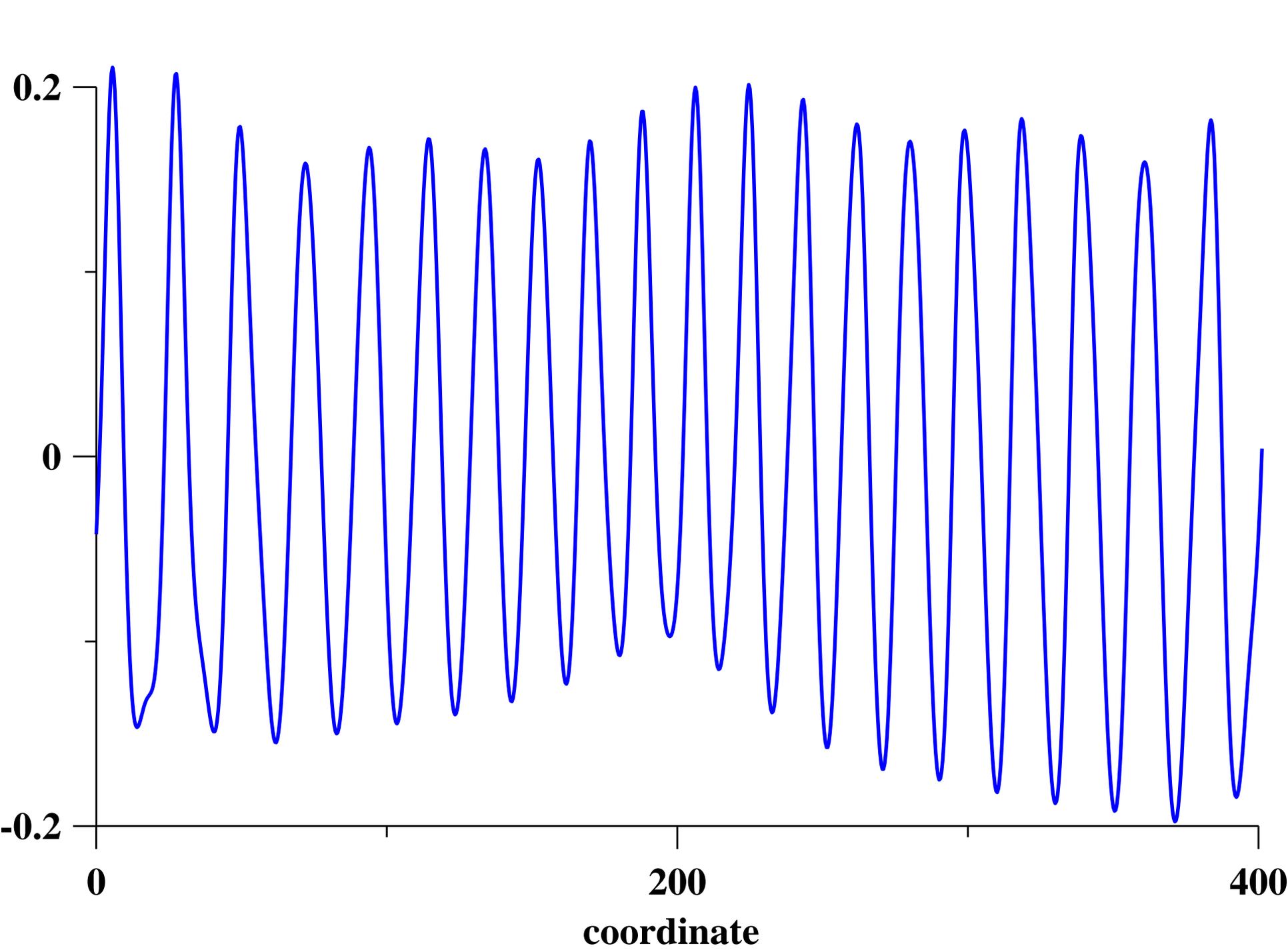


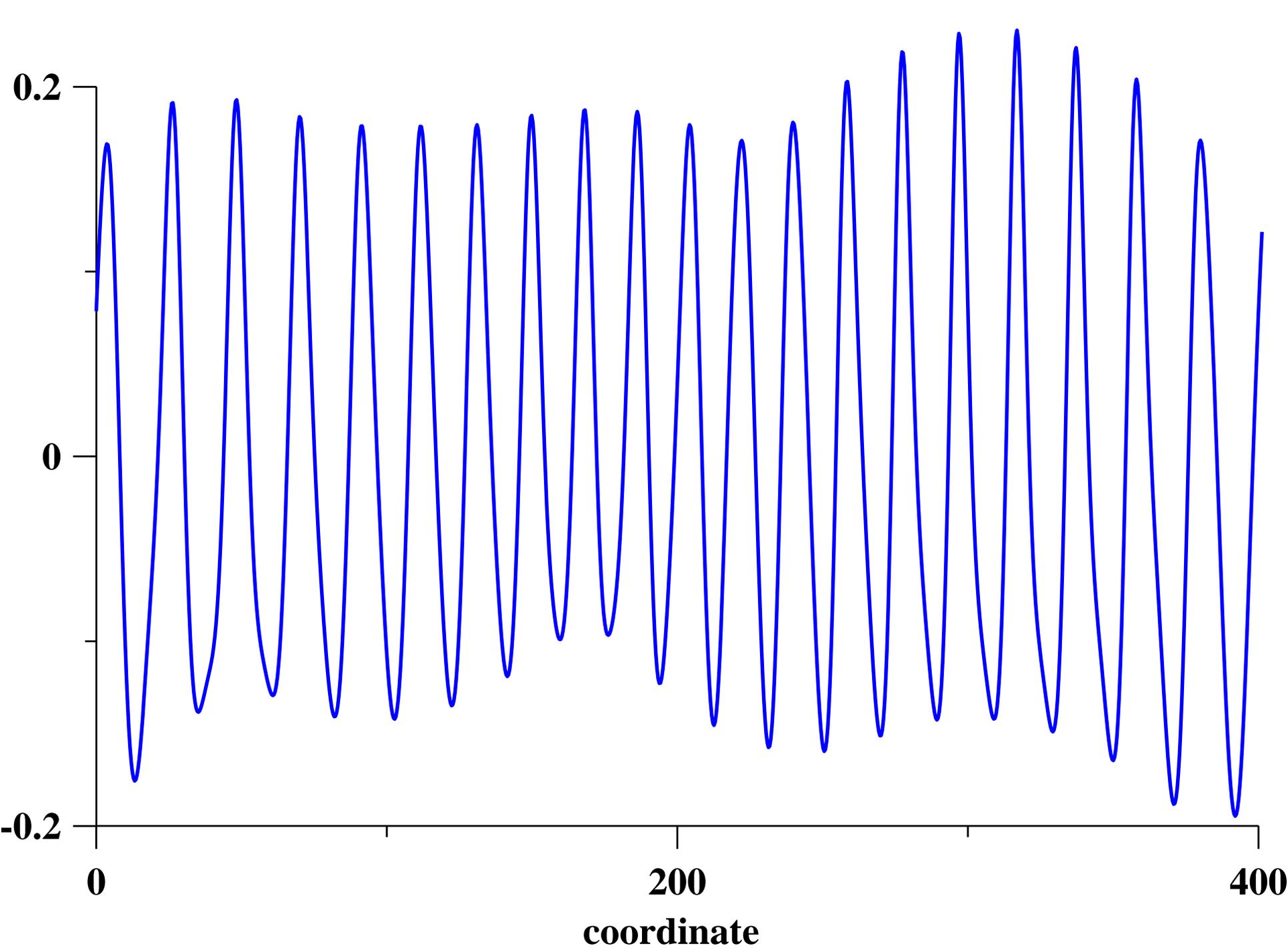


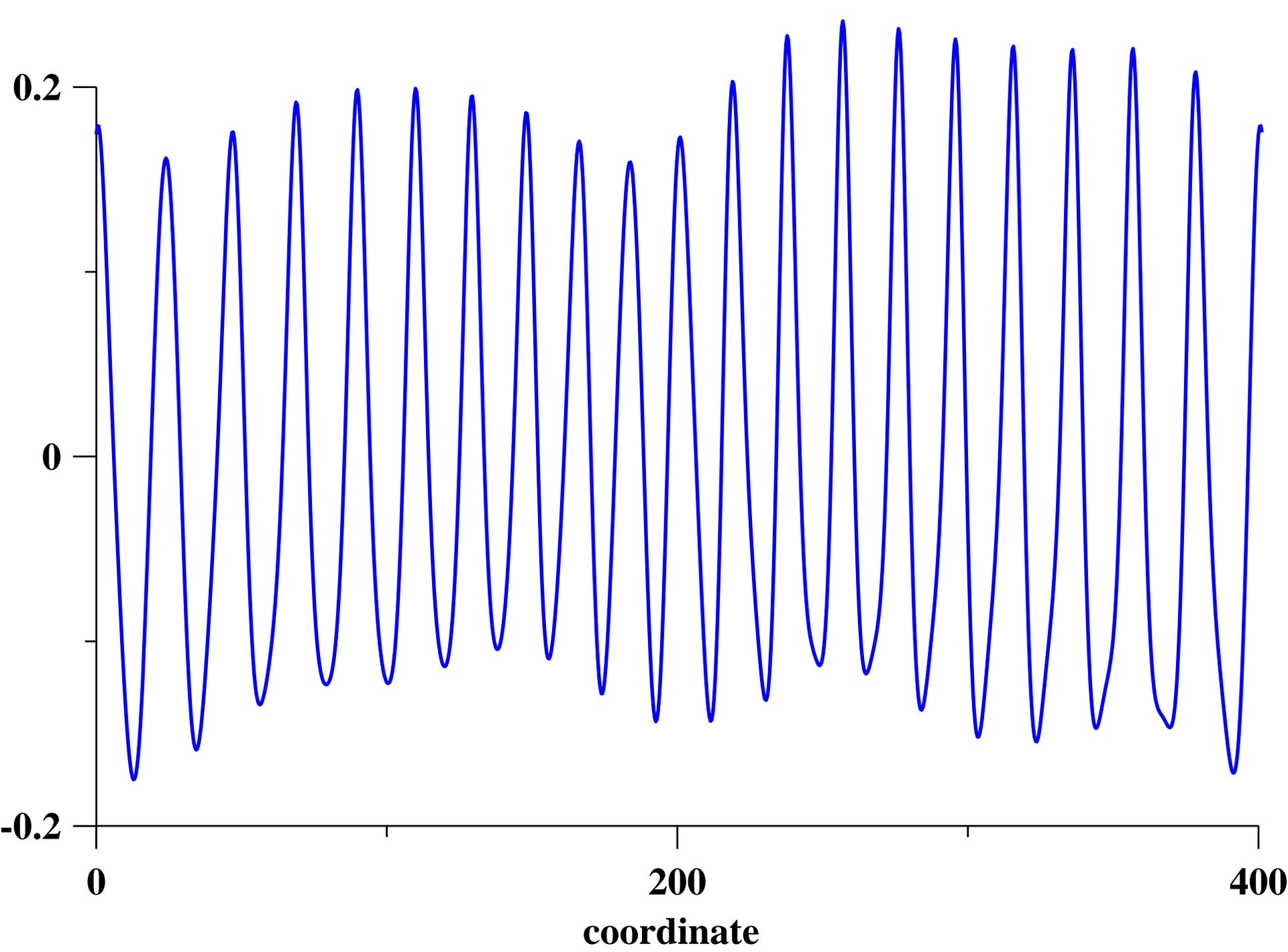


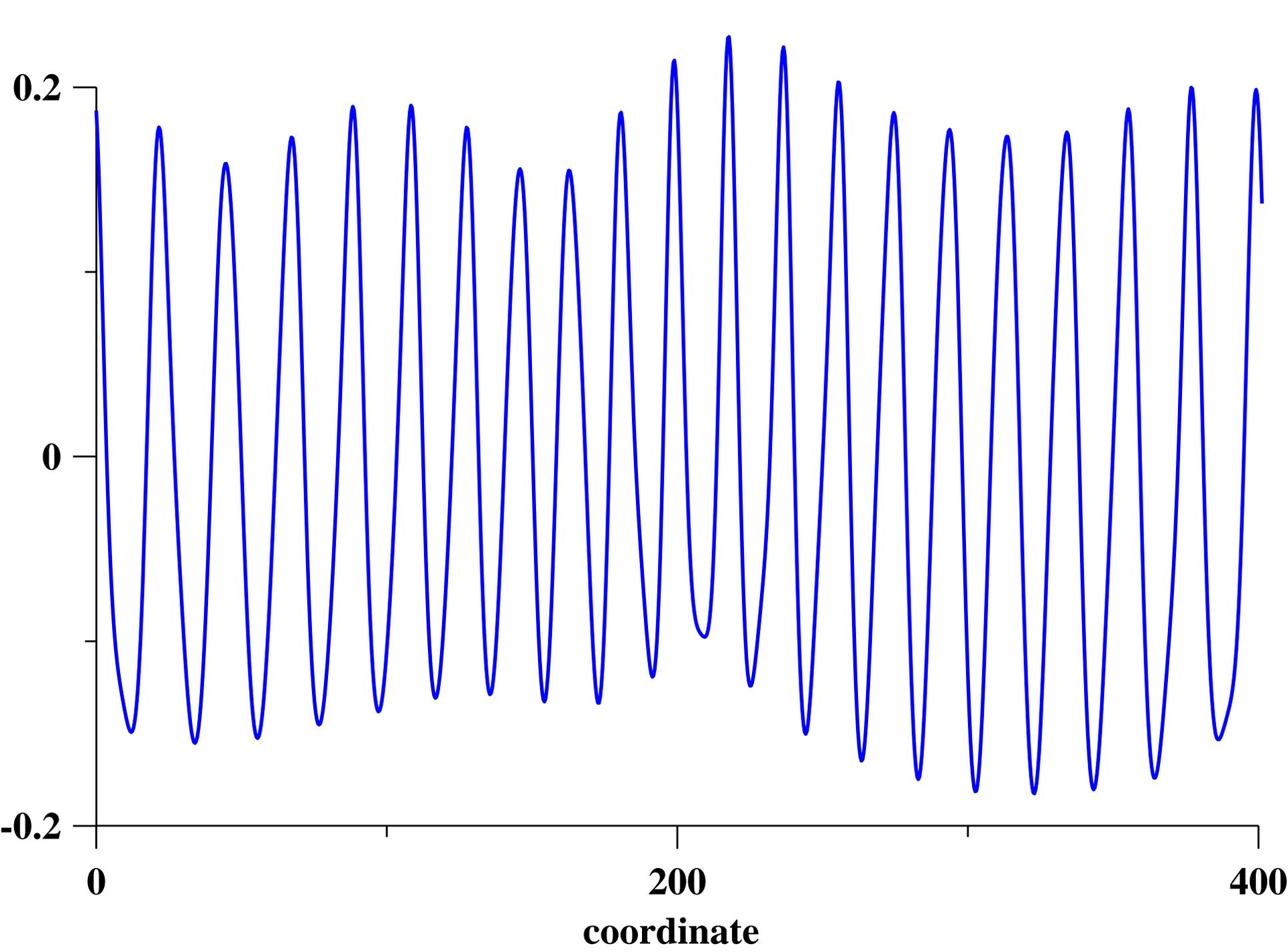


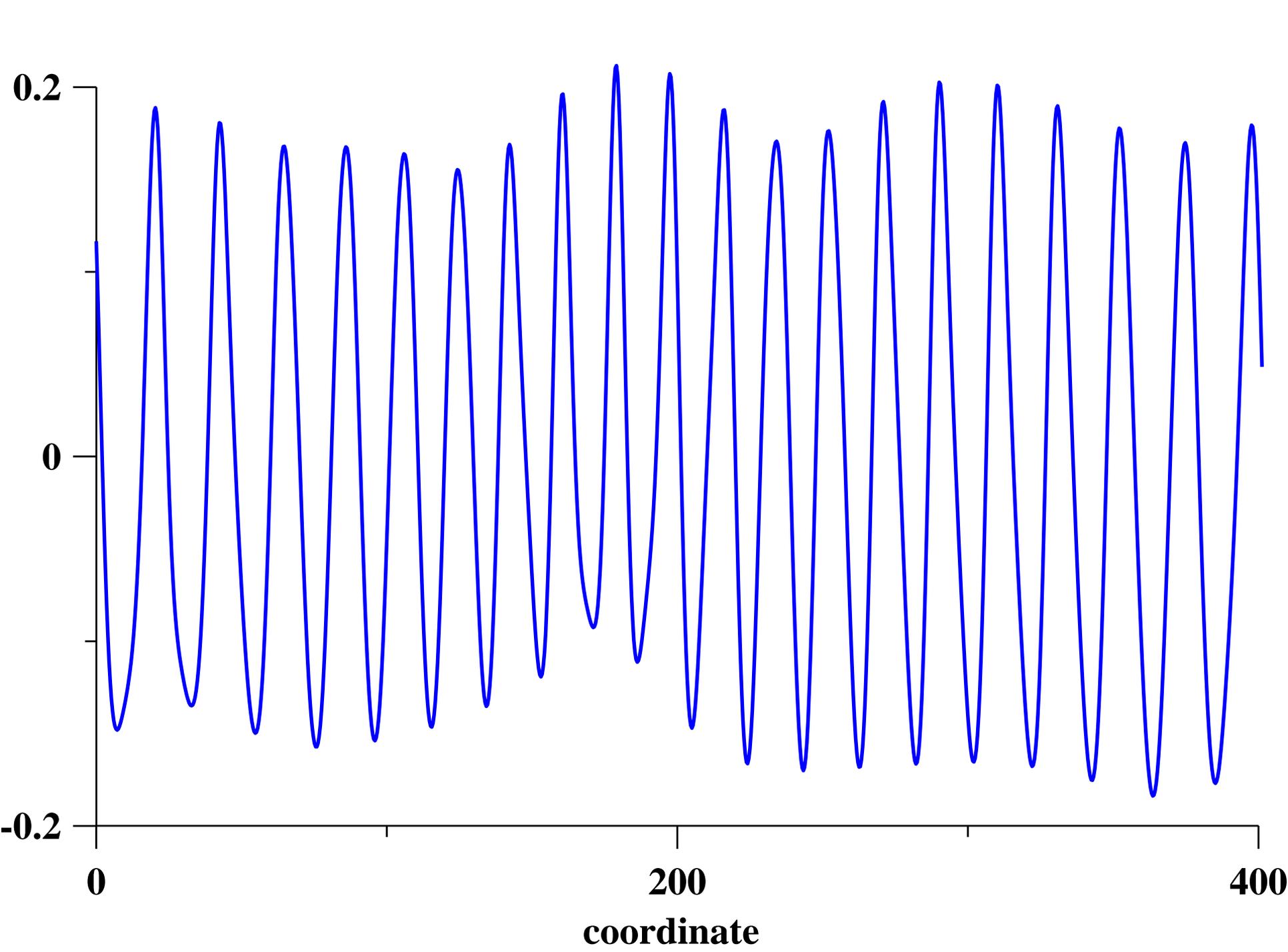


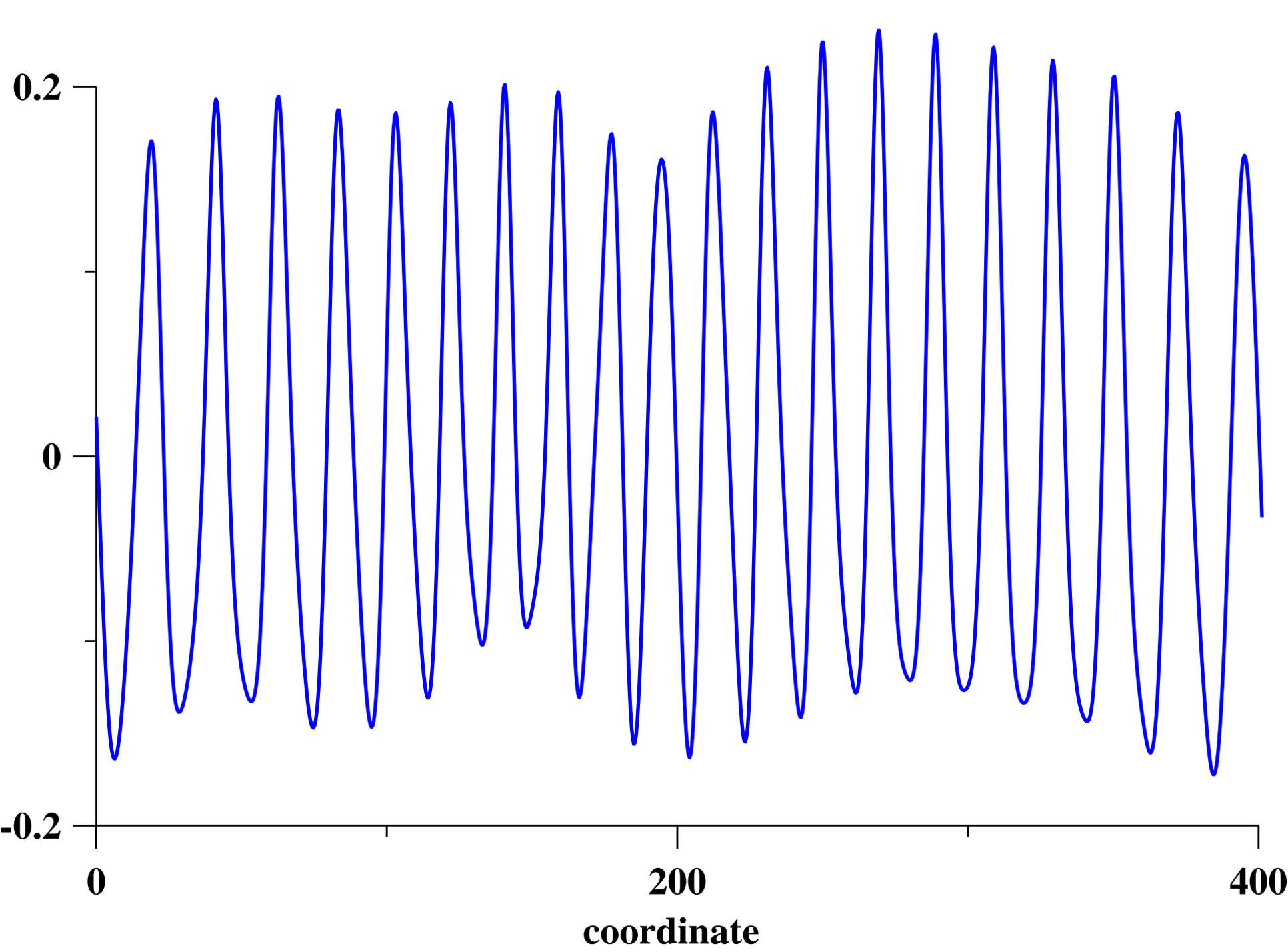


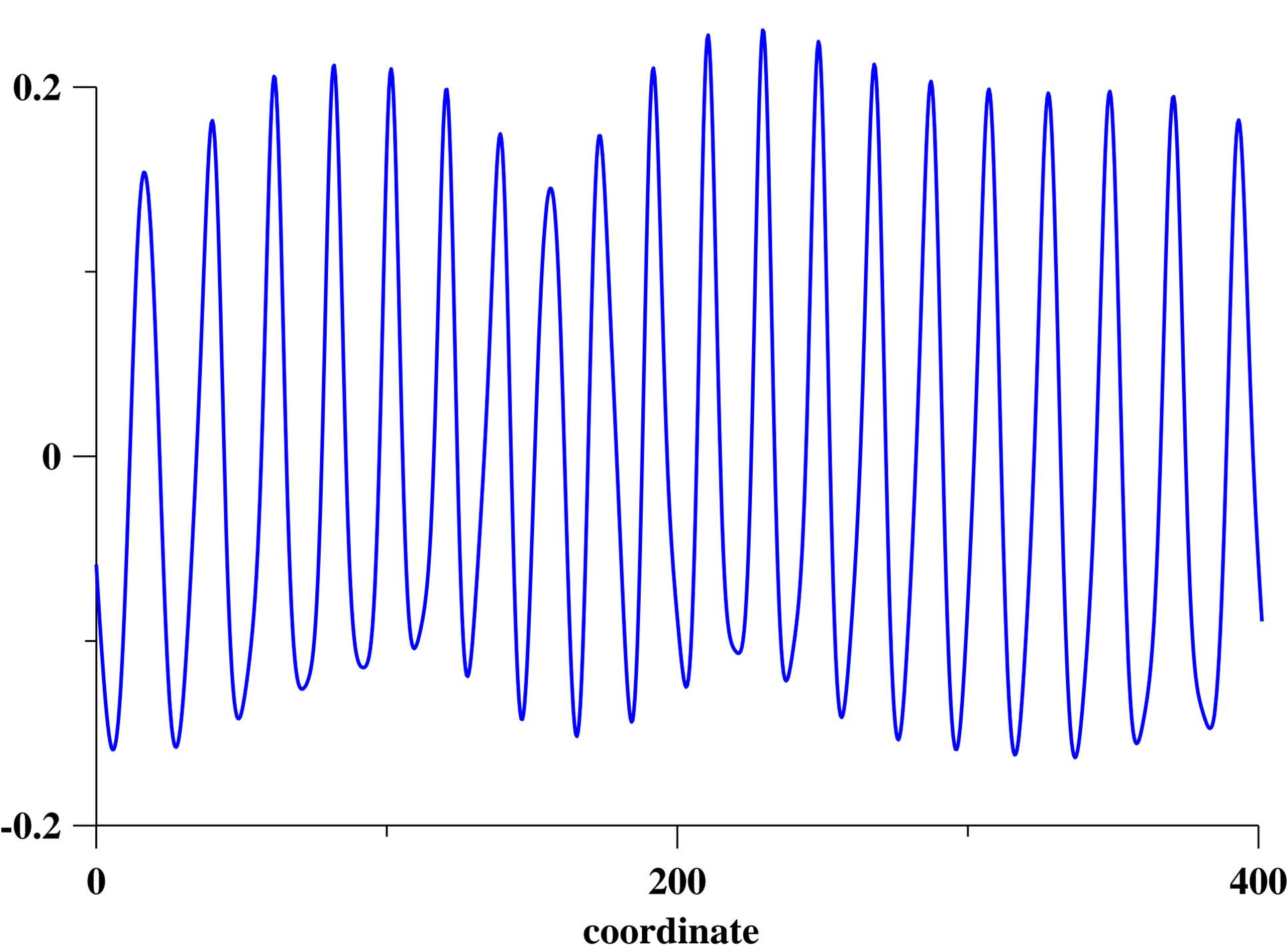


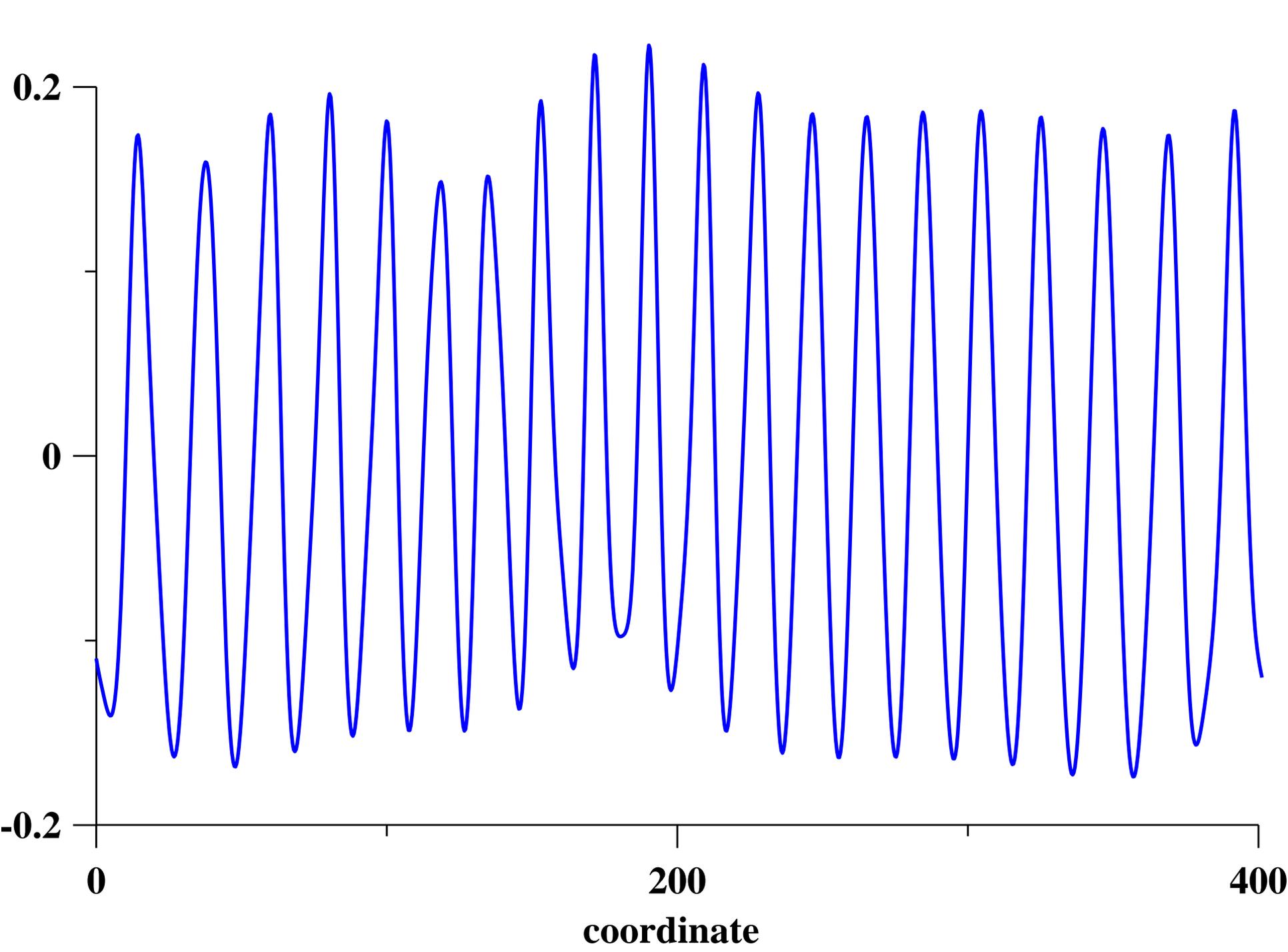


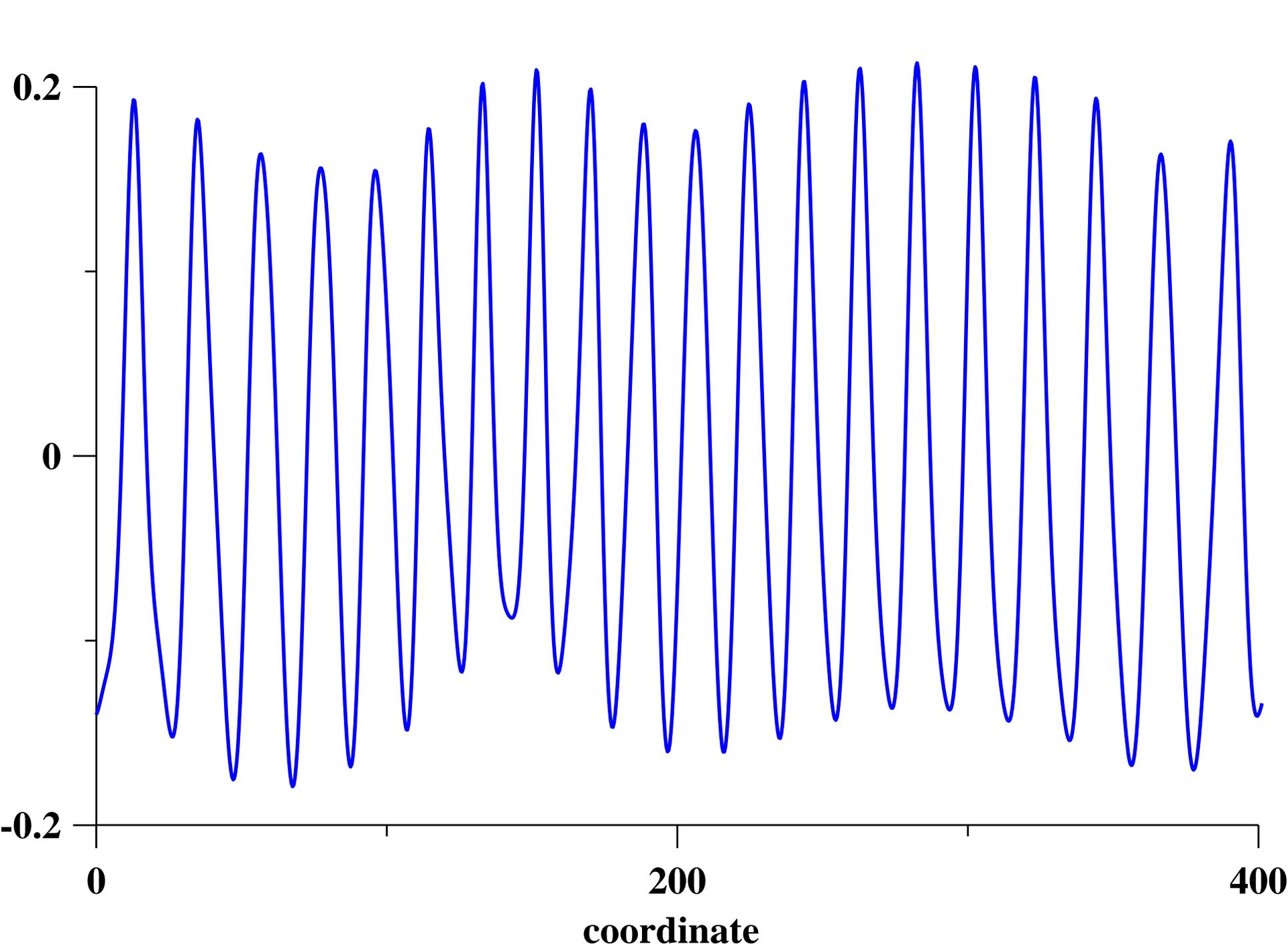


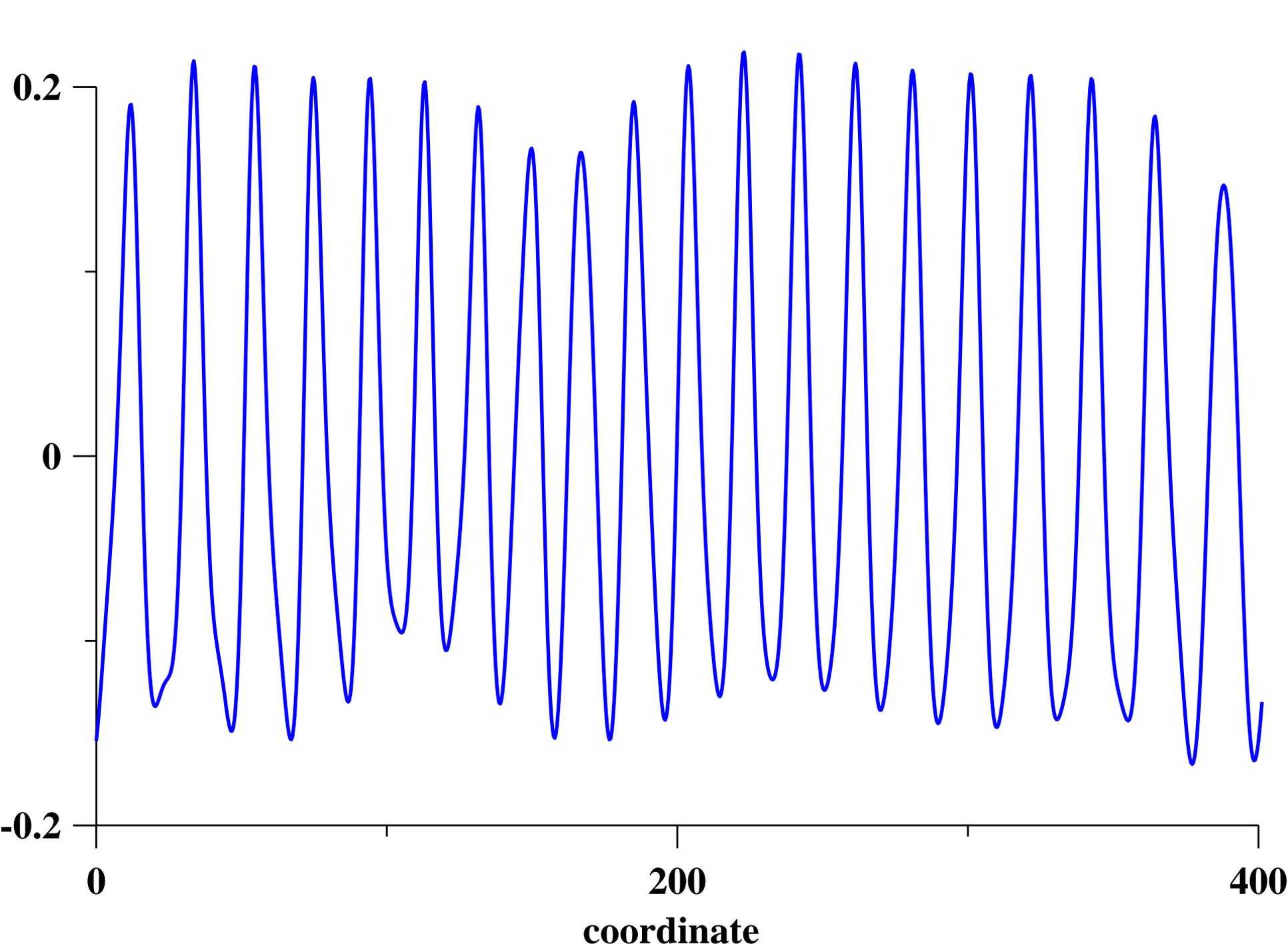


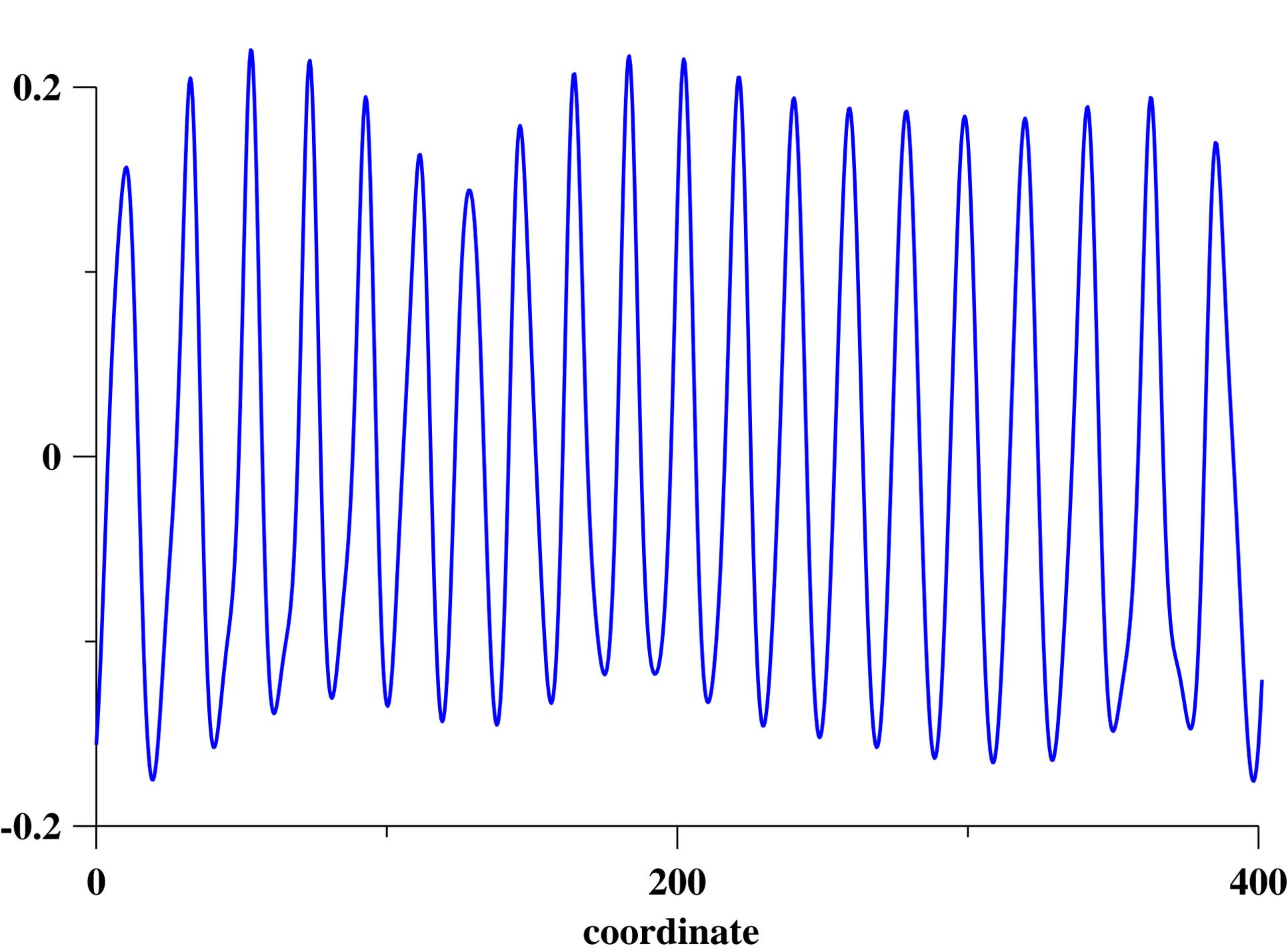






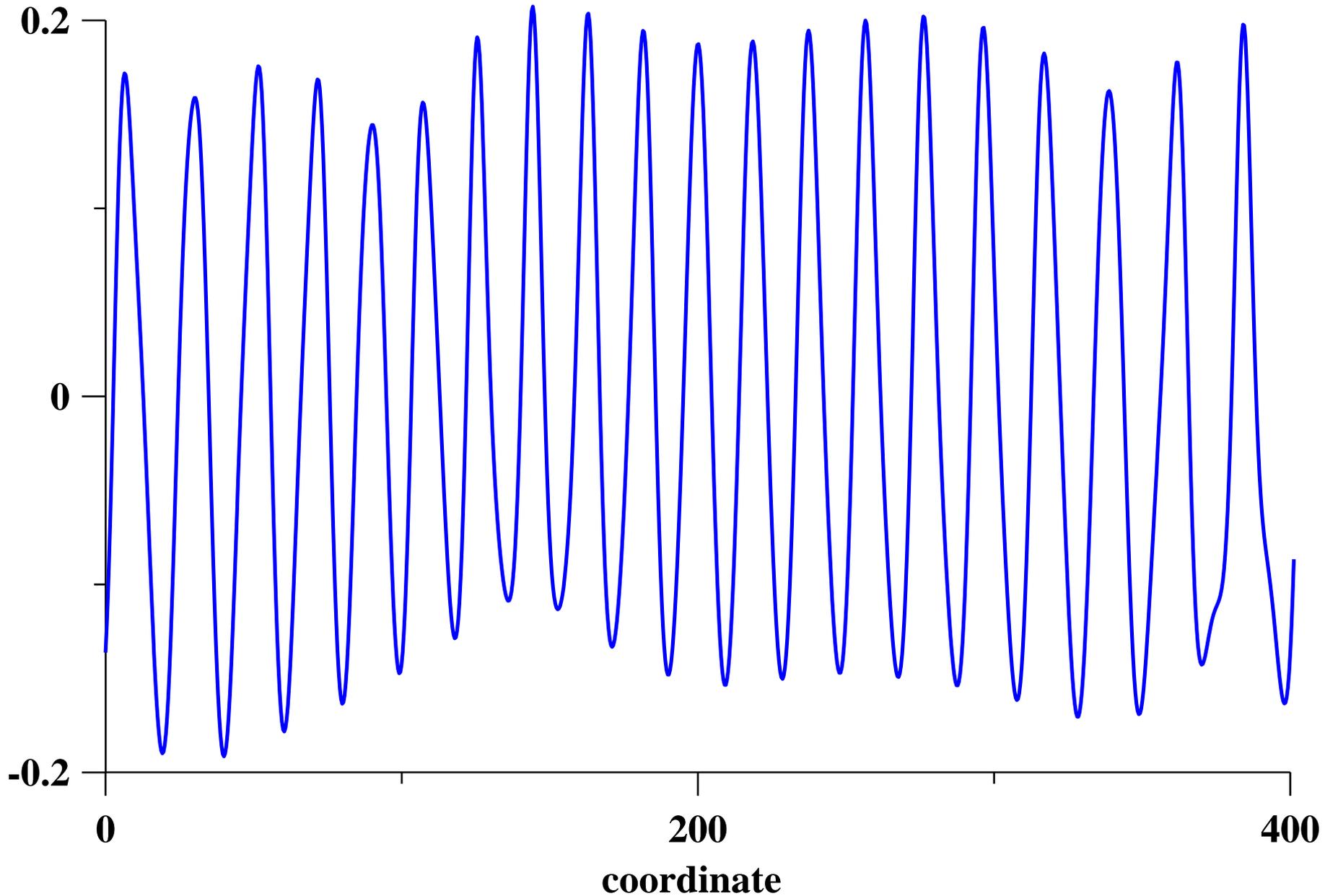






Demodulation: no freak wave

Recurrence



Wind Wave Distribution

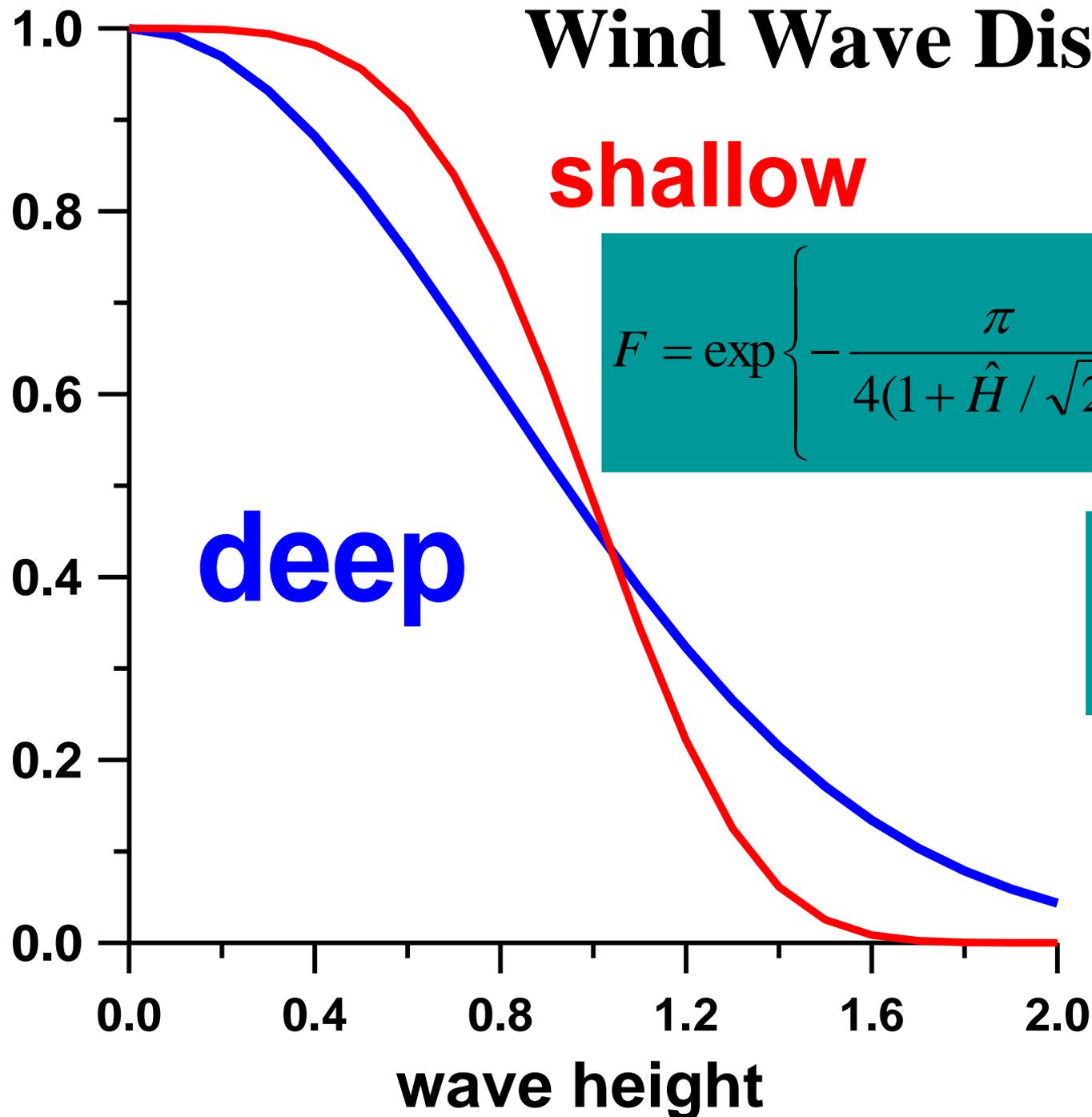
shallow

$$F = \exp \left\{ -\frac{\pi}{4(1 + \hat{H} / \sqrt{2\pi})} \left(\frac{H}{H_{mean}} \right)^{\frac{2}{1-\hat{H}}} \right\}$$

$$\hat{H} = \frac{H_{mean}}{h}$$

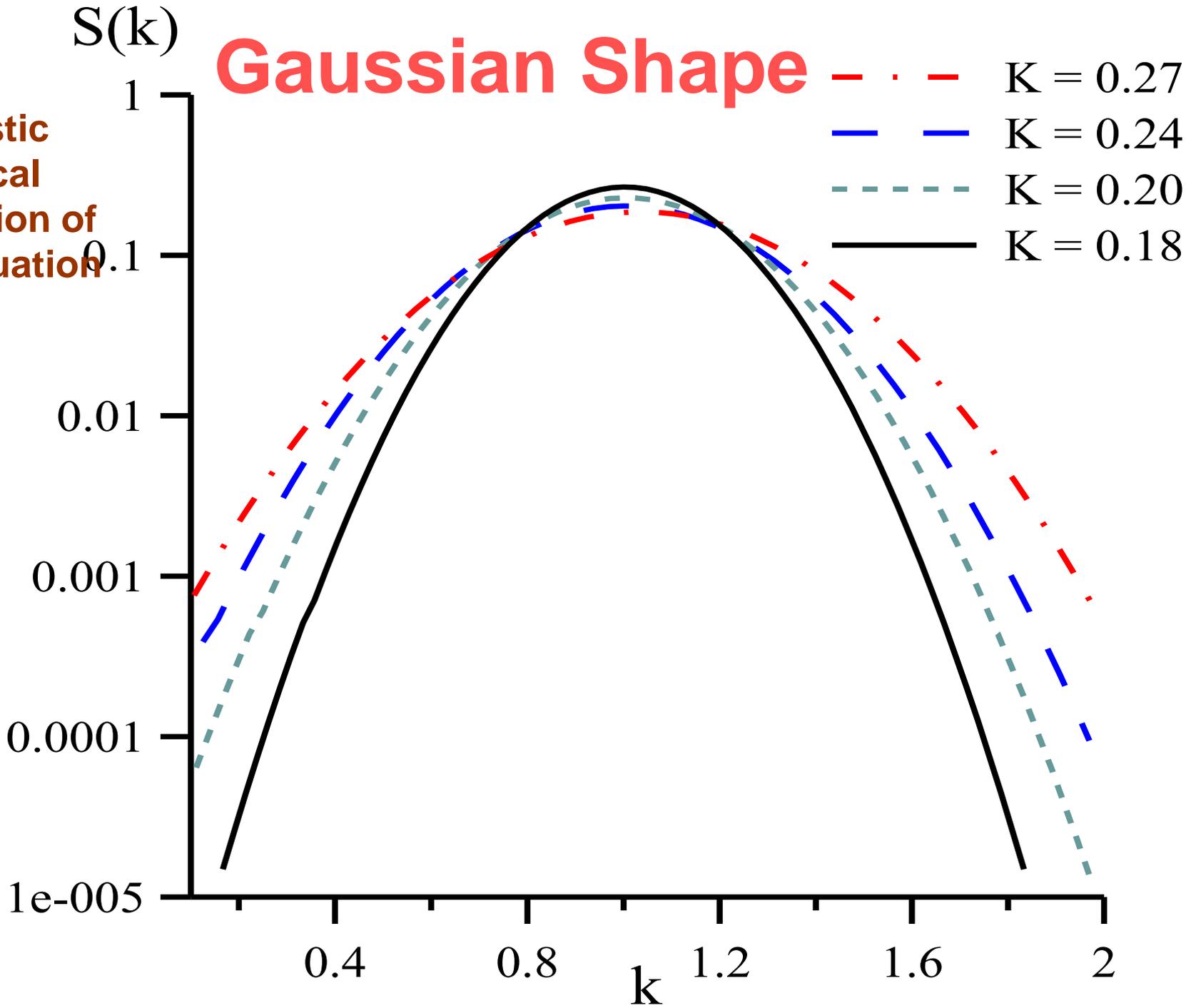
deep

distribution function

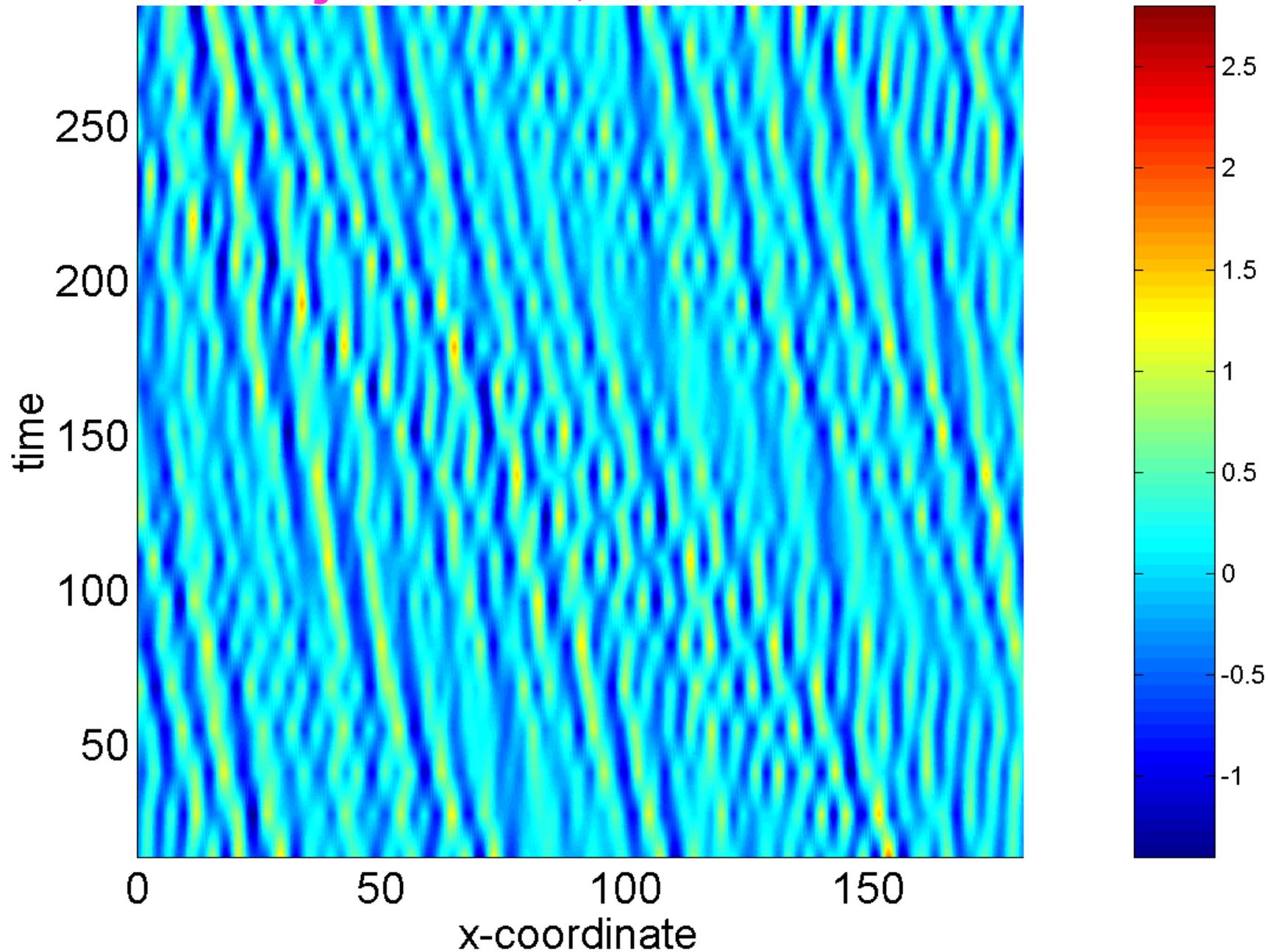


Gaussian Shape

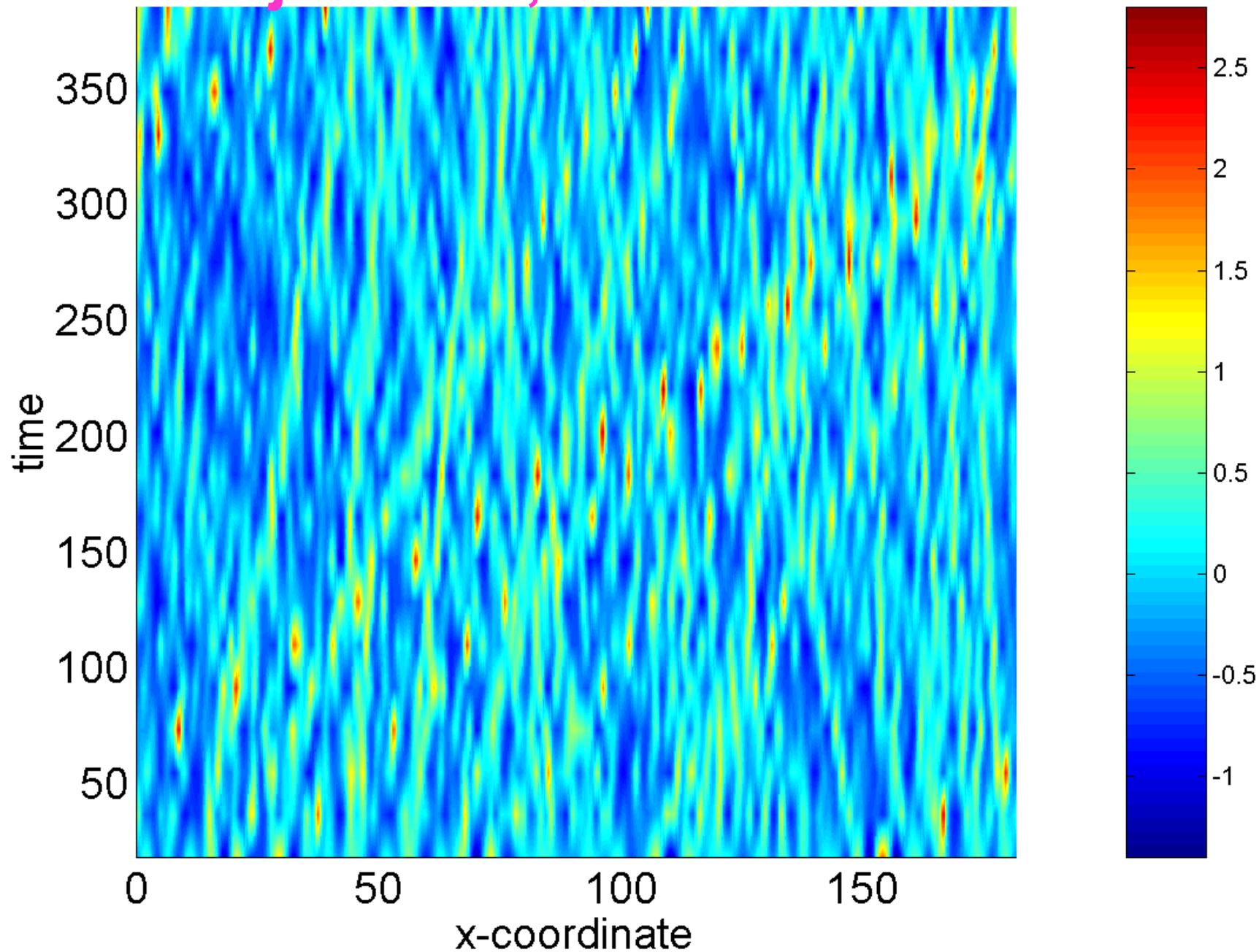
Stochastic
Numerical
Simulation of
KdV equation

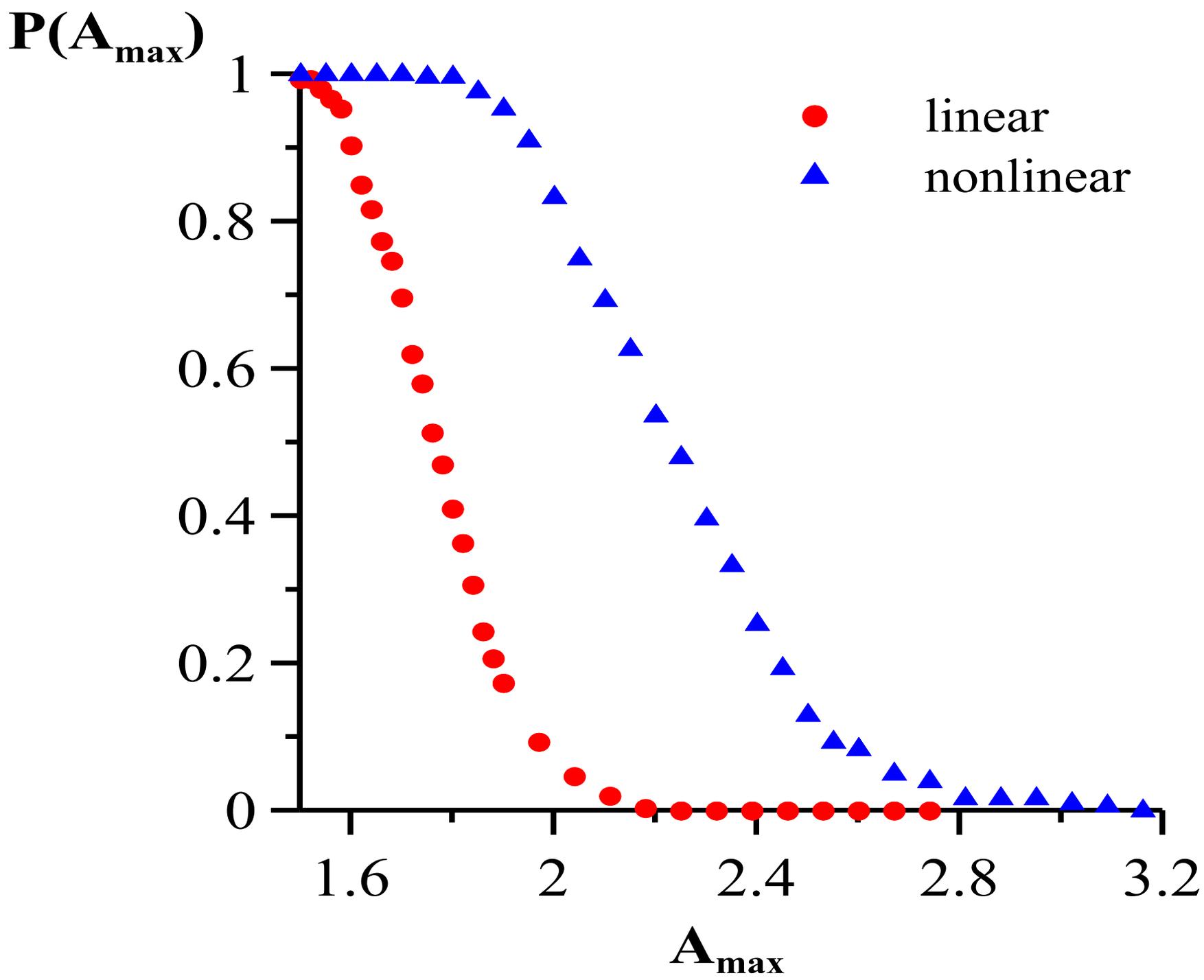


Wave Trajectories, small Ur



Wave Trajectories, $Ur = 1$





Moment Calculations

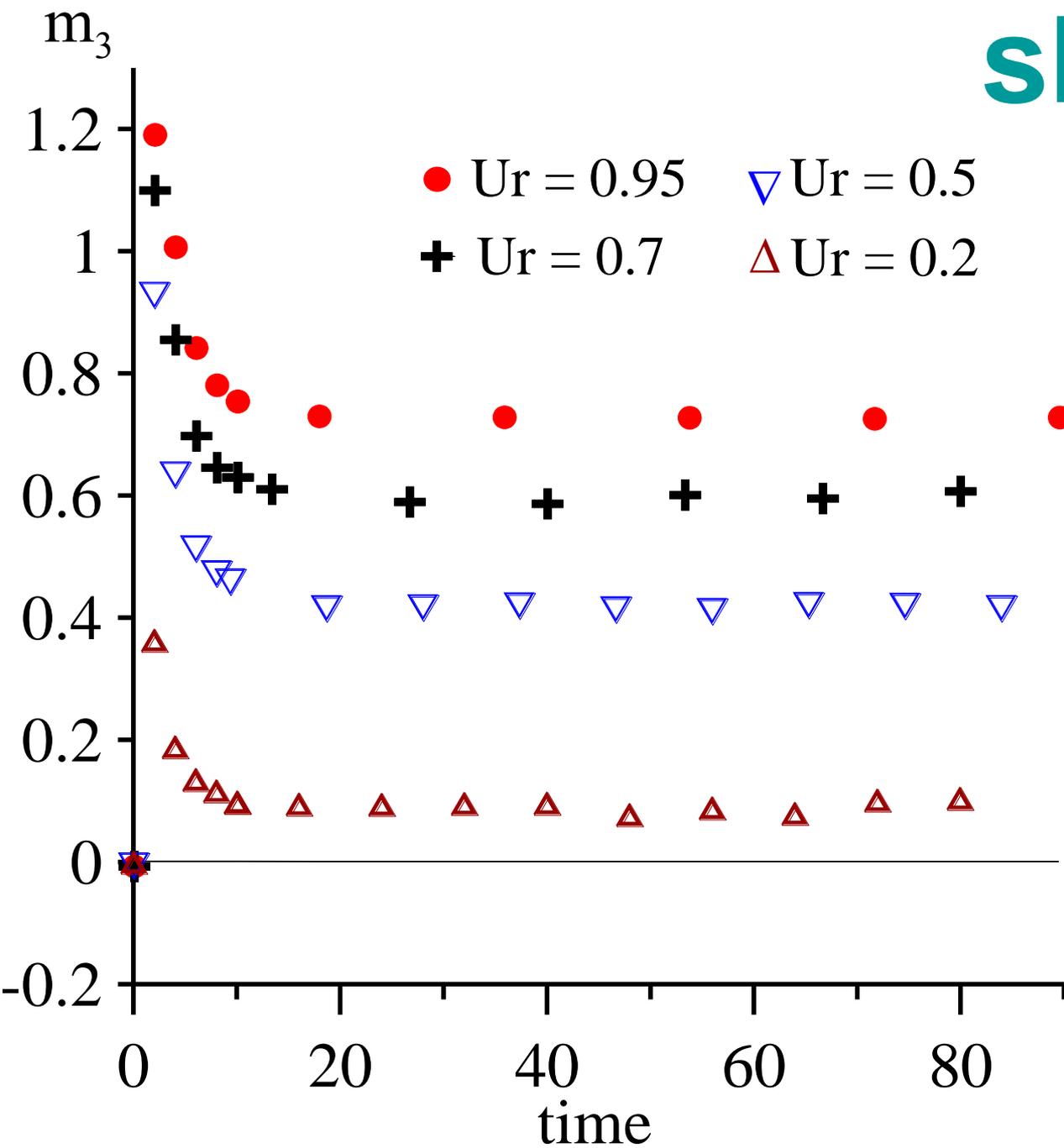
skewness

$$m_3 = \frac{M_3}{\sigma_0^3}$$

kurtosis

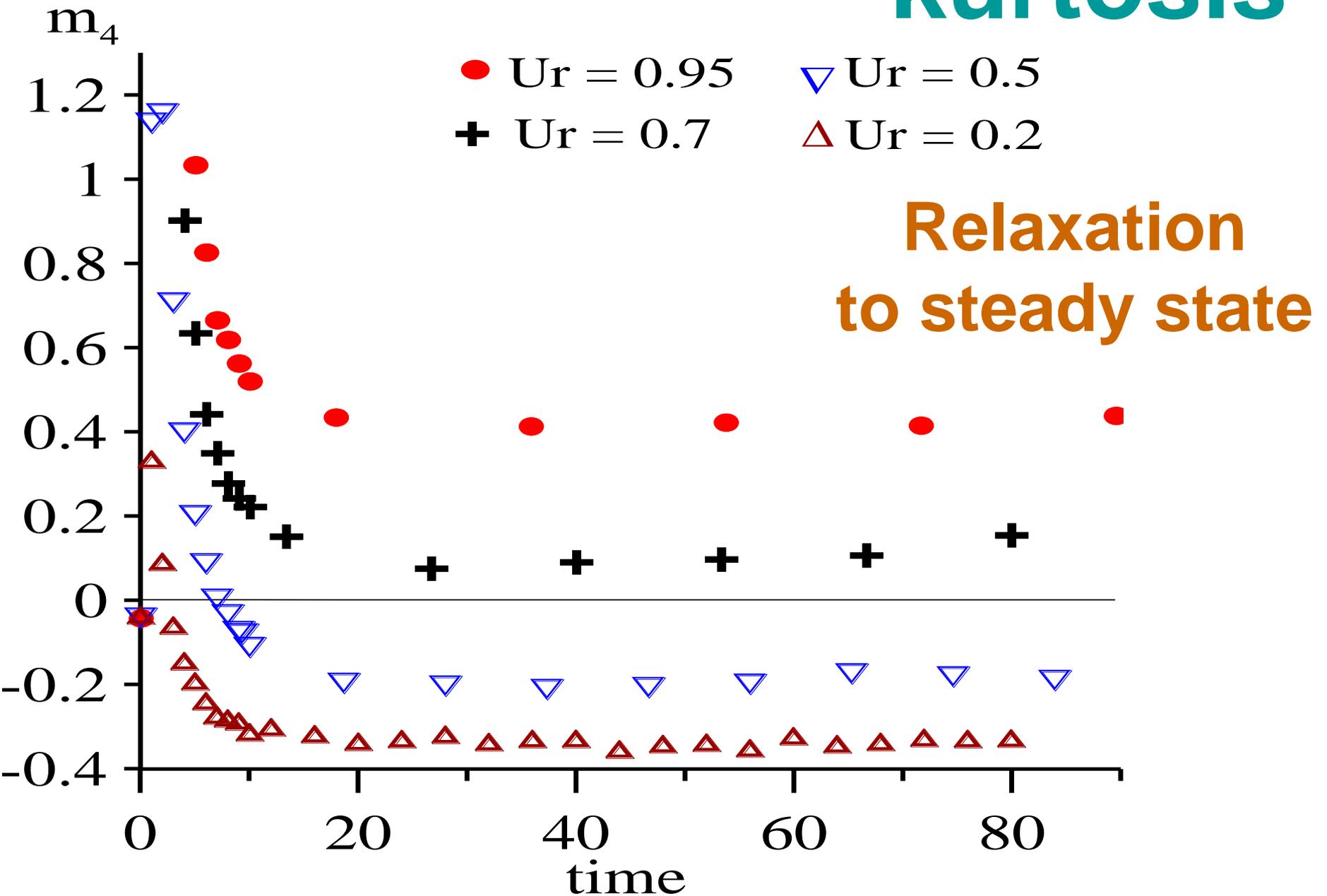
$$m_4 = \frac{M_4}{s_0^4} - 3$$

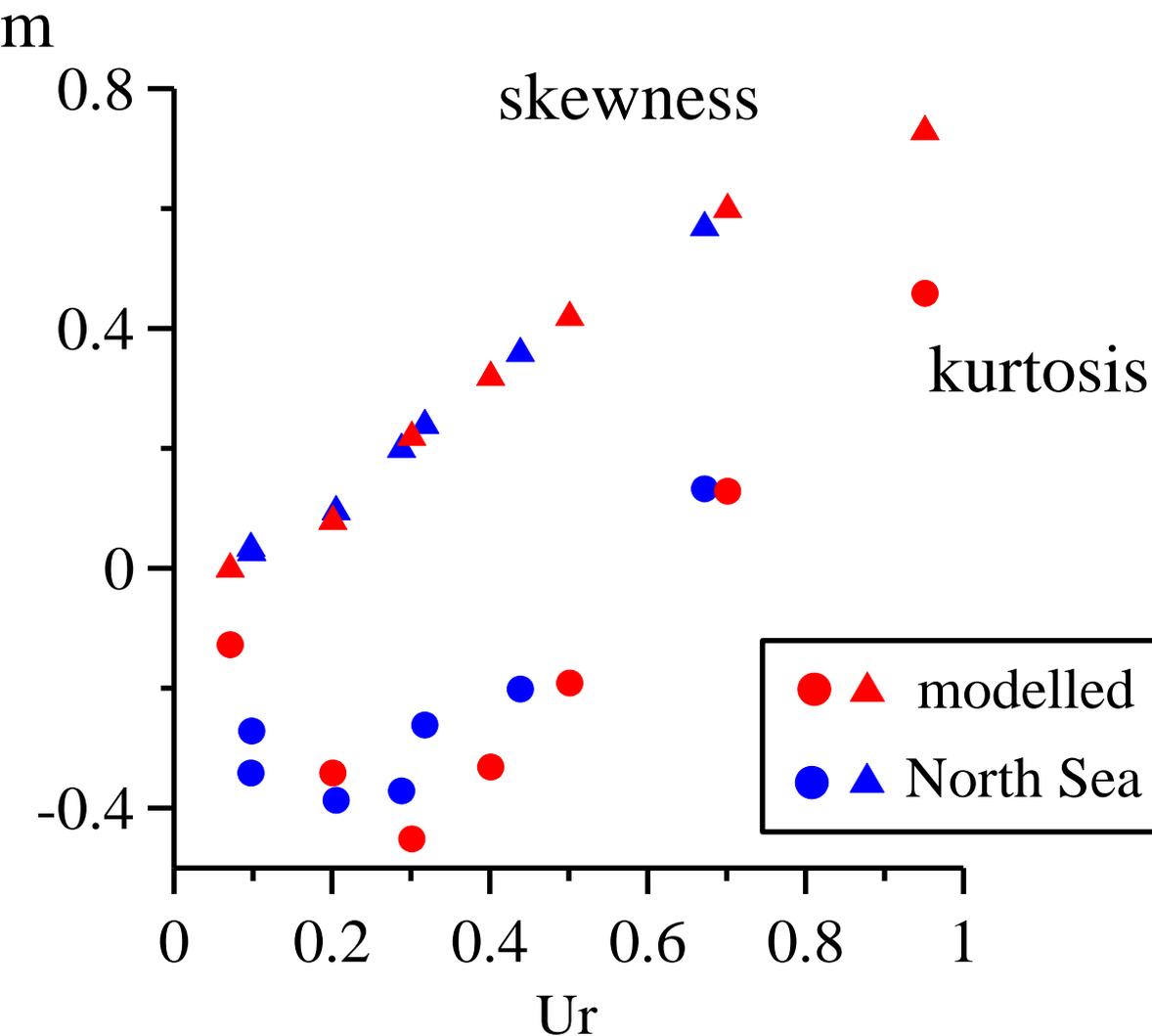
skewness



**Relaxation
to
steady state**

kurtosis

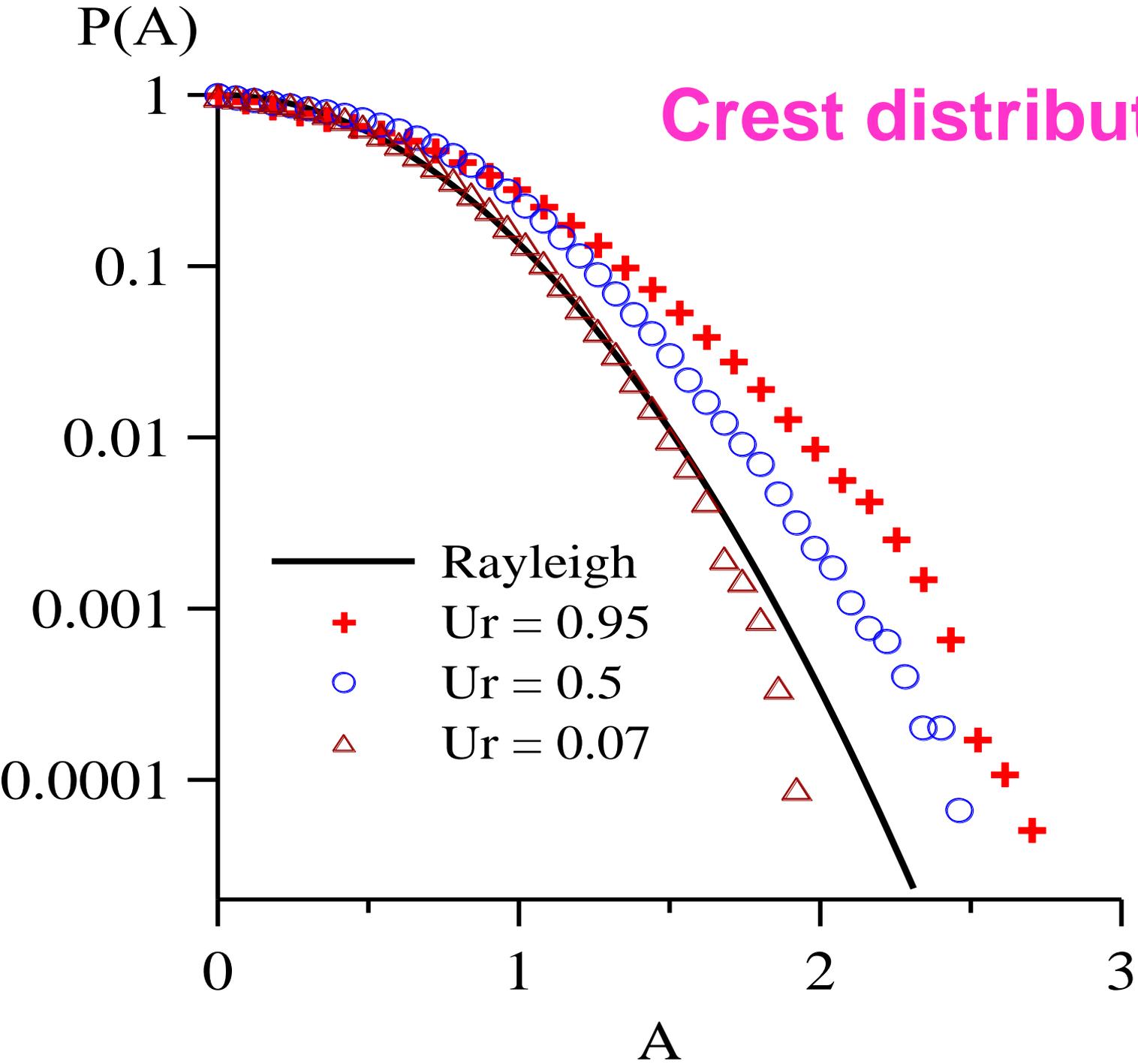


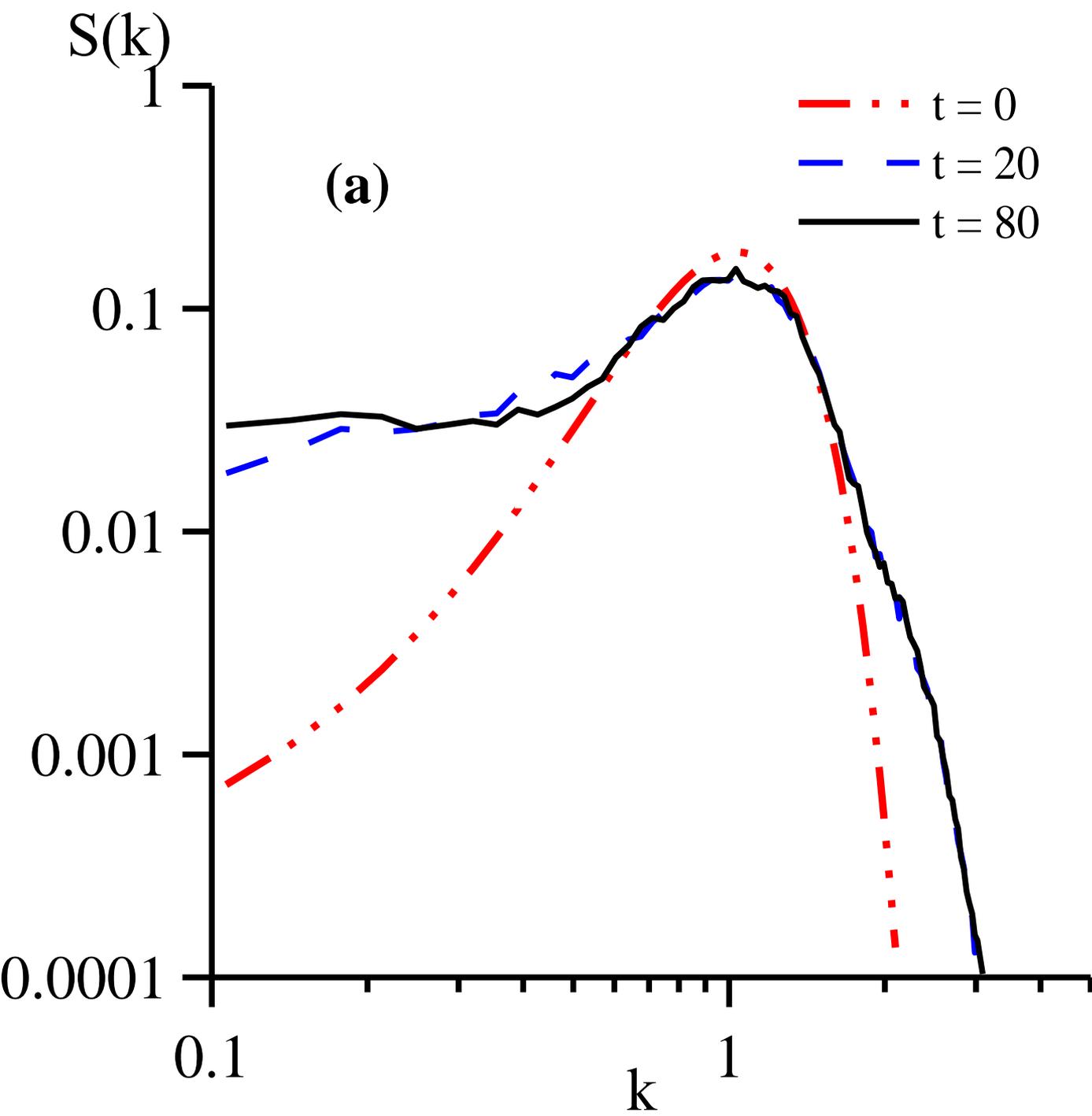


Universal curves

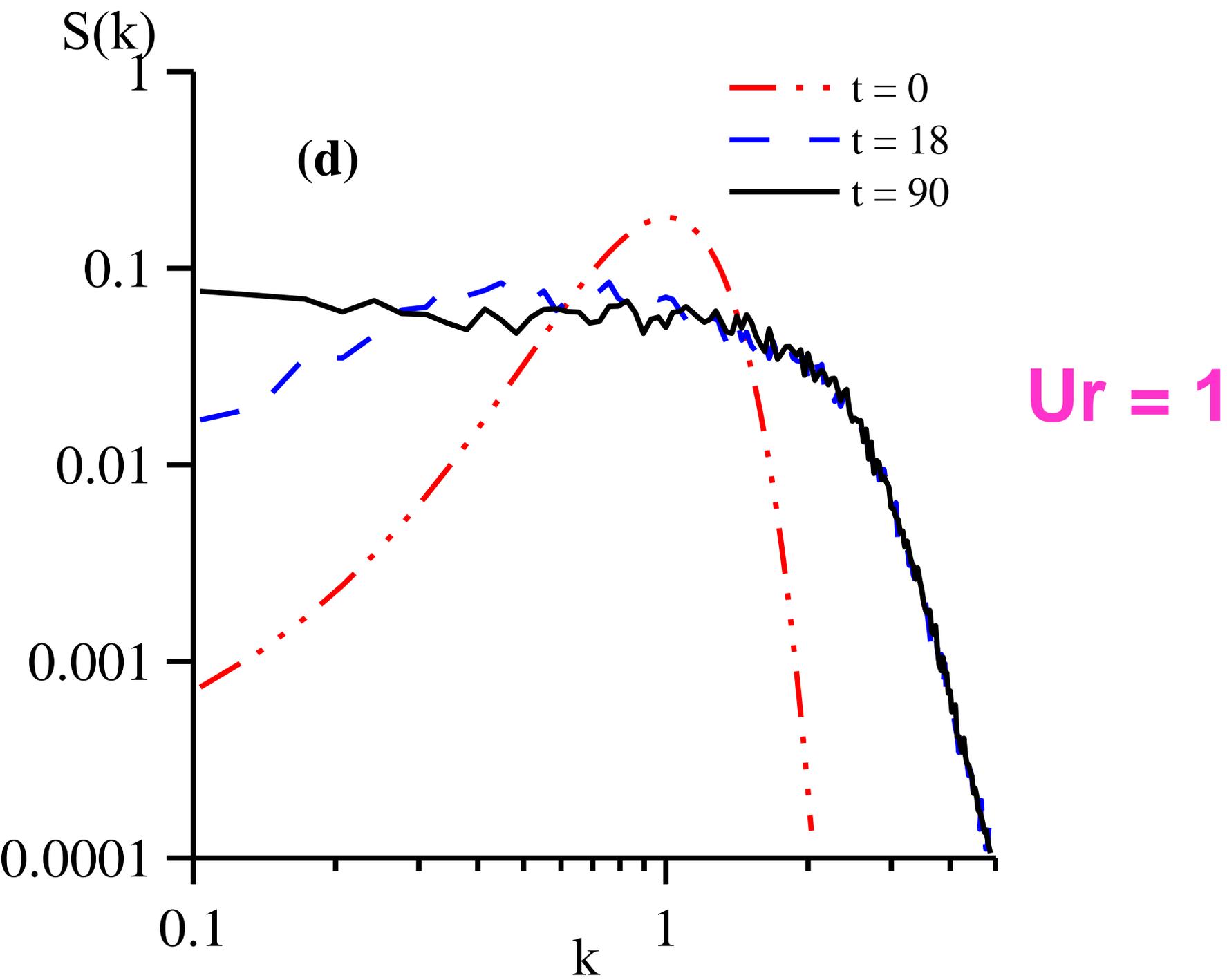
Pelinovsky, E., and Sergeeva, A. Numerical modeling of the KdV random wave field. *European Journal of Mechanics – B/Fluid*. 2006, vol. 25, 425-434.

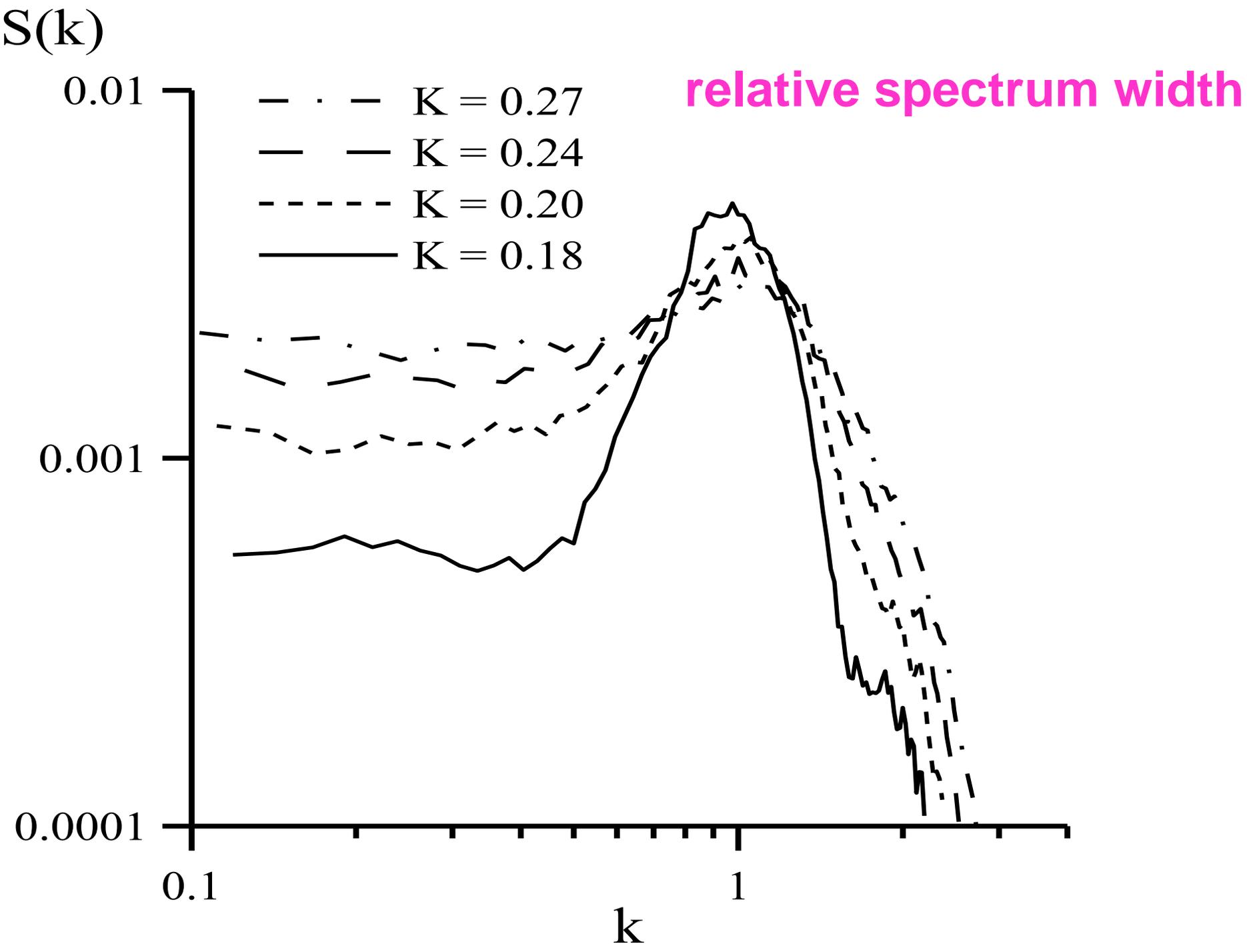
Sergeeva, A., Pelinovsky, E., and Talipova T. Nonlinear Random Wave Field in Shallow Water: Variable Korteweg – de Vries Framework. *Natural Hazards and Earth System Science*, 2011, vol. 11, 323-330

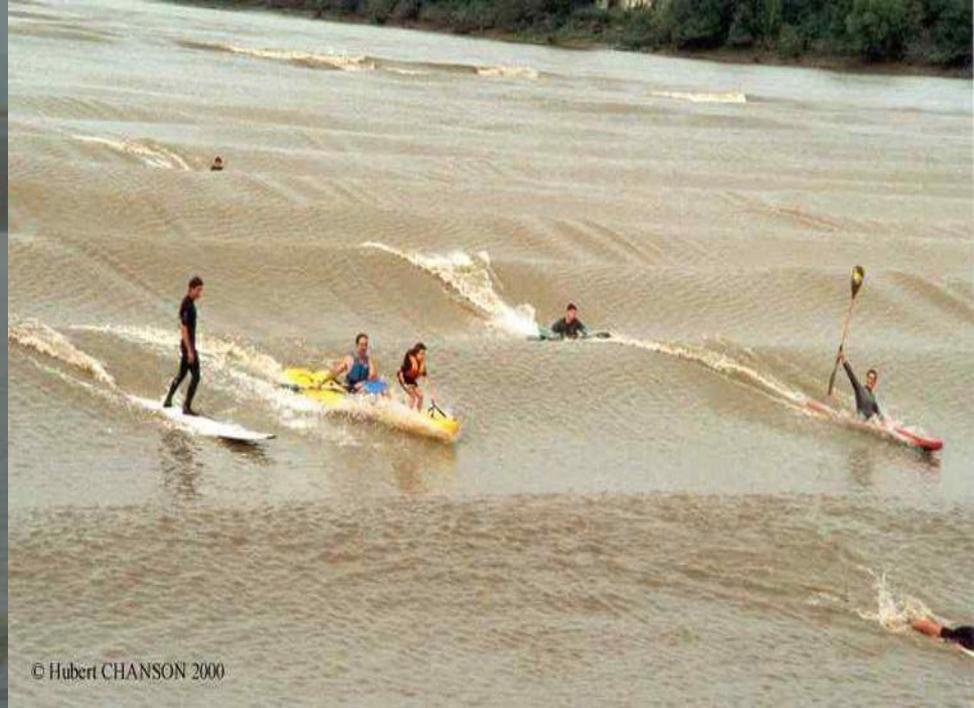




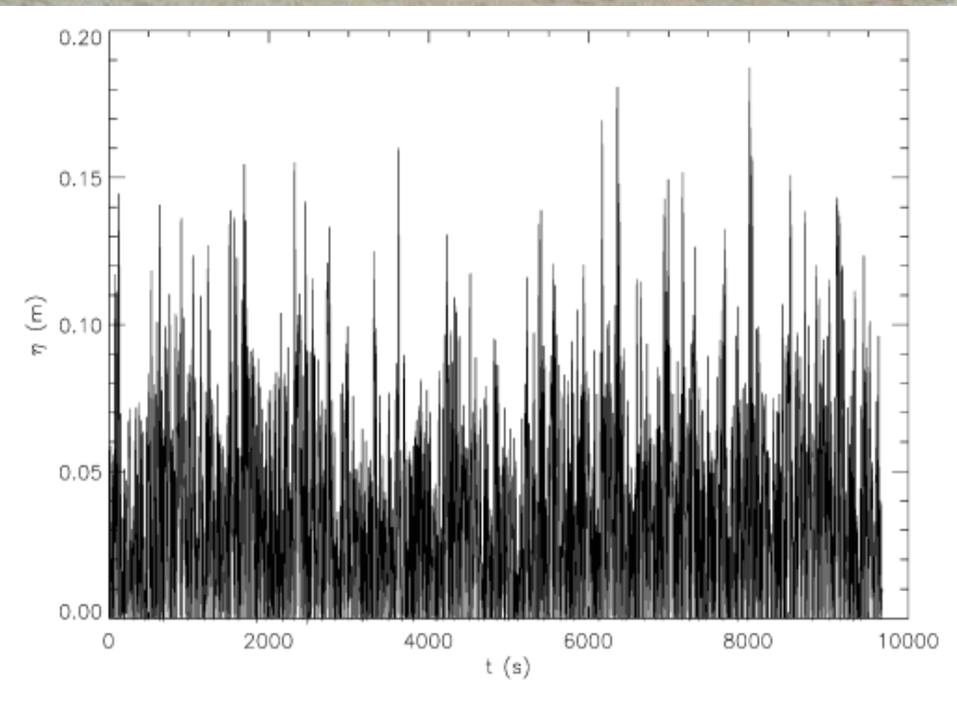
$Ur = 0.2$







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Soliton Turbulence

V.E. Zakharov, Kinetic equation for solitons, Soviet JETP 60 (1971) 993-1000

A. Salupere, P. Peterson, and J. Engelbrecht, Long-time behaviour of soliton ensembles. Part 1 – Emergence of ensembles, Chaos, Solitons and Fractals, 14 (2002) 1413-1424.

- **Salupere, P. Peterson, and J. Engelbrecht, Long-time behaviour of soliton ensembles. Part 2 – Periodical patterns of trajectorism, Chaos, Solitons and Fractals 15 (2003a) 29-40.**

- **Salupere, P. Peterson, and J. Engelbrecht, Long-time behavior of soliton ensembles, Mathematics and Computers in Simulation 62 (2003b) 137-147.**

- **Salupere, G.A. Maugin, J. Engelbrecht, and J. Kalda, On the KdV soliton formation and discrete spectral analysis, Wave Motion 123 (1996) 49-66.**

M. Brocchini and R. Gentile. Modelling the run-up of significant wave groups. Continental Shelf Research, 2001, vol. 21, 1533-1550.

Statistical Characteristics of Solitons: No Interaction – Linear Approach

$$u(x, t) = \sum_{i=1}^N A_i \operatorname{sech}^2 \left[K_i (x - 4K_i^2 t - x_i) \right]$$

$$A_i = 2K_i^2$$

- Soliton amplitudes and phases are random and statistically independent
- Phases are uniformly distributed in domain
 $-L/2 < x < L/2$

Moments of N-Soliton “Linear” Ensembles

$$\langle u \rangle = \frac{4N}{L} \langle K \rangle = \frac{2\sqrt{2}N}{L} \langle A^{1/2} \rangle$$

$$\sigma \approx \sqrt{\frac{16N}{3L} \langle K^3 \rangle} = \sqrt{\frac{8N}{3\sqrt{2}L} \langle A^{3/2} \rangle}$$

$$Sk \approx \frac{2\sqrt{3}}{5} \frac{\sqrt{L}}{\sqrt{N}} \frac{\langle K^5 \rangle}{[\langle K^3 \rangle]^{3/2}} = \frac{2^{3/4}\sqrt{3}}{5} \frac{\sqrt{L}}{\sqrt{N}} \frac{\langle A^{5/2} \rangle}{[\langle A^{3/2} \rangle]^{3/2}}$$

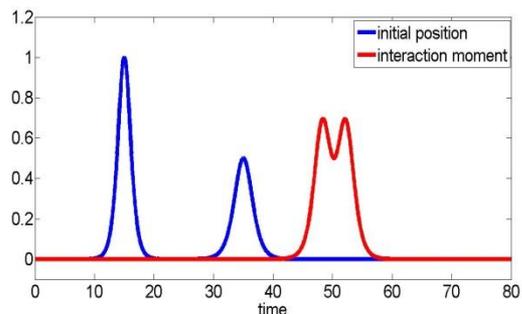
$$Ku \approx \frac{18L}{35N} \frac{\langle K^7 \rangle}{\langle K^6 \rangle} = \frac{18\sqrt{2}}{35} \frac{L}{N} \frac{\langle A^{7/2} \rangle}{\langle A^3 \rangle}$$



Two-soliton interaction as an elementary act of soliton turbulence in integrable systems

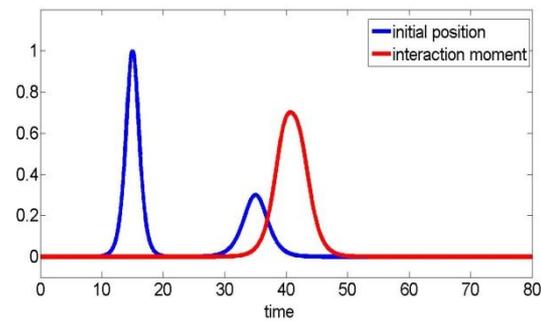
E.N. Pelinovsky ^{a,b}, E.G. Shurgalina ^{b,c}, A.V. Sergeeva ^{b,c}, T.G. Talipova ^{b,c}, G.A. El ^{d,*}, R.H.J. Grimshaw ^d

$$\frac{A_1}{A_2} < 2.8$$



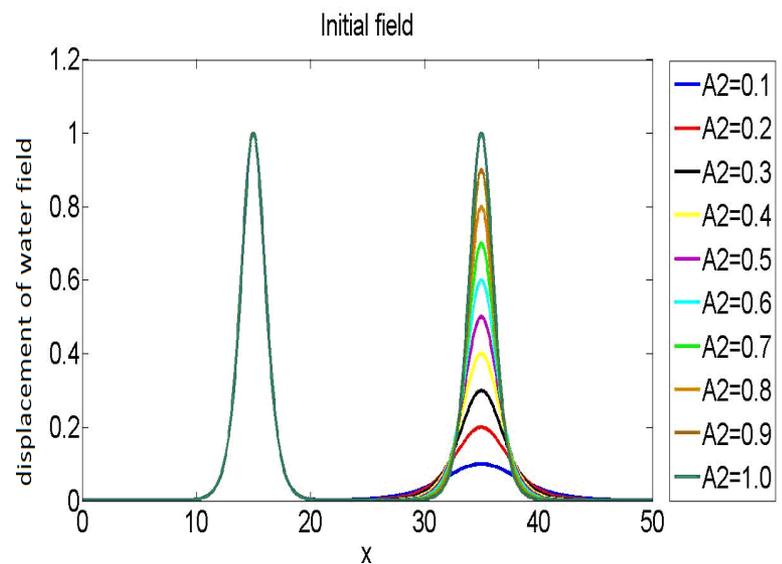
«Exchange»

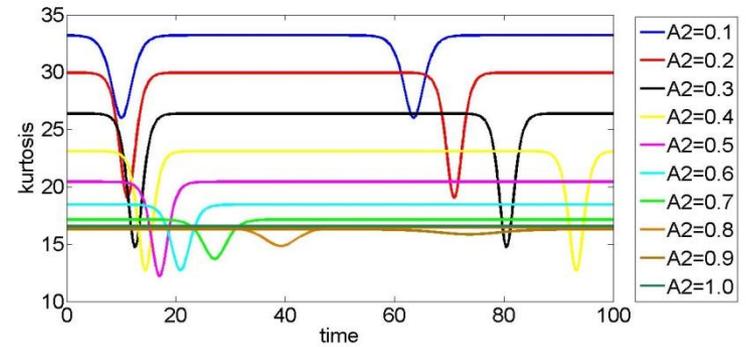
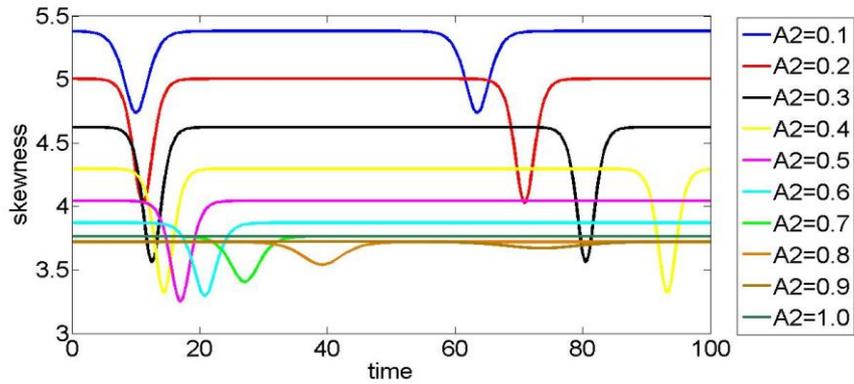
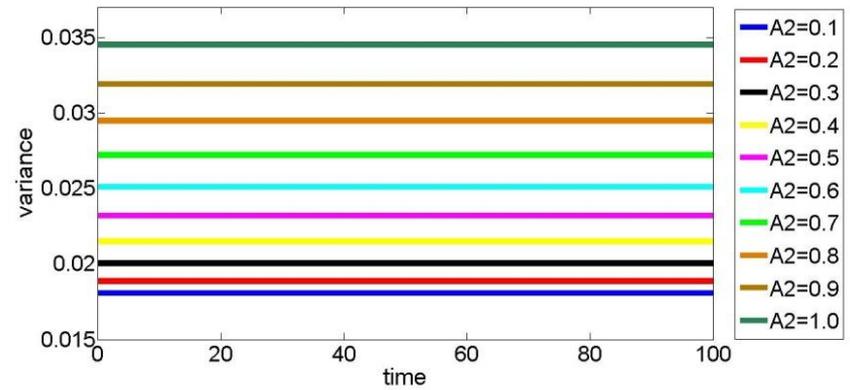
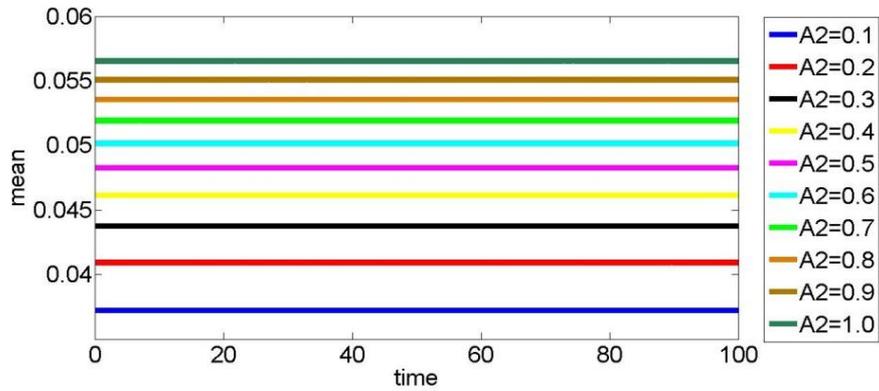
$$\frac{A_1}{A_2} > 2.8$$



«Overtake»

Analysis for various ratio of soliton amplitudes



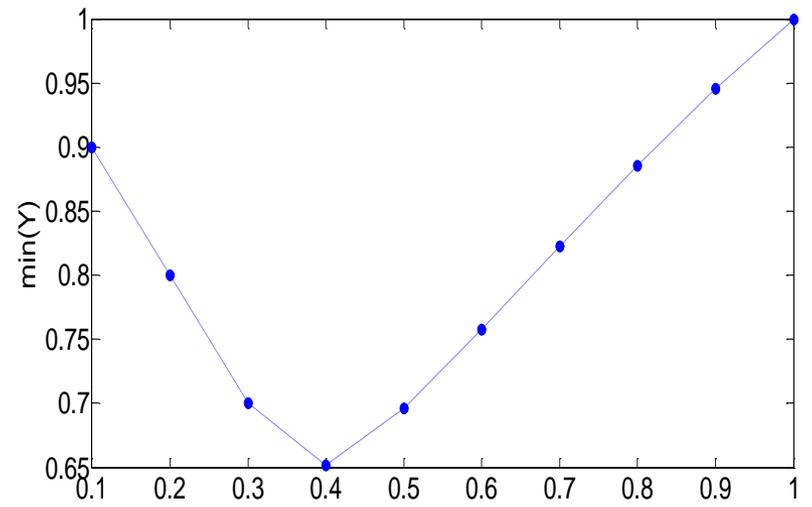
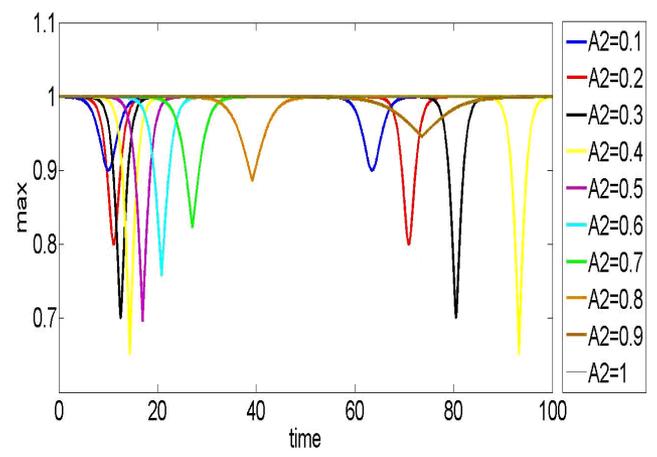


Two “first” moments (mean value and dispersion) are constant (*KdV invariants*)

“Skewness” and “Kurtosis” are reduced when solitons interact

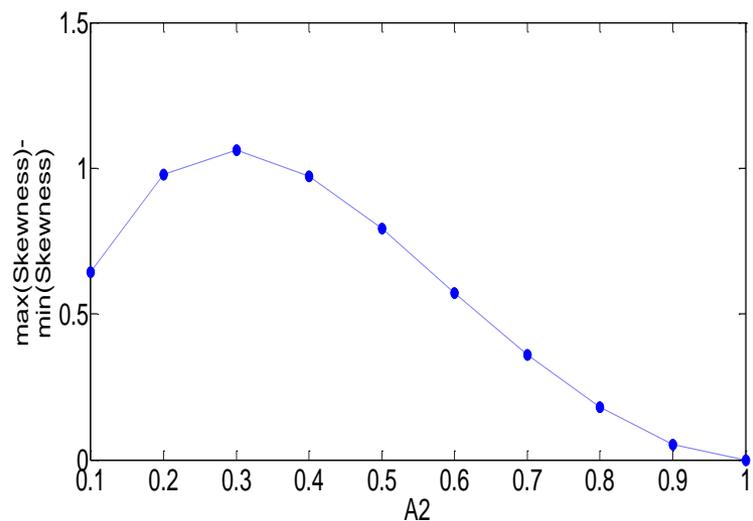
Extreme characteristics versus A2/A1

Wave amplitude at collision time

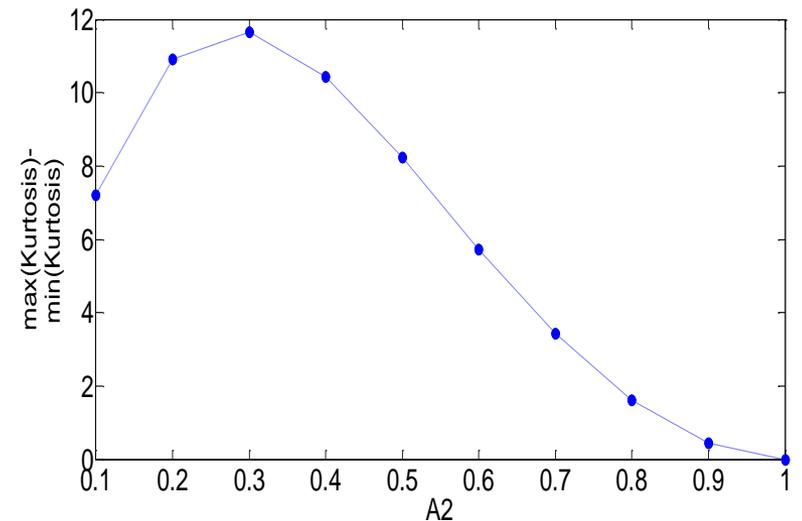


**Not
the same point
A1/A2 = 2.8!**

Skewness difference

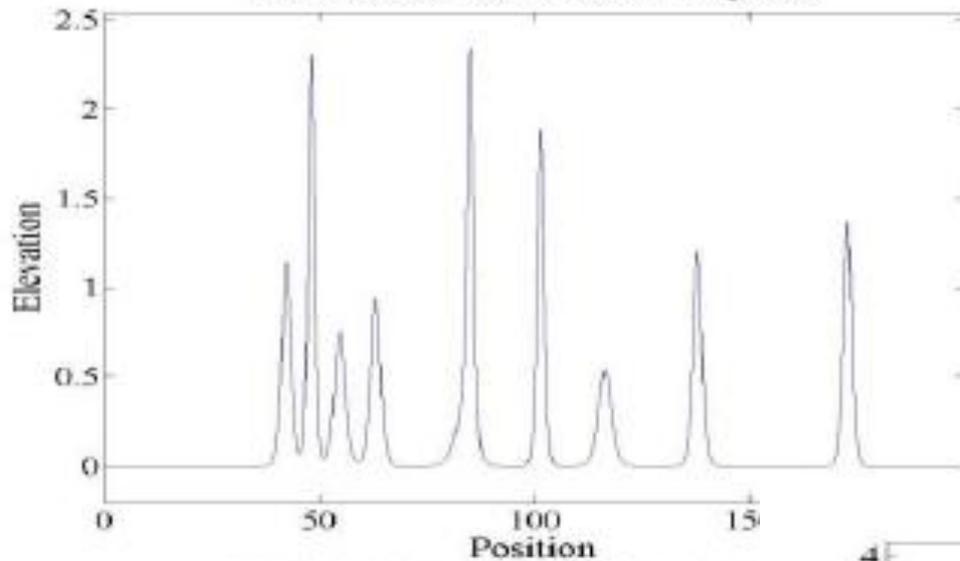


Kurtosis difference

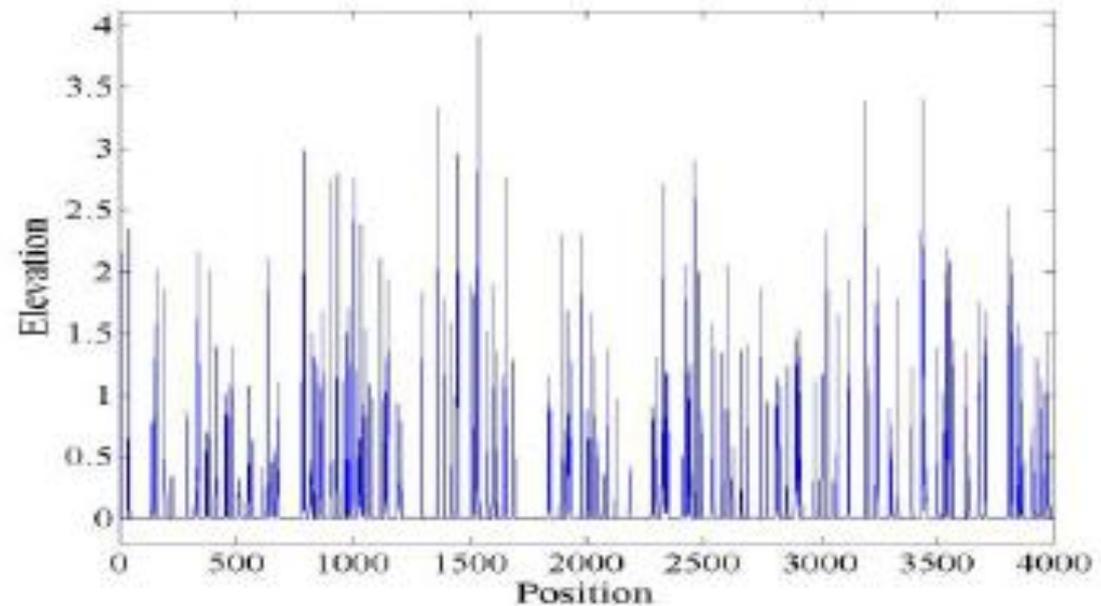


Numerical Simulation within KdV equation

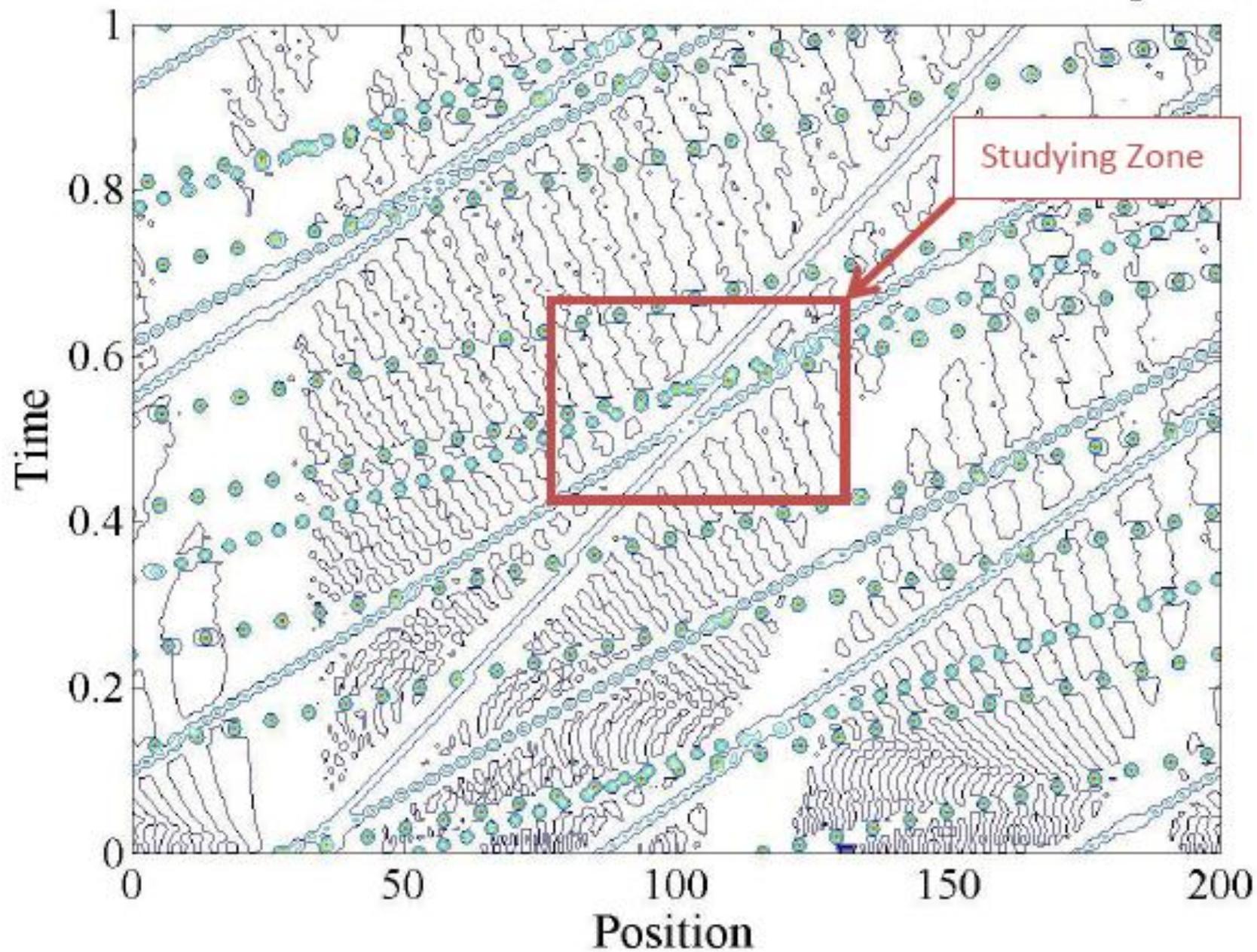
Field Position at $t=0$ of the 10 peaks



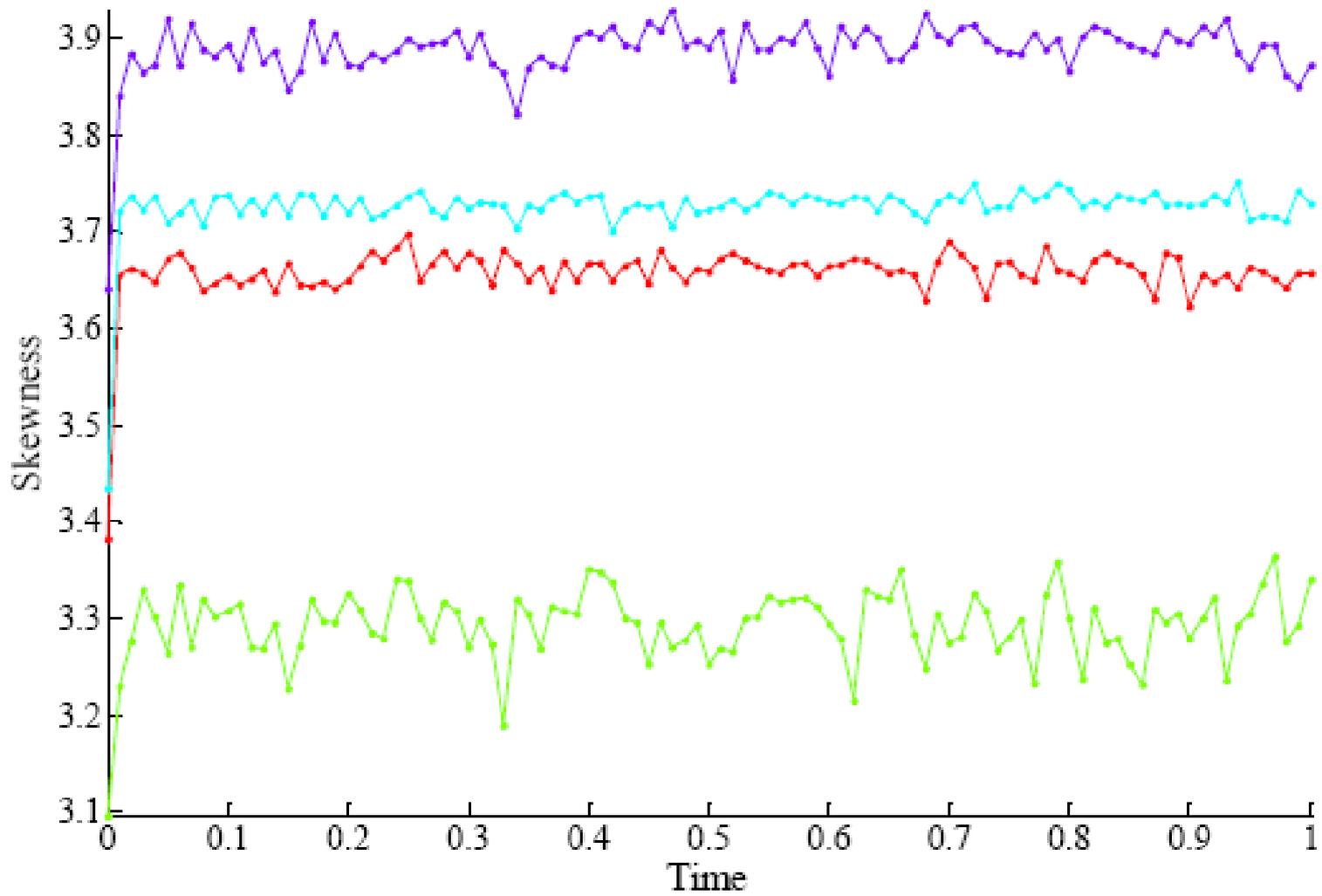
Field Position at $t=0$ of the 200 peaks



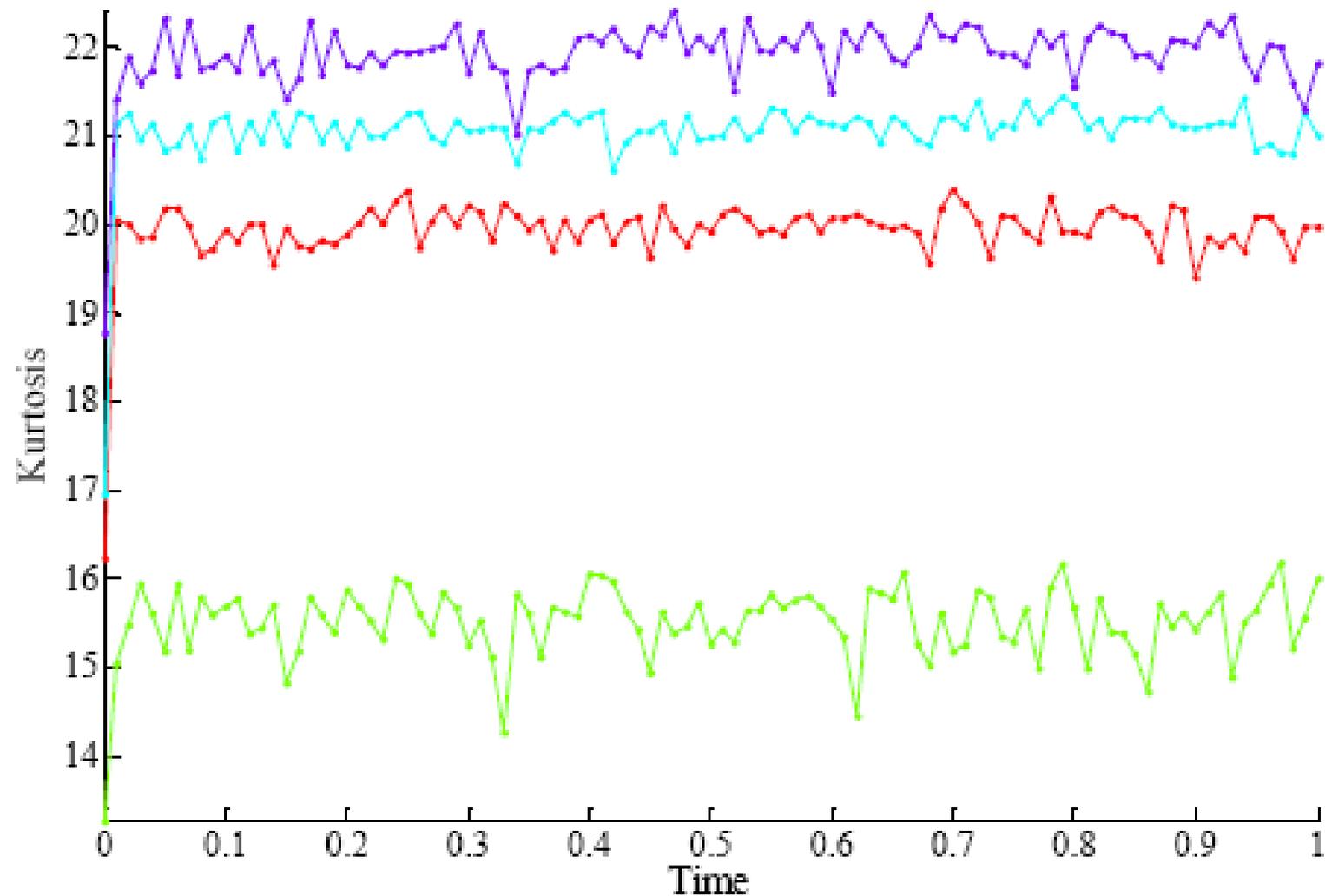
Evolution of the 1th realisation over the time for 10 peaks



Skewness over 10 realisations

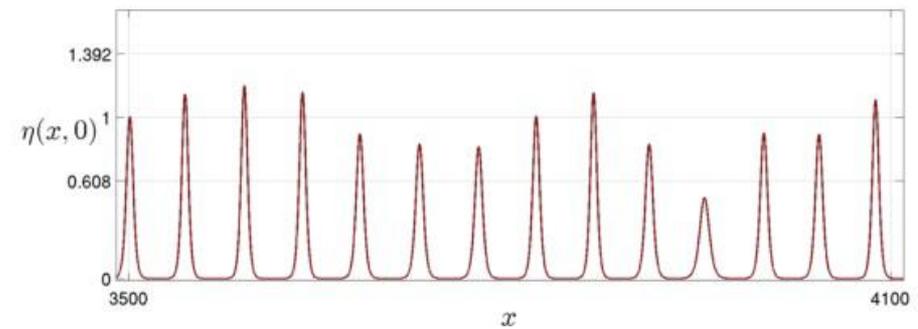
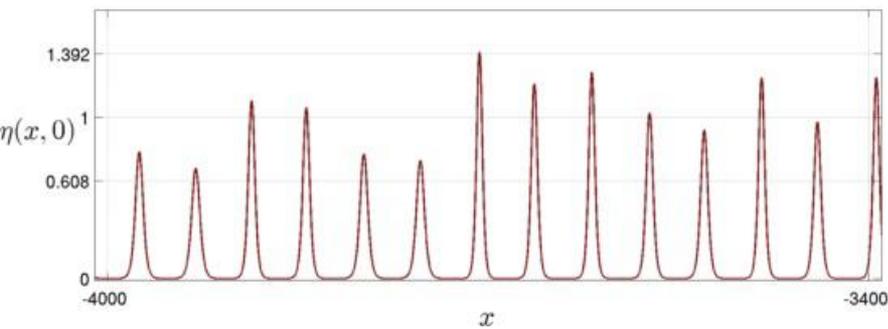
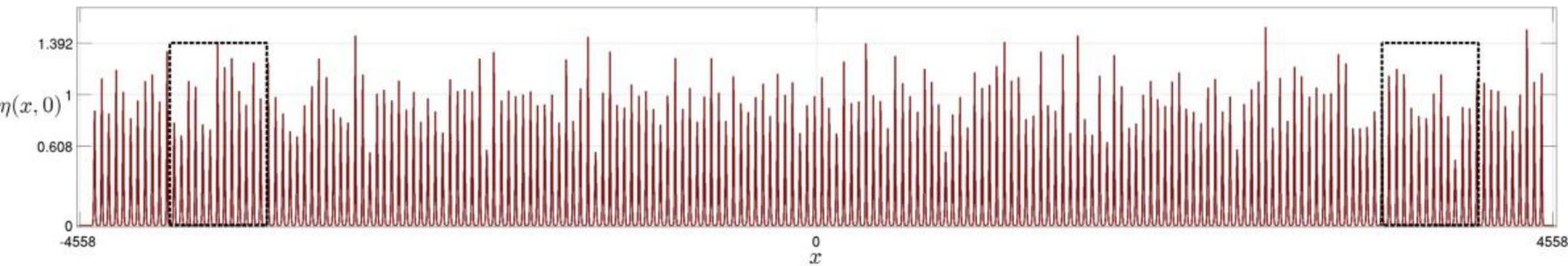


Kurtosis over 10 realisations

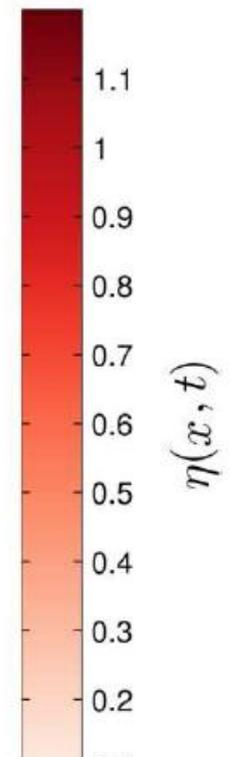
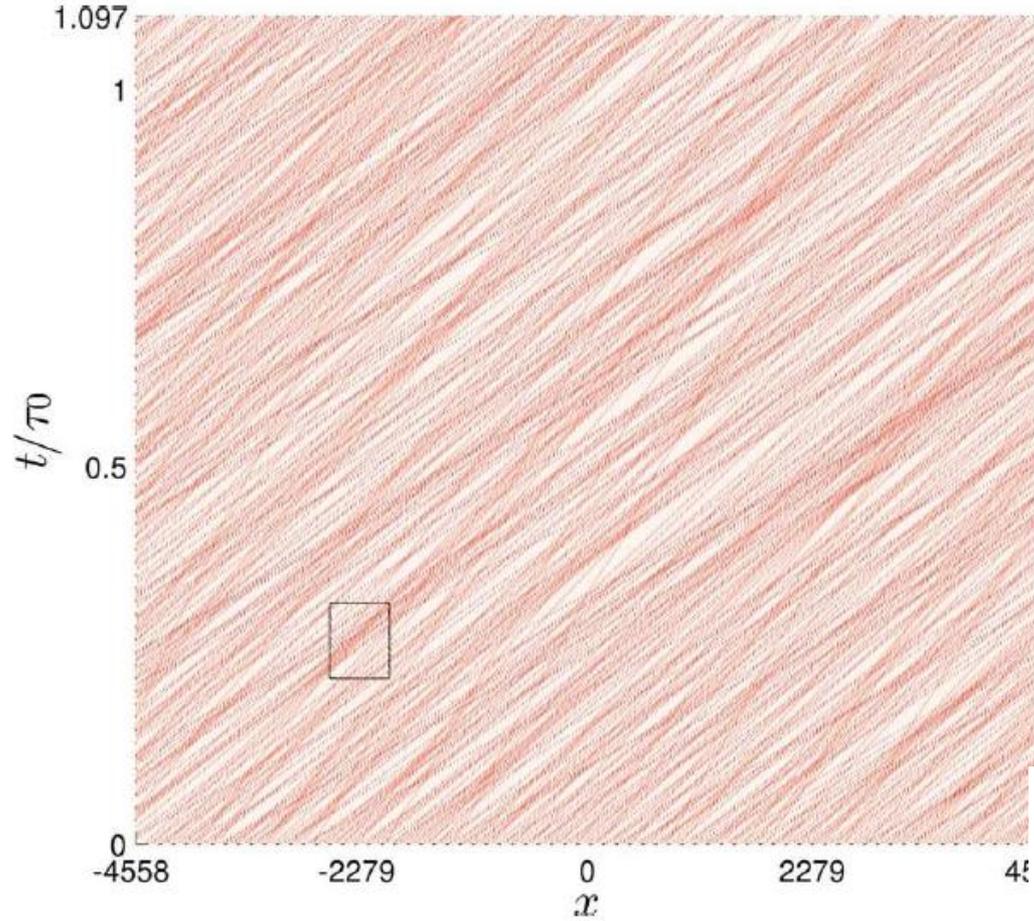


NUMERICAL SIMULATION OF A SOLITONIC GAS IN SOME INTEGRABLE AND NON-INTEGRABLE MODELS

DENYS DUTYKH AND EFIM PELINOVSKY*

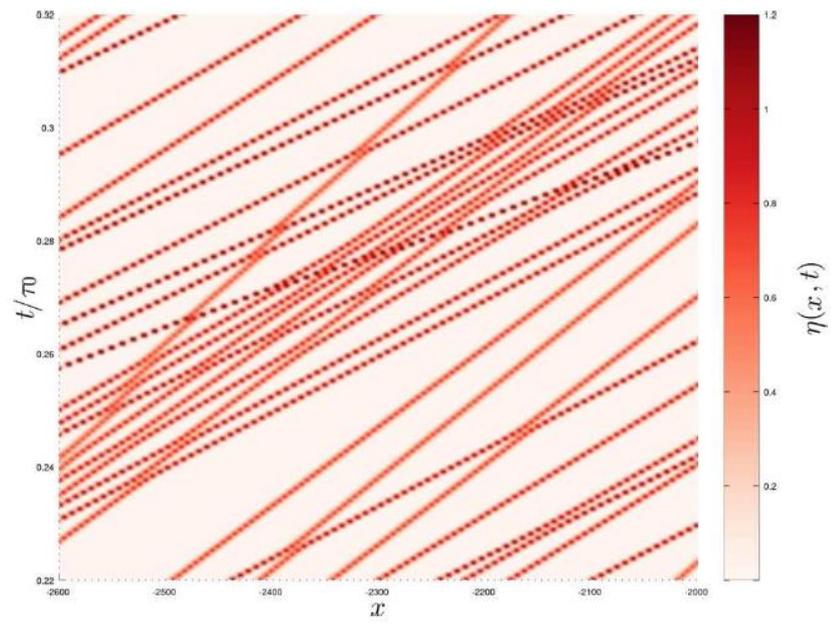


Invariants are conserved with accuracy of 10^{-11}

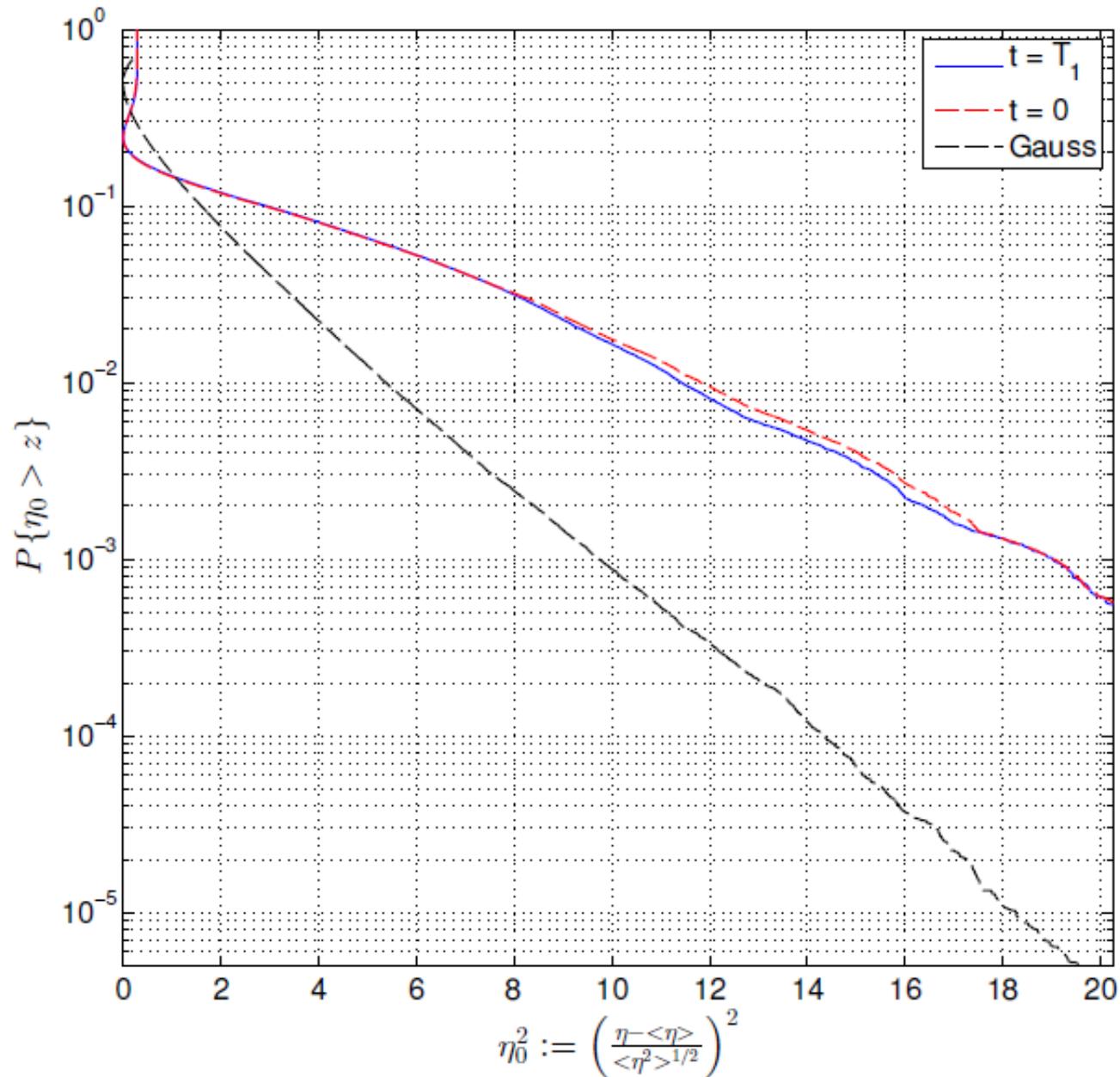


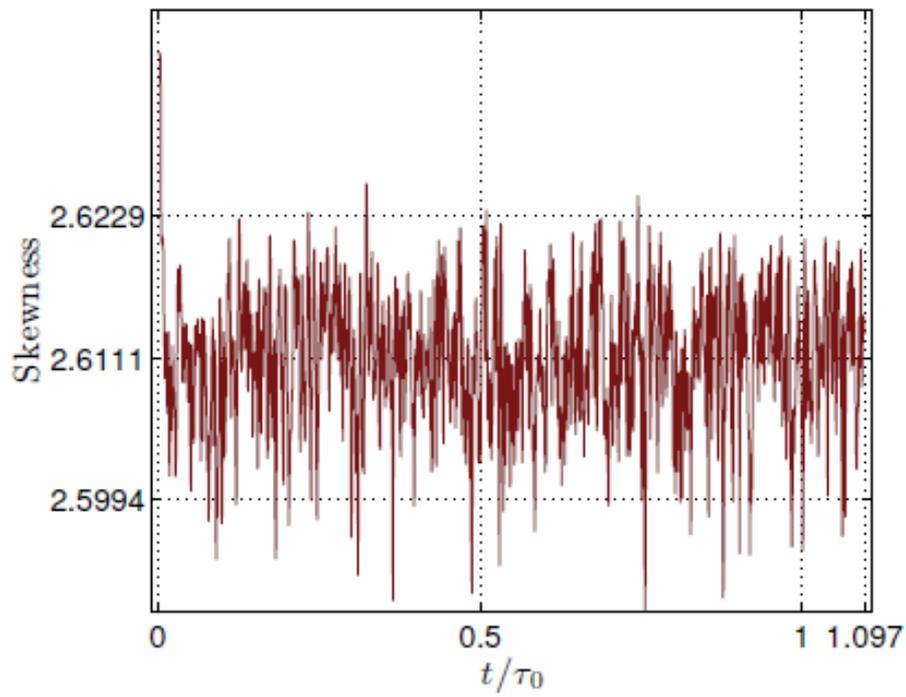
zoom

Trajectories

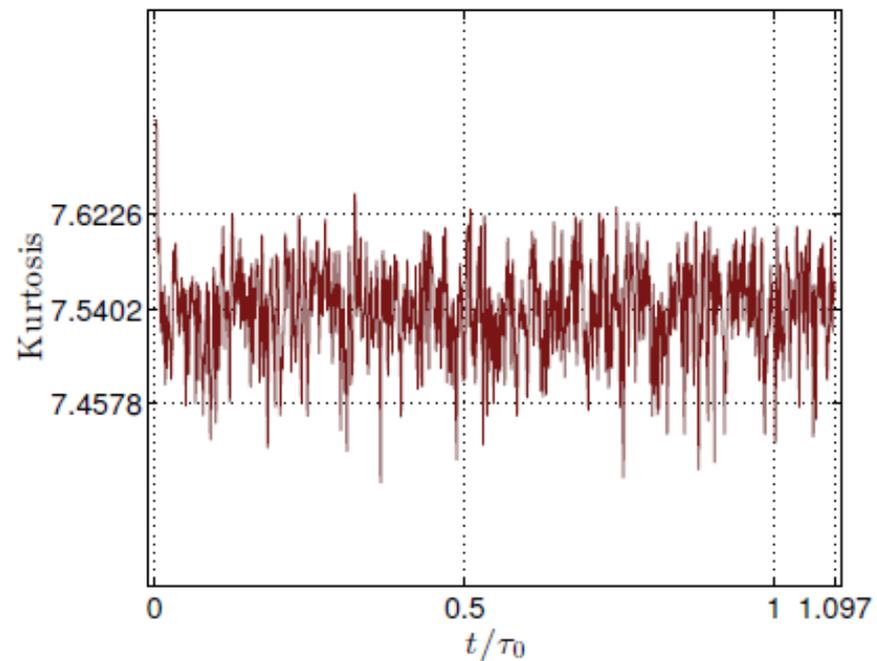


Exceedance Probability





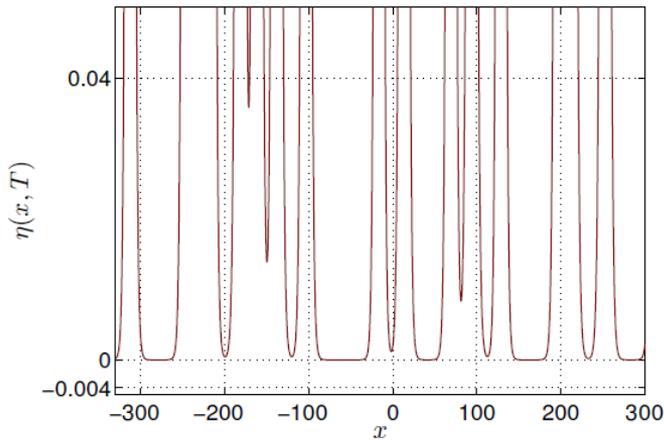
**Interaction leads
to decrease
skewnes and kurtosis**



Not integrable BBM model

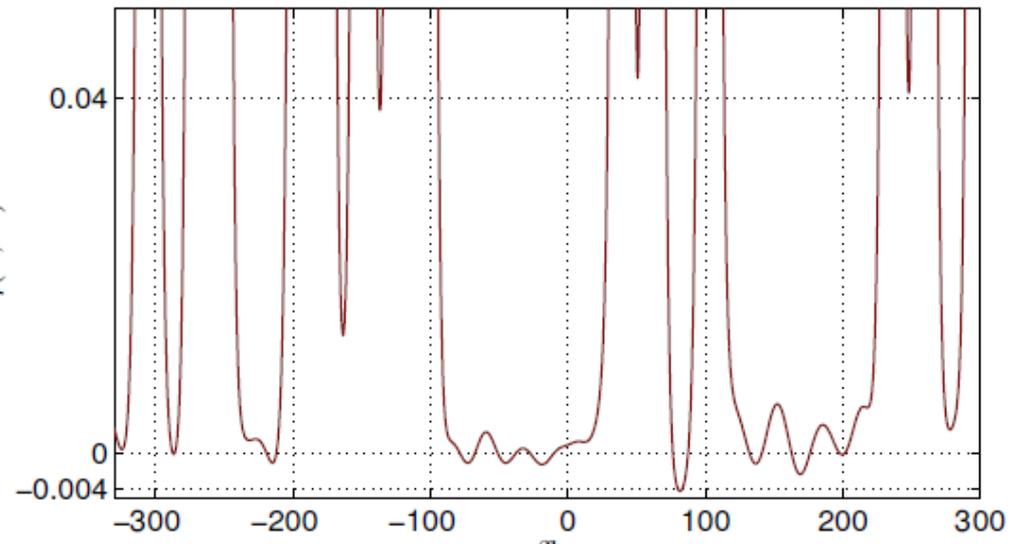
$$\eta_t + S\eta\eta_x + \eta_{xxx} - \delta\eta_{xxt} = 0$$

S=1
delta = 2



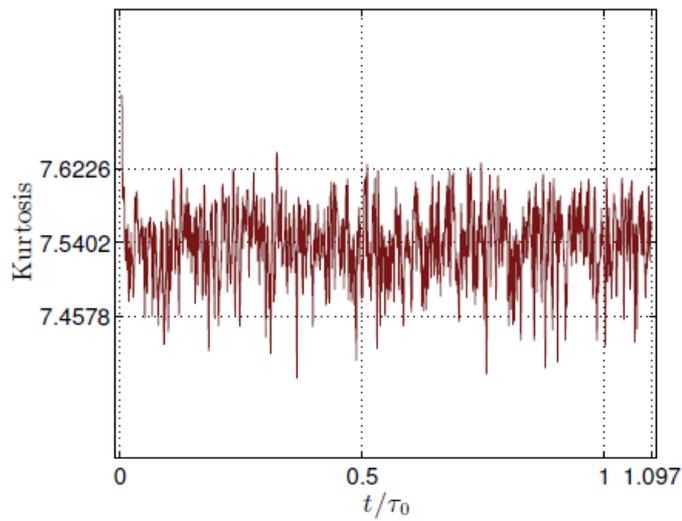
KdV

all values are positive

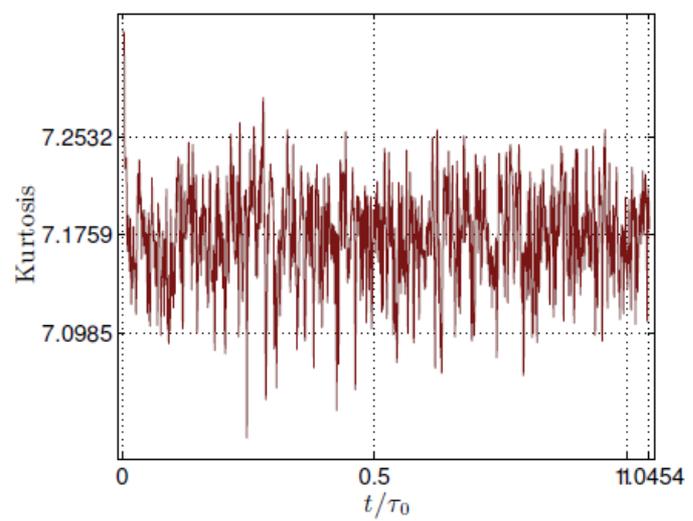


BBM

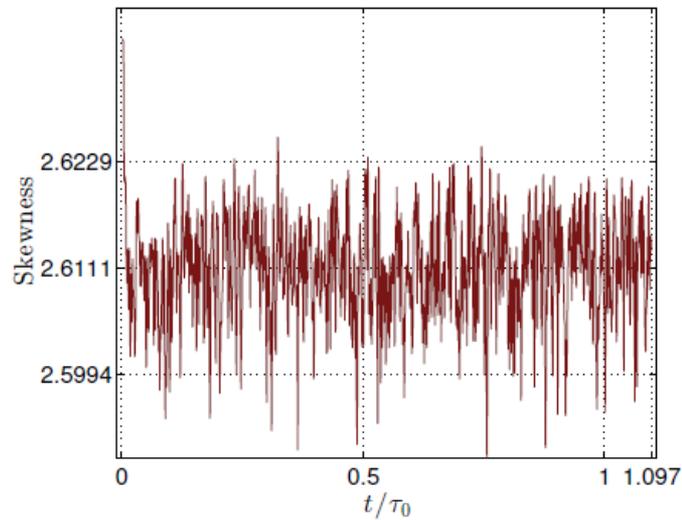
weak negative values



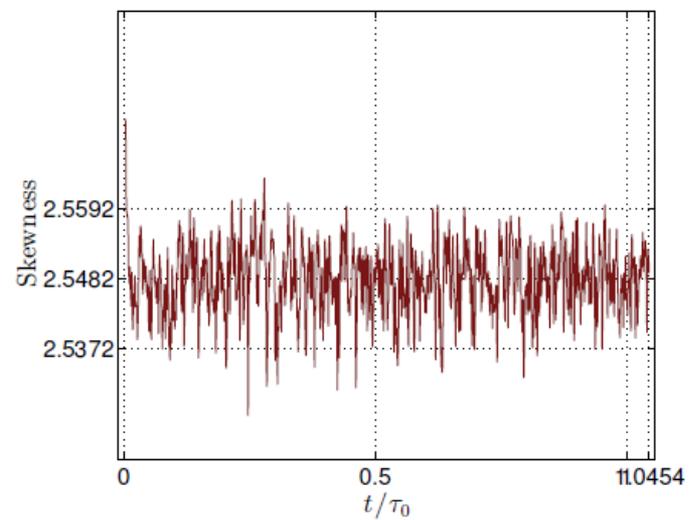
(a) Kurtosis — KdV



(b) Kurtosis — KdV-BBM



(c) Skewness — KdV



(d) Skewness — KdV-BBM

Weak difference in characteristics

Conclusions:

1. No rogue waves in unidirectional hyperbolic field
2. Rogue Waves in Interacted Riemann Waves
3. Positive skewness growing with U_r in KdV
4. Sign-Variable Kurtosis via U_r in KdV
5. Highest Probabilities for large U_r
6. Universal curves for 3d and 4th moments
7. Soliton interaction reduce moments
8. Soliton turbulence is not Gaussian process with small variations of moments

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